

SEC NEWS DIGEST

Issue 2002-4

January 7, 2002

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF MERRIMAC ADVISORS COMPANY AND FREDRIC FRENCH

On January 4, an administrative law judge issued an Order Making Findings and Imposing Sanctions by Default, In the Matter of Merrimac Advisors Company and Fredric J. French. Merrimac Advisors Company and Fredric J. French have been ordered to cease and desist from providing false information about Merrimac Advisors Company to current and prospective clients and failing to make and keep accurate and current records. The administrative law judge further censured Respondents and ordered each to pay a civil money penalty of \$50,000. Merrimac Advisors Company's registration has also been revoked, and Fredric J. French has been barred from association with any investment adviser.

The administrative proceeding was instituted pursuant to Sections 203(e), (f), and (k) of the Investment Advisers Act and Section 9(b) of the Investment Company Act. Merrimac Advisors Company and Fredric J. French were deemed to be in default for declining to file an answer and defend the administrative proceeding. (Rel. IC-25356; IA-2009; File No. 3-10594)

DEFENDANT ENTERS INTO PLEA AGREEMENT AND ANOTHER IS SENTENCED IN CONNECTION WITH SECURITIES FRAUD VIOLATIONS

On November 27, 2001, Jose Manuel Diaz-Salin entered into a plea agreement with the United States Attorney for the Southern District of Texas (Houston Division) for one count of interstate transportation of stolen property in connection with violations of the antifraud provisions of the federal securities laws arising from an earlier-filed Commission action. Salin faces up to ten years in prison and a substantial fine.

* Salin, age 59, was a resident of Houston, Texas, and Las Vegas, Nevada, during the course of the scheme.

On December 10, 2001, the Honorable Nancy Atlas, United States District Judge, Southern District of Texas, sentenced Frank Bravo, Jr. to 60 months in prison, followed by three years of probation, in connection with violations of the antifraud provisions of the federal securities laws arising from the same earlier-filed Commission action. Bravo was further ordered to pay \$4,908,571 as restitution to his victims.

* Bravo, age 46, was a resident of Santa Ana, California, during the course of the scheme.

The indictments against Salin and Bravo alleged that they made material misrepresentations and omissions to the public to encourage investments in Insnet World Communications, Inc. Many of the investors were elderly and used their retirement funds to invest in the Insnet program.

The Commission filed its civil lawsuit against Insnet, Salin, Bravo and others on July 28, 1997, seeking a temporary restraining order, asset freeze and other emergency relief. According to the Commission's complaint, Salin and Bravo offered and sold approximately \$4.8 million of unregistered securities in the form of promissory notes to investors in numerous states. Investors were told that their investments would be used to purchase long distance telephone time from major satellite suppliers to be resold to the public for a profit through long distance telephone calling cards. However, Salin and Bravo used investor funds for their own benefit and to pay interest payments to earlier investors in an undisclosed "Ponzi" scheme. Shortly after the SEC filed its lawsuit, Salin fled to Spain and later to Switzerland, where he was arrested on March 8, 2001, and extradited to Houston.

On June 28, 1999, default judgments were entered against Salin and Bravo in the Commission's action permanently enjoining them from future violations of the antifraud and registration provisions of the federal securities laws. Additionally, Bravo was ordered to disgorge \$863,489 and pay a civil penalty of \$100,000. Salin was ordered to pay \$1,386,473 in disgorgement and a \$100,000 civil penalty. Securities and Exchange Commission v. Insnet World Communications, Inc., et al., Civil No. H-97-2525 (S.D.T.X. / Houston Division)] (LR-17295)

SEC IDENTIFIES 17-YEAR-OLD HIGH SCHOOL STUDENT AS INTERNET FRAUD ARTIST; RECOVERS \$900,000 IN ILLEGAL PROCEEDS IN ACCOUNT IN COSTA RICAN CASINO

The Commission today identified Cole A. Bartiromo, a 17-year-old high school student, as a perpetrator of an Internet securities scheme that defrauded more than 1,000 investors of more than \$1 million.

Bartiromo was identified as a principal in Invest Better 2001 (IB2001), which operated a web site and Internet bulletin board. On Dec. 13, 2001, as part of its "real time" enforcement initiative, the SEC filed an enforcement action to stop an ongoing fraud by IB2001. The SEC subsequently discovered that Bartiromo was behind IB2001 and today amended its complaint against IB2001 to name Bartiromo as a defendant.

