

CA

SEC NEWS DIGEST

Issue 2001-225

November 23, 2001

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

CHANGE IN THE MEETING: **ADDITIONAL MEETING AND TIME CHANGE**

OPEN MEETING – THURSDAY, NOVEMBER 29, 2001 – 10:00 A.M.

The subject matter of the open meeting scheduled for Thursday, November 29, 2001, at 10:00 a.m., will be:

The Commission will consider whether to extend a stay relating to the application of certain requirements contained in Regulation ATS under the Securities Exchange Act of 1934 to alternative trading systems that facilitate trading in investment grade and non-investment grade corporate debt securities. Those requirements relate to fair access and systems capacity, security, and integrity. The current stay of those requirements expires on December 1, 2001.

For further information, contact: Gordon Fuller, Counsel to the Assistant Director, at (202) 942-0792, Office of Market Supervision, Division of Market Regulation.

CLOSED MEETING

The time of the closed meeting scheduled for Thursday, November 29, 2001 at 10:00 a.m., has been changed. The closed meeting will follow the 10:00 a.m. open meeting.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

ENFORCEMENT PROCEEDINGS

ADMINISTRATIVE PROCEEDINGS INSTITUTED AND SIMULTANEOUSLY SETTLED AGAINST WAYNE PRICHASON

On November 21, the Commission entered an Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions against Wayne L. Prichason (Prichason). The Commission simultaneously accepted Prichason's Offer of Settlement, barring him from association with any broker or dealer. On December 28, 2000, the Commission filed a complaint in the United States District Court for the Southern District of Florida against Prichason, among others, charging Prichason with violating the securities registration, broker-dealer registration, and antifraud provisions of the federal securities laws in connection with the unregistered offering of partnership units issued by Web Hosting Headquarters Partnership, SEC v. Web Hosting Headquarters Partnership, et al. Civil Action No. 00-4975-CIV-HIGHSMITH (S.D. Fla. Dec. 28, 2000).

On June 4, 2001, a Final Judgment of Permanent Injunction and Other Relief was entered, by consent, against Prichason enjoining him from future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Sections 10(b) and 15(a)(1) of the Exchange Act of 1934 and Rule 10b-5 thereunder. (Rel. 34-45091; File No. 3-10644)

SEC INSTITUTES ADMINISTRATIVE PROCEEDING AGAINST BERNADETTE STEVENS A/K/A BERNADETTE STEVENS BELL

On November 21, the Commission issued an Order Instituting Public Proceedings Pursuant to Section 15(b)(6) of the Securities Exchange Act of 1934 (Order) against Bernadette Stevens (Stevens) a/k/a Bernadette Stevens Bell of Broward County, Florida. In the Order, the Division of Enforcement (Division) alleges that the Commission filed a civil injunctive action entitled SEC v. David Morgenstern, Fred Morgenstern, Bernadette Stevens and Amquest International, Ltd., Case No. 98-7044CIV-Seitz/Garber (S.D.Fla.) against Stevens in September 1998, alleging violations of the federal securities laws. The Division further alleges that Stevens was enjoined from future violations by a court order issued in January 2001.

A hearing will be scheduled before an administrative law judge to determine whether the allegations contained in the Order are true, to provide Stevens an opportunity to dispute these allegations, and to determine what sanctions, if any, are appropriate and in the public interest. (Rel. 34-45092; File No. 3-10645)

CIVIL ACTION AGAINST DAVID BLUNK, ET AL.

The Commission announced that on November 5 Judge Hugh Lawson of the United States District Court for the Middle District of Georgia entered Final Judgments of Permanent Injunction Against Defendants Aubrey John Elam, Jr. and Stanley C. Eaves restraining and enjoining each of them from future violations of Sections 17(a) and of the

Securities Act of 1933 (Securities Act) and ordering each of them to pay a civil penalty in the amount of \$25,000 apiece. Elam and Eaves each consented to the entry of the Final Judgment of Permanent Injunction without admitting or denying the allegations of the Commission's complaint.

