MEMX LLC
Date of filing: September 9, 2019
Date as of which information is accurate: September 9, 2019

EXHIBIT K

This Exhibit is applicable only to exchanges that have one or more owners, shareholders, or partners that are not also members of the exchange. If the exchange is a corporation, please provide a list of each shareholder that directly owns 5% or more of a class of a voting security of the applicant. If the exchange is a partnership, please provide a list of all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of the partnership's capital. For each of the persons listed in the Exhibit K, please provide the following:

1. **Full legal name;**

2. **Title or Status;**

3. **Date title or status was acquired;**

4. **Approximate ownership interest; and**

5. **Whether the person has control, a term that is defined in the instructions to this Form.**

MEMX Holdings LLC directly owns 99.5% of MEMX LLC, and indirectly owns the remaining 0.5% of MEMX LLC via its ownership of MEMX Subco LLC. MEMX Holdings LLC exercises "control" over the Exchange, as that term is defined in the Form 1 instructions. MEMX LLC became a subsidiary of MEMX Holdings LLC on October 3, 2018.

The Exchange acknowledges that certain shareholders' ownership of MEMX Holdings LLC and voting rights slightly exceed the ownership and voting limitations set forth in Section 3.5 of the MEMX Holdings LLC Agreement. The Exchange understands MEMX Holdings LLC has represented to the Applicant that, within 120 days of the Commission publishing notice of the filing of this Form 1, MEMX Holdings LLC will enter into a binding commitment to engage in such steps as necessary to ensure that the ownership and voting limitations are met no later than the date that the Commission issues an order approving the Applicant's registration as a national securities exchange. The Exchange undertakes, to the extent required, to file with the Commission an amendment to this Form 1 containing any documentation that would effect a change to Exchange Rules.