In a settlement also announced today, Bartiromo agreed to turn over approximately \$900,000 he obtained from investors in the scheme and transferred to an account he controls at a casino in Costa Rica.

“This case illustrates the Commission’s commitment to ‘real time’ enforcement,” said Stephen M. Cutler, Director of Enforcement Division. “It also demonstrates that just about anyone – even a 17-year-old high school student – can mastermind a securities fraud over the Internet.”

In its amended complaint, the SEC alleges that from at least Nov. 1, 2001, through approximately Dec. 15, 2001, Bartiromo, through IB2001, raised more than \$1 million from more than 1,000 investors through the offer of purportedly “guaranteed” and “risk-free” investment programs in which IB2001 pooled investor funds to bet on sporting events. The scheme promised to repay investors between 125% to 2500% of their principal within specified periods ranging from three days to several weeks, depending on the program selected. The amended complaint alleges that in December 2001, Bartiromo transferred approximately \$900,000 of investor funds to the casino account in Costa Rica.

“We filed this action on Dec. 13, 2001, shortly after discovering the fraudulent offering on web pages and while not knowing the identity of the fraudsters,” said Wayne M. Carlin, Director of the Commission’s Northeast Regional Office. “That same day, the court granted our request for expedited discovery. During the course of the last few weeks, we followed a trail that unveiled Bartiromo as the perpetrator and located \$900,000 of ill-gotten gains.”

The amended complaint was filed in the United States District Court for the Southern District of New York. The Court today issued a partial final judgment and order, on consent, which permanently enjoins Bartiromo and IB2001, directs Bartiromo and IB2001 to repatriate all assets outside the United States and deposit such assets into the Court’s account, freezes Bartiromo’s and IB2001’s assets, directs Bartiromo and IB2001 to provide an accounting, and grants other relief.

The amended complaint named the following as defendants:

- **IB2001** is an entity that until Monday, Dec. 3, 2001, operated a website, hosted by a server in New York City, and that until approximately Dec. 15, 2001, operated a bulletin board at the MSN Networks Communities website. On its web site and the MSN bulletin board, IB2001 has held itself out as “the #1 investment service in existence.”
- **Cole A. Bartiromo** is 17 years old and resides with his parents in Mission Viejo, Calif. Bartiromo is responsible for, and controlled, the Investment Programs offered by Defendant IB2001.

- **Defendants John and Jane Does 1-10** are unknown individuals or groups of individuals, in addition to Bartiromo, who are responsible for, or controlling, the Investment Programs offered by Defendant IB2001 and the IB2001 website or bulletin board.

According to the amended complaint:

Since at least Nov. 1, 2001, through approximately Dec. 15, 2001, Bartiromo, through the IB2001 website and bulletin board, offered four Investment Programs: (a) the “125% 3 Day Ongoing Program,” through which IB2001 promised a supposed 125% return after a three-day investment; (b) the “250% 1 Week Ongoing Program,” through which IB2001 guaranteed a supposed 250% return after one-week; (c) the “1250% 1 Month Program,” through which IB2001 guaranteed a supposed 1250% return after a one-month investment; and (d) the “2500% Christmas Miracle Program” through which IB2001 guaranteed a supposed 2500% return beginning Dec. 26, 2001, on funds invested between Nov. 10, 2001, and Dec. 15, 2001.

Bartiromo, through the IB2001 website and bulletin board, represented to investors that the investments in, and returns from, the four Investment Programs were “safe” and “guaranteed.” Bartiromo further represented that IB2001 generated profits for the Investment Programs by pooling investors’ money and placing “safe bets” with three online sportsbooks.

These representations were materially false and misleading. Among other things, gambling by its very nature requires the undertaking of risk, and Bartiromo and IB2001 could not provide risk-free exorbitant returns on investments in the Investment Programs by betting on sporting events. In addition, it is economically not feasible for an issuer of fixed-instruments to provide exorbitant short-term financial returns, in an open-ended offering, which are “risk-free.”

The litigation is pending as to the issues of the amounts of disgorgement of ill-gotten gains and civil penalties.