The Commission's complaint alleged that the defendants committed securities fraud by repeatedly offering to sell fictitious prime bank securities to Mercer University. According to the complaint, Blunk, Elam, and Eaves misrepresented that an investment of \$5 - \$10 million dollars would generate returns of 120% per year and that the safety of Mercer's principal would be guaranteed by one of several well-known European banks. The SEC also alleged that Eaves and Elam misrepresented what they were told about the investment by officials of a prominent Charlotte bank and by an FBI agent they spoke with in London. The complaint further alleged that the defendants misrepresented that the University could earn a return of 50% on an investment of \$10 million in just 25 days. For further information see also LR-16288 (September 22, 1999). [SEC v. W. David Blunk, Jr., Aubrey John Elam, Jr. and Stanley C. Eaves; Civil Action File No. 5:99-CV-372, HL] (LR-17244)

SEC OBTAINS PRELIMINARY INJUNCTION AGAINST BROKER IN IDENTITY THEFT AND PENNY STOCK MANIPULATION FRAUD CASE

The Commission announced today that, on November 8, the Honorable William H. Pauley III, United States District Judge for the Southern District of New York, issued a preliminary injunction and granted ancillary relief against Robert C. Ingardia, a broker most recently affiliated with the New York City-based brokerage firm of Joseph Stevens & Co. (Joseph Stevens), based on the Commission's prima facie showing that Ingardia engaged in violations of the antifraud provisions of the federal securities laws. Among other things, the Commission's Complaint, filed on September 6, 2001, alleges that, as part of a manipulation scheme, Ingardia and certain unknown individuals assumed the identity of Ingardia's present and former customers in telephone calls to several broker-dealers other than Joseph Stevens, and placed more than \$1.1 million worth of unauthorized trades in the customer accounts, including purchasing more than \$230,000 worth of stocks in Converge Global, Inc., a Utah corporation headquartered in Santa Monica, California, and Equity Technologies & Resource, Inc., a Delaware corporation headquartered in Lexington, Kentucky. In addition to the preliminary injunction already obtained, the Commission seeks to permanently enjoin Ingardia from violating the antifraud provisions. [SEC v. Robert C. Ingardia, Civil Action No. 01-CV-8356, WHP, USDC, SDNY] (LR-17245)

INVESTMENT COMPANY ACT RELEASES

ORDERS OF DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT

Orders have been issued under Section 8(f) of the Investment Company Act declaring that each of the following has ceased to be an investment company:

- 4 Winds Family of Funds [File No. 811-10099]
(Rel. IC-25275 - November 20, 2001)
- First Choice Funds Trust [File No. 811-7681]
(Rel. IC- 25276 - November 20, 2001)
- Neuberger Berman Equity Trust [File No. 811-7784]
(Rel. IC- 25277 - November 20, 2001)
- Neuberger Berman Equity Assets [File No. 811-8106]
(Rel. IC- 25278 - November 20, 2001)
- Neuberger Berman Equity Series [File No. 811-9011]
(Rel. IC-25279 - November 20, 2001)
- The Pillar Funds [File No. 811-6509]
(Rel. IC- 25280 - November 20, 2001)
- Scottish Widows International Fund [File No. 811-6019]
(Rel. IC- 25281 - November 20, 2001)
- West University Fund, Inc. [File No. 811-9124]
(Rel. IC- 25282 - November 20, 2001)
- INVESCO Global Health Sciences Fund [File No. 811-6476]
(Rel. IC-25283 - November 20, 2001)
- William Penn Interest Income Fund [File No. 811-5177]
(Rel. IC- 25284 - November 20, 2001)
- Alliance Income Builder Fund, Inc. [File No. 811-6372]
(Rel. IC-25285 - November 20, 2001)
- Alliance Limited Maturity Government Fund, Inc. [File No. 811-6627]
(Rel. IC- 25286 - November 20, 2001)
- Alliance Mortgage Securities Income Fund, Inc. [File No.811-3829]
(Rel. IC-25287 - November 20, 2001)
- Alliance World Income Trust, Inc. [File No. 811-6205]
(Rel. IC- 25288 - November 20, 2001)
- Alliance Short Term Multi Market Trust, Inc. [File No. 811-5771]
(Rel. IC-25289 - November 20, 2001)
- Alliance Tax-Free Shares, Inc. [File No. 811-2717]
(Rel. IC-25290 - November 20, 2001)
- Alliance Insured California Tax Exempt Shares, Inc. [File No. 811-4359]
(Rel. IC-25291 - November 20, 2001)
- Van Wagoner Private Opportunities Fund, L.P. [File No. 811-10279]
(Rel. IC-25292 - November 20, 2001)

Blanchard Precious Metals Fund, Inc. [File No. 811-5303]

(Rel. IC-25293 - November 20, 2001)

Ameritor Industry Fund [File No. 811-855]

(Rel. IC-25294 - November 20, 2001)

Phoenix-Goodwin Multi-Sector Fixed Income Fund, Inc. [File
No. 811-5909]

(Rel. IC-25295 - November 20, 2001)

EVERGREEN SELECT FIXED INCOME TRUST, ET AL.

An order has been issued on an application filed by Evergreen Select Fixed Income Trust, et al. under (1) Section 6(c) of the Investment Company Act exempting applicants from Sections 18(f) and 21(b) of the Act; (2) Section 12(d)(1)(J) of the Act exempting applicants from Section 12(d)(1) of the Act; (3) Sections 6(c) and 17(b) of the Act exempting applicants from Sections 17(a)(1) and 17(a)(3) of the Act; and (4) Section 17(d) of the Act and Rule 17d-1 under the Act. The order permits certain registered open-end management investment companies to participate in a joint lending and borrowing facility. (Rel. IC-25296 – November 20)

CITIZENS FUNDS AND CITIZENS ADVISERS, INC.

An order has been issued on an application filed by Citizens Funds and Citizens Advisers, Inc. exempting applicants from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-25297 – November 21)

HOLDING COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER COMPANY, INC.

A notice has been issued giving interested persons until December 14, 2001, to request a hearing on a proposal by American Electric Power Company, Inc. (AEP), a registered holding company, to extend, through September 30, 2006, the time in which it may issue and sell up to approximately 6.1 million shares of its common stock, as previously approved, to AEP's employee savings plan. (HCAR No. 27468; November 20, 2001) (Rel. 35-27468)

SELF-REGULATORY ORGANIZATIONS

NATIONAL MARKET SYSTEM PLAN AMENDMENT

The Commission approved the 12th Amendment of the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis, submitted by the National Association of Securities Dealers, the Pacific Exchange and the Boston, Chicago, Philadelphia and Cincinnati Stock Exchanges. Publication of the proposal is expected in the Federal Register during the week of November 26. (Rel. 34-45081)

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the Common Stock, \$.00042 par value, of Booktech.com, Inc., effective at the opening of business on November 21, 2001. (Rel. 34-45088)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 KUMBA RESOURCES LTD, PO BOX 9229, PRETORIA, T3 00000 - 25,000,000
(\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14076 -
NOV. 09)
- S-B BANQUE CENTRALE DE TUNISIE, 1515 MASS AVE N W,
EMBASSY OF REPUBLIC OF TUNISIA, WASHINGTON, DC 20005 - 800,000,000
(\$800,000,000) STRAIGHT BONDS. (FILE 333-14084 - NOV. 13) (BR. 99)
- F-6 UNIFIED ENERGY SYSTEM OF RUSSIA \ADR\, 48 WALL ST, C/O BANK OF NEW
YORK,
NEW YORK, NY 10286 (212) 815-2009 - 20,000,000 (\$1,000,000)
DEPOSITARY RECEIPTS FOR PREFERRED STOCK. (FILE 333-14086 - NOV. 14)
(BR. 99)

F-6 UNIFIED ENERGY SYSTEM OF RUSSIA \ADR\, 48 WALL ST, C/O BANK OF NEW YORK,
NEW YORK, NY 10286 (212) 815-2009 - 50,000,000 (\$2,500,000)
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-14088 - NOV. 14) (BR. 99)

S-8 M SYSTEMS FLASH DISK PIONEERS LTD, P O BOX 58036, BLDG NO 1 NEVE SHARET,
TEL AVIV ISRAEL, L3 61580 (516) 424-4345 - 750,000 (\$5,205,000)
FOREIGN COMMON STOCK. (FILE 333-14092 - NOV. 14) (BR. 3)

S-8 M SYSTEMS FLASH DISK PIONEERS LTD, P O BOX 58036, BLDG NO 1 NEVE SHARET,
TEL AVIV ISRAEL, L3 61580 (516) 424-4345 - 6,310,000 (\$48,156,021.03)
STRAIGHT BONDS. (FILE 333-14094 - NOV. 14) (BR. 3)