For more information, see Litigation Release #17272 (Dec. 13, 2001). Also, for additional information on online securities fraud, read the SEC’s website brochure “Internet Fraud: How to Avoid Internet Investment Scams”: <http://www.sec.gov/investor/pubs/cyberfraud.htm>. [SEC v. Invest Better 2001, Cole A. Bartiromo, and John and Jane Does 1 through 10, 01 Civ. 11427 (BSJ) (S.D.N.Y. Dec. 13, 2001)] (LR-17296; Press Rel. 2002-1)

INVESTMENT COMPANY ACT RELEASES

AMERICAN BALANCED FUND, INC., ET AL.

A notice has been issued giving interested persons until January 28 to request a hearing on an application filed by American Balanced Fund, Inc., et al. for an order under Section 6(c) of the Investment Company Act declaring that a director on the boards of certain registered investment companies, who also is an outside director for the parent company of a registered broker-dealer, will not be deemed an "interested person" of the registered investment companies. (Rel. IC-25354 – January 3)

THE CHARLES SCHWAB FAMILY OF FUNDS, ET AL.

A notice has been issued giving interested persons until January 29 to request a hearing on an application filed by The Charles Schwab Family of Funds, Schwab Investments, Schwab Capital Trust, Schwab Annuity Portfolios, and Charles Schwab Investment Management, Inc. for an order under Section 6(c) of the Investment Company Act granting an exemption from Sections 18(f) and 21(b) of the Act, under Section 12(d)(1)(J) of the Act granting an exemption from Section 12(d)(1) of the Act; under Sections 6(c) and 17(b) of the Act granting an exemption from Section 17(a) of the Act; and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The order would permit certain registered open-end management investment companies to participate in a joint lending and borrowing facility. The order also would amend a condition of a prior order. (Rel. IC-25355 – January 4)

INVESTMENT ADVISERS ACT RELEASES

LONGVIEW MANAGEMENT GROUP LLC

A notice has been issued giving interested persons until January 30 to request a hearing on an application filed by Longview Management Group LLC (Longview) for an order under Section 202(a)(11)(F) of the Investment Advisers Act. The order would declare Longview to be a person not within the intent of Section 202(a)(11) of the Advisers Act, which defines the term "investment adviser." (Rel. IA-2008 – January 3)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-Phlx-2001-106) filed by the Philadelphia Stock Exchange extending the Pilot Program for Exchange Rule 98, Emergency Committee until May 30, 2002, has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice in the Federal Register is expected during the week of January 7. (Rel. 34-45192)

A proposed rule change filed by the Chicago Board of Options Exchange relating to trade information submitted to the Exchange (SR-CBOE-2001-69) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of January 7. (Rel. 34-45226)

A proposed rule change by the National Association of Securities Dealers (SR-NASD-2001-91) establishing a new effective date for the TRACE Rules and renaming the TRACE system, has been filed and become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934 and Rule 19b-4(f)(6) thereunder. Publication of the notice in the Federal Register is expected during the week of January 7. (Rel. 34-45229)

A proposed rule change by the Chicago Board Options Exchange (SR-CBOE-2001-68) to extend for an additional six months the 100 Spoke RAES Wheel pilot program has been filed and become immediately effective pursuant to Rule 19b-4 under the Securities Exchange Act of 1934. (Rel. 34-45230)

A proposed rule change (SR-CBOE-2001-73) filed by the Chicago Board Options Exchange to delete a previously proposed fee for excessive RFQs on its new screen-based trading system has become immediately effective pursuant to Rule 19b-4(f)(2) under the Securities Exchange Act of 1934. (Rel. 34-45231)

The Depository Trust Company filed a proposed rule change (SR-DTC-2001-18) under Section 19(b)(3) of the Exchange Act. The proposed rule change would allow DTC to extend a deadline in connection with a previously approved rule change (SR-DTC-2001-07) relating to DTC's Direct Registration System facility. Publication of the proposal is expected in the Federal Register during the week of January 7. (Rel. 34-45232)

A proposed rule change (SR-Phlx-2001-116) filed by the Philadelphia Stock Exchange to amend its schedule of dues, fees and charges to increase the equity floor brokerage assessment from 1.25% of net floor brokerage income to 5% has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of January 7. (Rel. 34-45233)

PROPOSED RULE CHANGE

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-2001-55) relating to service. Publication of the proposal is expected in the Federal Register during the week of January 7. (Rel. 34-45225)