S-8 BMC SOFTWARE INC, 2101 CITYWEST BLVD, HOUSTON, TX 77042 (713) 918-8800
- 10,000,000 (\$151,250,000) COMMON STOCK. (FILE 333-73388 - NOV. 15)
(BR. 3)

S-8 NAVISTAR INTERNATIONAL CORP, 455 N CITYFRONT PLAZA DR, CHICAGO, IL 60611
(312) 836-2000 - 500,000 (\$17,335,000) COMMON STOCK. (FILE 333-73392 - NOV. 15) (BR. 5)

S-8 VIVUS INC, 1172 CASTRO ST, STE 200, MOUNTAIN VIEW, CA 94040
(650) 934-5265 - 1,000,000 (\$3,270,000) COMMON STOCK. (FILE 333-73394
- NOV. 15) (BR. 5)

S-8 CYBERTEL COMMUNICATIONS CORP, 4275 EXECUTIVE SQUARE, SUITE 510,
LA JOLLA, CA 92037 (800) 645-5557 - 1,500,000 (\$330,000) COMMON STOCK.
(FILE 333-73398 - NOV. 14) (BR. 8)

S-8 LOWES COMPANIES INC, 1605 CURTIS BRIDGE RD, WILKESBORO, NC 28697
(336) 658-4000 - 30,000,000 (\$1,155,000,000) COMMON STOCK. 50,000,000
(\$50,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-73408
- NOV. 15) (BR. 6)

S-3 ELIZABETH ARDEN INC, 14100 NW 60TH AVE, MIAMI LAKES, FL 33014
(305) 818-8000 - 209,853 (\$3,065,952.33) COMMON STOCK. (FILE 333-73416
- NOV. 15) (BR. 2)

S-8 TEAMSTAFF INC, 300 ATRIUM DRIVE, SOUTH PLAINFIELD, NJ 08873
(732) 748-1700 - 2,214,286 (\$12,898,216) COMMON STOCK. (FILE 333-73426
- NOV. 15) (BR. 8)

S-8 MERCATOR SOFTWARE INC, 45 DANBURY RD, WILTON, CT 06897 (203) 761-7600 -
3,000,000 (\$35,645,018.02) COMMON STOCK. (FILE 333-73432 - NOV. 15)

(BR. 3)

- S-4 FIDELITY BANCORP INC, 1009 PERRY HIGHWAY, PITTSBURGH, PA 15237
(412) 367-3300 - 126,000 (\$1,733,130) COMMON STOCK. (FILE 333-73436 -
NOV. 15) (BR. 7)
- S-4 WEINGARTEN REALTY INVESTORS /TX/, 2600 CITADEL PLAZA DR, SUITE 300,
HOUSTON, TX 77292 (713) 866-6000 - 200,000,000 (\$199,718,000)
STRAIGHT BONDS. (FILE 333-73438 - NOV. 15) (BR. 8)
- S-8 FIRST TENNESSEE NATIONAL CORP, 165 MADISON AVE, MEMPHIS, TN 38103
(901) 523-4638 - 4,000,000 (\$142,080,000) COMMON STOCK. (FILE 333-
73440 -
NOV. 15) (BR. 7)
- S-8 FIRST TENNESSEE NATIONAL CORP, 165 MADISON AVE, MEMPHIS, TN 38103
(901) 523-4638 - 4,000,000 (\$142,080,000) COMMON STOCK. (FILE 333-
73442 -
NOV. 15) (BR. 7)
- S-2 APEX MORTGAGE CAPITAL INC, 865 FIGUEROA STREET, STE 1800, LOS
ANGELES,
CA 90017 (213) 244-0461 - 3,105,000 (\$32,695,650) COMMON STOCK. (FILE
333-73448 - NOV. 15) (BR. 8)
- S-8 UNION ACCEPTANCE CORP, 250 NORTH SHADELAND AVENUE, INDIANAPOLIS, IN
46219 (317) 231-6400 - 300,000 (\$1,560,000) COMMON STOCK. (FILE 333-
73452
- NOV. 15) (BR. 7)
- S-4 HEWLETT PACKARD CO, 3000 HANOVER ST, PALO ALTO, CA 94304 (415) 857-
1501
- 1,201,450,898 (\$4,493,391,857) COMMON STOCK. (FILE 333-73454 - NOV.
15)
(BR. 3)
- S-8 QUIGLEY CORP, KELLS BUILDING, 621 SHADY RETREAT RD, DOYLESTOWN, PA
18901
(215) 345-0919 - 1,500,000 (\$2,055,000) COMMON STOCK. (FILE 333-73456
-
NOV. 15) (BR. 4)
- S-3 RSA SECURITY INC/DE/, 36 CROSBY DRIVE, BEDFORD, MA 01730 (781) 301-
5000
- 7,028,009 (\$92,137,197.99) COMMON STOCK. (FILE 333-73460 - NOV. 15)
(BR. 3)
- S-8 SOUTHERN CO, 270 PEACHTREE ST, ATLANTA, GA 30303 (404) 506-5000 -
3,213,726 (\$76,422,405) COMMON STOCK. (FILE 333-73462 - NOV. 15) (BR.
2)
- S-4 PERFICIENT INC, 7600-B NORTH CAPITAL OF TEXAS HWY,, SUITE 220,
AUSTIN,
TX 78731 (512) 531-6000 - 4,912,420 (\$10,561,703) COMMON STOCK. (FILE