ACCELERATED APPROVAL OF A PROPOSED RULE CHANGE

The Commission published notice and granted accelerated approval of a proposed rule change (SR-SCCP-2001-11) filed by the Stock Clearing Corporation of Philadelphia (SCCP) under Section 19(b)(1) of the Exchange Act. The proposed rule change allows SCCP to continue operation of its restructured and limited clearance and settlement business for an additional one-year period ending December 31, 2002. Publication of the proposal is expected in the Federal Register during the week of January 7. (Rel. 34-45227)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 RADCOM LTD, 24 RAOUL WALLENBERG STREET, TEL AVIV, L3 69719
(212) 310-8007 - 1,260,000 (\$2,268,000) FOREIGN COMMON STOCK. (FILE
333-14236 - DEC. 28) (BR. 3)

S-8 RETALIX LTD, 33 BEN GURION STREET, HERZILA 46100 ISRAEL, L3 00000 -
1,620,000 (\$17,680,000) FOREIGN COMMON STOCK. (FILE 333-14238 - DEC.
28)
(BR. 3)

S-8 AMERICAS SENIOR FINANCIAL SERVICES INC, 10800 BISCAYNE BLVD. #500,
MIAMI, FL 33161 (305) 751-3232 - 750,000 (\$112,500) COMMON STOCK.
(FILE
333-76114 - DEC. 31) (BR. 7)

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S-8 NEXT GENERATION MEDIA CORP, 8380 ALBAN RD, SPRINGFIELD, VA 22150
(703) 516-9888 - 6,000,000 (\$303,000) COMMON STOCK. (FILE 333-76116 -
DEC. 31) (BR. 3)

S-8 SCB COMPUTER TECHNOLOGY INC, 3800 FOREST HILL-IRENE, SUITE 100,
MEMPHIS,
TN 38125 (901) 754-6577 - 500,000 (\$350,000) COMMON STOCK. (FILE
333-76118 - DEC. 31) (BR. 8)

S-3 HCC INSURANCE HOLDINGS INC/DE/, 13403 NORTHWEST FRWY, HOUSTON, TX
77040
(713) 690-7300 (FILE 333-76122 - DEC. 31) (BR. 1)

S-8 ADVANCE TECHNOLOGIES INC, 304 S ELM DR PENTHOUSE, SUITE 215,
BEVERLY HILLS, CA 90212 (310) 265-7776 - 3,250,000 (\$1,332,500)
COMMON STOCK. (FILE 333-76126 - DEC. 31) (BR. 9)

S-1 GOLDEN AMERICAN LIFE INSURANCE CO /NY/, 1475 DUNWOODY DRIVE, SUITE
400,
WEST CHESTER, PA 19380 (610) 425-3400 - \$1,000,000,000
VARIABLE ANNUITY ISSUES. (FILE 333-76128 - DEC. 31) (BR. 20)

S-8 FRANKLIN FINANCIAL CORP /TN/, FRANKLIN FINANCIAL CORP, PO BOX 625,
FRANKLIN, TN 37065 (615) 790-2265 - 250,000 (\$3,896,250) COMMON STOCK.
(FILE 333-76134 - DEC. 31) (BR. 7)

S-8 ACE LTD, ACE BLDG, 30 WOODBOURNE AVE, HAMILTON HM 08 BERMU, DO 00000
(809) 295-5200 - 200,000 (\$7,730,000) COMMON STOCK. (FILE 333-76136 -
DEC. 31) (BR. 1)

S-8 PIEDMONT NATURAL GAS CO INC, 1915 REXFORD RD, CHARLOTTE, NC 28211
(704) 364-3120 - 10,000 (\$362,000) COMMON STOCK. (FILE 333-76138 -
DEC. 31) (BR. 2)

S-8 PIEDMONT NATURAL GAS CO INC, 1915 REXFORD RD, CHARLOTTE, NC 28211
(704) 364-3120 - 10,000 (\$362,000) COMMON STOCK. (FILE 333-76140 -
DEC. 31) (BR. 2)

S-3 FINDWHAT COM INC, 121 W 27TH ST, STE 903, NEW YORK, NY 10001
76144
(212) 633-2660 - 4,228,012 (\$22,027,942.52) COMMON STOCK. (FILE 333-
- DEC. 31) (BR. 8)

S-1 GOLDEN AMERICAN LIFE INSURANCE CO /NY/, 1475 DUNWOODY DRIVE, SUITE
400,
WEST CHESTER, PA 19380 (610) 425-3400 - \$1,000,000,000
VARIABLE ANNUITY ISSUES. (FILE 333-76146 - DEC. 31) (BR. 20)