333-73466 - NOV. 15) (BR. 3)

S-8 NAPRO BIOTHERAPEUTICS INC, 6304 SPINE RD, UNIT A, BOULDER, CO 80301
(303) 530-3891 - 1,825,000 (\$16,560,627.43) COMMON STOCK. 1,825,000
(\$16,560,626.59) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-
73470

- NOV. 15) (BR. 1)

F-9 THOMSON CORP /CAN/, STE 2706 TORONTO DOMINION BANK TOWER,
TORONTO DOMINION CENTRE, TORONTO ONTARIO M5K 1A1, A6 - 1,881,540,000
(\$1,881,540,000) STRAIGHT BONDS. (FILE 333-14074 - NOV. 08) (BR. 5)

S-3 ABN AMRO MORTGAGE CORP, 135 S LASALLE STREET, CHICAGO, IL 60603
(212) 506-2500 - 1,000,000 (\$1,000,000)
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-73036 - NOV. 09)
(BR. 8)

S-8 NBT BANCORP INC, 52 S BROAD ST, NORWICH, NY 13815 (607) 337-2265 -
500,000 (\$6,620,000) COMMON STOCK. (FILE 333-73038 - NOV. 09) (BR. 7)

S-8 AETNA INC /PA/, 151 FARMINGTON AVENUE, HARTFORD, CT 06156 (860) 273-
0123
- 10,000,000 (\$288,850,000) COMMON STOCK. (FILE 333-73052 - NOV. 09)
(BR. 1)

S-3 EATON VANCE CORP, 255 STATE STREET, BOSTON, MA 02109 (617) 482-8260
-
4,510,830 (\$131,265,153) COMMON STOCK. (FILE 333-73080 - NOV. 09) (BR.
7)

S-8 ICN PHARMACEUTICALS INC, 3300 HYLAND AVE, COSTA MESA, CA 92626
(714) 545-0100 - 6,750,000 (\$175,871,250) COMMON STOCK. (FILE 333-
73098 -
NOV. 09) (BR. 1)

SB-2 VITAL LIVING PRODUCTS INC, 5001 SMITH FARM ROAD, CHARLOTTE, NC 28104
(704) 821-3200 - 14,242,249 (\$8,224,898.80) COMMON STOCK. (FILE 333-
73126
- NOV. 09) (BR. 6)

S-4 CATEGORY 5 TECHNOLOGIES INC, 4505 S SASATCH BLVD, STE 370,
SALT LAKE CITY, UT 84124 (801) 424-2999 - 9,000 (\$19,800,000) COMMON
STOCK.
(FILE 333-73128 - NOV. 09) (BR. 8)

S-8 WESTFIELD FINANCIAL INC, 141 ELM STREET, WESTFIELD, MA 01085
(413) 568-1911 - 645,690 (\$28,455,558.30) COMMON STOCK. (FILE 333-
73132 -
NOV. 09)

S-3 BIOMARIN PHARMACEUTICAL INC, 371 BEL MARIN KEYS BLVD, STE 210,
NOVATO,
CA 94949 (415) 884-6700 - 6,900,000 (\$83,628,000) COMMON STOCK. (FILE
333-73136 - NOV. 09) (BR. 1)

9 NEWS DIGEST, November 23, 2001

S-3 LOEWS CORP, 667 MADISON AVE, NEW YORK, NY 10021 (212) 545-2000 -
20,000,000 (\$284,600,000) COMMON STOCK. (FILE 333-73138 - NOV. 09)
(BR. 1)

S-8 PENNEY J C CO INC, 6501 LEGACY DR, PLANO, TX 75024 (972) 431-1000 -
500,000 (\$11,755,000) COMMON STOCK. (FILE 333-73140 - NOV. 09) (BR. 2)

S-8 ORACLE CORP /DE/, 500 ORACLE PKWY, REDWOOD CITY, CA 94065 (415) 506-
7000
- 5,355,000 (\$80,592,750) COMMON STOCK. (FILE 333-73150 - NOV. 09)
(BR. 3)

S-3 APARTMENT INVESTMENT & MANAGEMENT CO, COLORADO CENTER TOWER TWO,
2000 S COLORADO BLVD STE 2-1000, DENVER, CO 80222 (303) 757-8101 -
715,781
(\$30,621,111.18) COMMON STOCK. (FILE 333-73162 - NOV. 09) (BR. 8)

S-3 ALLOY INC, 151 WEST 26TH STREET, 11TH FLOOR, NEW YORK, NY 10001
(212) 244-4307 - 5,914,588 (\$79,833,438) COMMON STOCK. (FILE 333-73166
-
NOV. 13) (BR. 2)

S-3 EXPEDIA INC, 13810 SE EASTGATE WAY, SUITE 400, BELLEVUE, WA 98005
(425) 705-4874 - 6,700,000 (\$201,067,000) WARRANTS, OPTIONS OR RIGHTS.
(FILE 333-73168 - NOV. 13) (BR. 5)

S-4 AMERICAN AIRLINES INC, 4333 AMON CARTER BLVD, FT WORTH, TX 76155
(817) 963-1234 - 1,253,516,652 (\$1,253,516,652)
EQUIPMENT TRUST CERTIFICATES. (FILE 333-73476 - NOV. 16) (BR. 5)

S-8 AMERICAN MANAGEMENT SYSTEMS INC, 4050 LEGATO RD, FAIRFAX, VA 22033
(703) 267-8000 - 1,000,000 (\$13,780,000) COMMON STOCK. (FILE 333-73478
-
NOV. 16) (BR. 3)

S-8 AMN HEALTHCARE SERVICES INC, 12235 EL CAMINO REAL, SUITE 200, SAN
DIEGO,
CA 92130 (800) 282-0300 - 7,710,936 (\$70,602,173.16) COMMON STOCK.
(FILE
333-73482 - NOV. 16) (BR. 1)

S-8 ONE TOUCH TOTAL COMMUNICATIONS INC, 1630 SOUTH SUNKIST STREET SUITE
K,
ANAHEIM, CA 92801 (714) 456-0865 - 20,000,000 (\$812,000) COMMON STOCK.
(FILE 333-73486 - NOV. 16) (BR. 9)

S-8 IBASIS INC, 20 SECOND AVE, BURLINGTON, MA 01803 (781) 505-7500 -
3,300,000 (\$2,310,000) COMMON STOCK. (FILE 333-73492 - NOV. 16) (BR.
8)

S-8 VISHAY INTERTECHNOLOGY INC, 63 LINCOLN HWY, MALVERN, PA 19355
(610) 644-1300 - 4,240,660 (\$76,341,853.60) COMMON STOCK. (FILE 333-
73496

- NOV. 16) (BR. 5)

S-3 ORASURE TECHNOLOGIES INC, 150 WEBSTER ST, BETHLEHEM, PA 18015
(503) 641-6115 - 4,049,882 (\$42,544,010) COMMON STOCK. (FILE 333-73498

NOV. 16) (BR. 5)

S-8 DIGITAL INSIGHT CORP, 26025 MUREAU RD, CALABASAS, CA 93012
(818) 871-0000 - 399,395 (\$6,731,802) COMMON STOCK. (FILE 333-73500 -
NOV. 16) (BR. 8)