S-8 PATRIOT MOTORCYCLE CORP, 245 CITATION CIRCLE, STE 210, CORONA, CA
92880
(817) 890-9735 - 150,000 (\$315,750) COMMON STOCK. (FILE 333-76148 -
DEC. 31) (BR. 9)

S-1 GOLDEN AMERICAN LIFE INSURANCE CO /NY/, 1475 DUNWOODY DRIVE, SUITE
 400,
 WEST CHESTER, PA 19380 (610) 425-3400 - \$1,000,000,000
 VARIABLE ANNUITY ISSUES. (FILE 333-76150 - DEC. 31) (BR. 20)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABC FAMILY WORLDWIDE INC	DE				X			X			12/28/01	
ABN AMRO MORTGAGE CORP SERIES 2001- 8	DE		X					X			12/21/01	
ACCREDO HEALTH INC	DE		X					X			12/20/01	
ACE CASH EXPRESS INC/TX	TX					X		X			12/31/01	
ACT MANUFACTURING INC	MA					X		X			12/31/01	
ACT TELECONFERENCING INC	CO							X	X		01/04/02	
ACTIVE IQ TECHNOLOGIES INC	CO					X		X			12/28/01	
ADVANCED RADIO TELECOM CORP	DE			X				X			12/20/01	
ALASKA AIR GROUP INC	DE								X		01/04/02	
ALTAIR INTERNATIONAL INC	A6					X		X			12/28/01	AMEND
ALTAIR INTERNATIONAL INC	A6					X		X			12/28/01	
AMERIVEST PROPERTIES INC	MD		X					X			12/21/01	
ANTEON CORP	VA							X	X		12/21/01	
ASPI EUROPE INC								X	X		12/06/01	
ASSET BACKED FDG CORP C BASS MTG LN AS NKD CRT SER 2001-CB1	DE					X		X			12/26/01	
ASSET BACKED FDG CORP C BASS MTG LN AS NKD CRT SER 2001-CB1	DE					X		X			12/26/01	
ASSISTED LIVING CONCEPTS INC	NV			X				X			12/28/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
AT&T CORP	NY					X						01/04/02	
BENNION CORP	NV					X						12/28/01	AMEND
BIONOVA HOLDING CORP	DE	X	X						X			11/16/01	
CABOT OIL & GAS CORP	DE								X			08/16/01	AMEND
CARRIER1 INTERNATIONAL S A	N4					X		X				01/04/02	
CHARTER COMMUNICATIONS HOLDINGS CAP ITAL CORP	DE					X		X				01/04/02	
CHARTER COMMUNICATIONS HOLDINGS LLC	DE					X		X				01/04/02	
CHARTER COMMUNICATIONS INC /MO/	DE					X		X				01/04/02	
CHASE FUNDING INC	NY					X		X				12/20/01	
CLAIMSNET COM INC	DE					X						01/04/02	
CNH CAPITAL RECEIVABLES INC	DE					X		X				12/17/01	
CNH RECEIVABLES INC	DE					X		X				12/17/01	
COLLINS & AIKMAN CORP	DE		X						X			12/20/01	
COTTAGE INVESTMENTS INC	NV	X										12/17/01	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 01-WM2	DE					X		X				12/21/01	
CREDIT SUISSE FIR BOS SEC CORP CSFB PS THRU CERT SER 2001-S6						X		X				12/26/01	
CROSS COUNTRY INC	DE					X		X				01/04/02	
CSFB MORTGAGE PASS THRU CERTIFICATE S SERIES 2001-S-18						X		X				12/26/01	
CURTISS WRIGHT CORP	DE		X						X			12/20/01	
CVEO CORP	MA					X		X				12/21/01	
CYBERFAST SYSTEMS INC	FL	X										01/04/02	
DEERE & CO	DE								X			01/04/02	
DEERE & CO	DE								X			01/04/02	AMEND
EB2B COMMERCE INC /NY/	NJ					X		X				12/26/01	
EL PASO CORP/DE	DE					X		X				01/04/02	
ELDORADO RESORTS LLC	NV					X		X				12/31/01	
ENHERENT CORP	DE					X						01/04/02	
ETOYS INC	DE					X		X				12/20/01	
EURONET WORLDWIDE INC	DE		X			X		X				01/04/02	
EXTENDICARE HEALTH SERVICES INC	DE		X					X				12/20/01	
FIREBRAND FINANCIAL GROUP INC	DE				X			X				01/03/02	AMEND
FIRST SOUTH BANCORP INC /VA/	VA					X		X	X			12/31/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC					X		X				01/02/02	
FNT MORTGAGE BACKED PASS THRU CERTI FICATES SERIES FNT 2001-2						X		X				10/25/01	
FRANKLIN LAKE RESOURCES INC /NV	A1					X						01/03/02	
FREEREALTIME COM INC	DE					X		X				12/20/01	
FREFAX INC	FL				X			X				01/04/01	AMEND
GILEAD SCIENCES INC	DE		X					X				12/21/01	
GREENPOINT CREDIT MAN HOUSING CONT TR PAS THR CERT SER 01 1	CA					X		X				10/31/01	
GREENPOINT CREDIT MAN HOUSING CONT TR PAS THR CERT SER 01 1	CA					X		X				11/30/01	
GREENPOINT CREDIT MANUFACTURED HOUS ING CONT TRU SER 2001-2						X		X				10/31/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
GREENPOINT CREDIT MANUFACTURED HOUS ING CONT TRU SER 2001-2						X	X				11/30/01	
GREENWICH CAPITAL ACC INC MORT LOAN PAS THR CERTS SER 01 2	DE					X	X				12/21/01	
HADRON INC	NY						X				11/05/01	AMEND
HALLIBURTON CO	DE				X	X					01/04/02	
HATHAWAY CORP	CO				X	X					12/19/01	
IMH ASSETS CORP	DE	X					X				12/20/01	
IMPAC MORTGAGE HOLDINGS INC	MD								X		01/04/02	
INERGY L P		X					X				12/19/01	
INTERNATIONAL BANCSHARES CORP	TX				X	X					01/04/02	
INTERNATIONAL GAME TECHNOLOGY	NV	X			X	X					12/30/01	
INTERUNION FINANCIAL CORP	DE	X									01/04/02	
INVERNESS MEDICAL INNOVATIONS INC	DE	X					X				12/20/01	
ISIS PHARMACEUTICALS INC	DE					X	X				12/13/01	
JP MORGAN CHASE COMMERCIAL MORT SEC CORP SERIES 2001-CIBC3	DE					X	X				12/21/01	
KAYDON CORP	DE				X	X					12/31/01	
KEYSTONE PROPERTY TRUST	MD	X			X	X					12/20/01	
LABARGE INC	DE				X						01/04/02	
LEHMAN ABS CORP	DE				X	X					12/27/01	
LIGHTPATH TECHNOLOGIES INC	DE						X		X		01/04/02	
LOEWS CORP	DE				X	X					01/04/02	
MARGATE INDUSTRIES INC	DE				X	X	X				01/03/02	
MARKETCENTRAL NET CORP	TX	X									01/02/02	
MARKWEST HYDROCARBON INC	DE				X						01/04/02	
MATRITECH INC/DE/	DE				X	X					12/26/01	
MAXUS REALTY TRUST INC	MO	X									11/15/01	AMEND
MONEY STORE ASSET BACKED CERTIFICAT ES SERIES 1998-B					X	X					12/17/01	
MONEY STORE HOME EQUITY LOAN TRUST 1998-A	NJ				X	X					12/17/01	
MONEY STORE HOME IMPROVEMENT LOAN B ACKED CERT SERIES 1998-I					X	X					12/17/01	
MONEY STORE RESIDENTIAL TRUST 1998- I	NJ				X	X					12/17/01	
MONEY STORE TRUST 1998 C					X	X					12/17/01	
MOVIE GALLERY INC	DE	X				X					12/21/01	
NEW VALLEY CORP	DE	X			X	X					12/20/01	
NORTHLAND CABLE TELEVISION INC	WA	X				X					12/20/01	
NOVASTAR MORTGAGE FUNDING CORP	DE				X	X					12/26/01	
OHIO CASUALTY CORP	OH				X						12/19/01	
ORGANOGENESIS INC	DE				X	X					01/01/02	
ORIENTAL FINANCIAL GROUP INC	PR				X	X					12/19/01	
PAXSON COMMUNICATIONS CORP	DE				X						01/02/02	
PEOPLES BANCORP INC	OH				X						01/04/02	
PETROQUEST ENERGY INC	DE				X	X					01/04/02	
PHAGE THERAPEUTICS INTERNATIONAL IN C	FL			X		X					11/29/01	AMEND