S-8 YOUTICKET COM INC, 4420 S. ARVILLE, STE 13, LAS VEGAS, NV 89103
(702) 876-8200 - 400,000 (\$48,000) COMMON STOCK. (FILE 333-73502 -
NOV. 16) (BR. 7)

S-3 FAMOUS DAVES OF AMERICA INC, 7657 ANAGRAM DR, EDEN PRAIRIE, MN 55344
(612) -55-7-57 - 100,000 (\$757,000) COMMON STOCK. (FILE 333-73504 -
NOV. 16) (BR. 5)

S-8 MICROCHIP TECHNOLOGY INC, 2355 W CHANDLER BLVD, CHANDLER, AZ 85224
(480) 786-7200 - 1,300,000 (\$38,912,022.50) COMMON STOCK. (FILE 333-
73506
- NOV. 16) (BR. 5)

S-1 NEOGENESIS PHARMACEUTICALS INC, 540 MEMORIAL DRIVE, CAMBRIDGE, MA
02139
(617) 868-1500 - \$115,000,000 COMMON STOCK. (FILE 333-73508 - NOV. 16)
(NEW ISSUE)

S-8 INTEGRA LIFESCIENCES HOLDINGS CORP, 311 C ENTERPRISE DRIVE,
PLAINSBORO,
NJ 08536 (609) 275-0500 - 2,000,000 (\$53,080,000) COMMON STOCK. (FILE
333-73512 - NOV. 16) (BR. 1)

S-8 DIGITAS INC, 800 BOYLSTON STREET, PRUDENTIAL TOWER, BOSTON, MA 02199
(617) 867-1000 - 15,000,000 (\$34,500,000) COMMON STOCK. (FILE 333-
73514 -
NOV. 16) (BR. 8)

S-8 TALK VISUAL CORP, 3550 BISCAYNE BLVD, SUITE 704, MIAMI, FL 33137
(310) 348-7266 - 18,700,000 (\$715,275) COMMON STOCK. (FILE 333-73518 -
NOV. 16) (BR. 3)

S-3 FIRST HORIZON ASSET SECURITIES INC, 4000 HORIZON WAY, IRVING, TX
75063
(972) 484-5600 - 1,000,000 (\$100,000)
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-73524 - NOV. 16)
(BR. 8)

S-8 VOICEFLASH NETWORKS INC, 6401 CONGRESS AVE., STE. 250, SUITE 206,
BOCA RATON, FL 33487 (561) 994-3223 - 250,000 (\$112,500) COMMON STOCK.
(FILE 333-73538 - NOV. 16) (BR. 8)

S-8 UNOCAL CORP, 2141 ROSECRANS AVE, STE 4000, EL SEGUNDO, CA 90245

(310) 726-7600 - 40,000,000 (\$40,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-73540 - NOV. 16)
(BR. 4)

S-8 ASPECT COMMUNICATIONS CORP, 1730 FOX DR, SAN JOSE, CA 95131
(408) 325-2200 - 4,450,000 (\$7,832,000) COMMON STOCK. (FILE 333-73542

NOV. 16) (BR. 3)

S-8 TRIAD GUARANTY INC, 101 SOUTH STRATFORD RD, STE 500, WINSTON SALEM,
NC
27104 (202) 951-4459 - 500,000 (\$16,215,000) COMMON STOCK. (FILE
333-73548 - NOV. 16) (BR. 1)

S-3 CONCEPTUS INC, 1021 HOWARD AVE, SAN CARLOS, CA 94070 (415) 802-7240
2,326,000 (\$41,751,700) COMMON STOCK. (FILE 333-73550 - NOV. 16) (BR.
5)

S-4 PLASTIPAK HOLDINGS INC, 9135 GENERAL COURT,, P.O. BOX 2500C,
PLYMOUTH,
MI 48170 (734) 455-3600 - 275,000,000 (\$275,000,000) STRAIGHT BONDS.
(FILE 333-73552 - NOV. 16) (NEW ISSUE)

S-1 SFBC INTERNATIONAL INC, 11190 BISCAYNE BLVD, NORTH MIAMI, FL 33181
(305) 895-0304 - 2,350,000 (\$39,362,500) COMMON STOCK. (FILE 333-73560
NOV. 16) (BR. 1)