PHARMACEUTICAL FORMULATIONS INC	DE				X	X					12/13/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
PHARMACOPEIA INC	DE				X	X					01/04/02	
PHARMOS CORP	NV				X						12/27/01	
POLYMER GROUP INC	DE				X						01/04/02	
POOLED AUTO SECURITIES SHELF LLC	DE				X	X					12/20/01	
PRICE COMMUNICATIONS CORP	NY				X	X					12/18/01	
PUGET ENERGY INC /WA	WA				X						01/04/02	
PUGET SOUND ENERGY INC	WA				X						01/04/02	
PURCHASEPRO COM INC	NV				X	X					12/21/01	
RAILAMERICA INC /DE	DE				X	X					01/04/01	
RAINING DATA CORP	DE				X	X					01/04/02	
RAIT INVESTMENT TRUST	MD				X	X					12/18/01	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X					12/20/01	
SALOMON BROS MORT SEC VII INC SALO	DE				X	X					12/26/01	
MORT LN TR SER 2001-CPB1												
SCHOOL SPECIALTY INC	DE		X				X				12/21/01	
SENIOR CARE INDUSTRIES INC	NV				X	X	X				01/04/02	
SENIOR SUBORDINATE PASS THROUGH CER	TN				X	X					12/07/01	
TIFICATES SERIES 2001-C												
SERVICE MERCHANDISE CO INC	TN				X						01/04/02	
SIERRA HEALTH SERVICES INC	NV				X						01/04/02	
SMURFIT STONE CONTAINER CORP	DE				X	X					01/04/02	
SNAP ON INC	DE				X	X					12/28/01	
SOLECTRON CORP	DE				X	X					12/27/01	
STAGE STORES INC	NV				X	X					12/04/01	
STREAMEDIA COMMUNICATIONS INC	DE					X					12/31/01	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE				X	X					10/18/01	AMEND
TS INC												
STRUCTURED PRODUCTS CORP	DE						X				12/17/01	
STRYKER CORP	MI				X	X					12/31/01	
TEXTRON INC	DE		X				X				12/20/01	
THCG INC	DE				X			X			12/31/01	
TITANIUM METALS CORP	DE				X						01/03/02	
TMS MORTGAGE INC	NJ				X	X					12/17/01	
TMS MORTGAGE INC	NJ				X	X					12/17/01	
UNITED DOMINION REALTY TRUST INC	VA				X						01/04/02	
UNIVEC INC	DE		X				X				01/03/02	
VECTOR GROUP LTD	DE		X		X	X					12/20/01	
VENTURES UNITED INC	UT		X				X				01/02/02	
VERADO HOLDINGS INC	DE				X						12/20/01	
VERSATA INC	DE							X			01/03/02	
VERTICALNET INC	PA				X	X					12/30/01	
VERY BEST OF THE INTERNET COM INC	TX				X						12/31/01	
VIDEO UPDATE INC	DE			X			X				12/21/01	
VINA TECHNOLOGIES INC	DE				X						12/21/01	
VISKASE COMPANIES INC	DE		X								01/04/02	
VOICE MOBILITY INTERNATIONAL INC	NV				X						01/04/01	
WASHINGTON GROUP INTERNATIONAL INC	DE			X			X				12/21/01	
WELLS REAL ESTATE FUND XIII L P	GA		X				X				12/21/01	
WELLS REAL ESTATE INVESTMENT TRUST	MD		X				X				12/21/01	
INC												

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
WESTPORT RESOURCES CORP /NV/	NV					X	X					01/04/02	
WILLIAMS COMPANIES INC	DE					X	X					01/04/02	
WILSHIRE FINANCIAL SERVICES GROUP I NC	DE					X	X					12/31/01	AMEND
WIRELESS TELECOM GROUP INC	NJ		X					X				12/21/01	
WORLDWIDE RESTAURANT CONCEPTS INC	DE					X	X					09/20/01	
XL CAPITAL LTD						X	X					12/20/01	
XL CAPITAL LTD						X	X					01/04/02	
3TEC ENERGY CORP	DE					X	X					12/31/01	