S-8 PEERLESS SYSTEMS CORP, 2381 ROSECRANS AVE, EL SEGUNDO, CA 90245
(310) 536-0908 - 1,500,000 (\$1,785,000) COMMON STOCK. (FILE 333-73562
NOV. 16) (BR. 3)

S-3 FIDELITY FEDERAL BANCORP, 700 S GREEN RIVER ROAD, SUITE 2000,
EVANSVILLE, IN 47715 (812) 469-2100 - 1,500 (\$1,500,000) STRAIGHT
BONDS.
500,000 (\$250,000) WARRANTS, OPTIONS OR RIGHTS. 500,000 (\$1,250,000)
COMMON STOCK. (FILE 333-73564 - NOV. 16) (BR. 7)

S-8 CHATEAU COMMUNITIES INC, 6160 S SYRACUSE WAY, GREENWOOD VILLAGE, CO
80111 (303) 741-8707 - 55,000 (\$1,669,250) COMMON STOCK. (FILE 333-
73566 -
NOV. 16) (BR. 8)

S-8 THERASENSE INC, 1360 S LOOP RD, SUITE 2000, SAN FRANCISCO, CA 94502
(510) 749-5400 - 76,094 (\$1,798,862.16) COMMON STOCK. (FILE 333-73568
NOV. 16) (BR. 5)

S-3 CARCO AUTO LOAN MASTER TRUST, 27777 FRANKLIN RD,
C/O U S AUTO RECEIVABLES CO, SOUTHFIELD, MI 48034 (248) 948-3067 -
1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-73570 -
NOV. 16) (BR. 8)

- S-2 MEDIX RESOURCES INC, 305 MADISON AVE, SUITE 2033, NEW YORK, NY 10165
(212) 697-2509 - 447,500 (\$335,625) COMMON STOCK. (FILE 333-73572 -
NOV. 16) (BR. 8)
- S-8 POLYCOM INC, 1565 BARBER LANE, MILPITAS, CA 95035 (408) 526-9000 -
750,000 (\$24,671,853) COMMON STOCK. (FILE 333-73574 - NOV. 16) (BR. 3)
- S-8 NEUROGEN CORP, 35 NORTHEAST INDUSTRIAL RD, BRANFORD, CT 06405
(203) 488-8201 - 2,000,000 (\$35,890,000) COMMON STOCK. (FILE 333-73576
NOV. 16) (BR. 1)
- S-8 MEDIX RESOURCES INC, 305 MADISON AVE, SUITE 2033, NEW YORK, NY 10165
(212) 697-2509 - 3,000,000 (\$2,250,000) COMMON STOCK. (FILE 333-73578
NOV. 16) (BR. 8)
- S-11 INTERVEST CORPORATION OF NEW YORK, 10 ROCKEFELLER PLZ STE 1015,
NEW YORK, NY 10020 (212) 757-7300 - 5,750,000 (\$5,750,000) STRAIGHT
BONDS.
(FILE 333-73580 - NOV. 16) (BR. 8)
- S-3 CARRAMERICA REALTY CORP, 1850 K STREET NW, SUITE 500, WASHINGTON, DC
20006 (202) 729-7500 - 19,403,417 (\$558,818,410) COMMON STOCK. (FILE
333-73582 - NOV. 16) (BR. 8)
- S-8 APPLIED MOLECULAR EVOLUTION INC, 3520 DUNHILL ST, SAN DIEGO, CA
92121
(585) 597-4990 - 500,000 (\$4,475,000) COMMON STOCK. (FILE 333-73584 -
NOV. 16) (BR. 1)
- S-8 NEUROGEN CORP, 35 NORTHEAST INDUSTRIAL RD, BRANFORD, CT 06405
(203) 488-8201 - 24,000 (\$430,680) COMMON STOCK. (FILE 333-73586 -
NOV. 16) (BR. 1)
- S-8 APOGENT TECHNOLOGIES INC, 411 E WISCONSIN AVE 24TH FLR, MILWAUKEE,
WI
53202 (414) 274-6600 - 1,600,000 (\$38,432,000) COMMON STOCK. (FILE
333-73588 - NOV. 16) (BR. 5)
- S-3 ISTAR FINANCIAL INC, 1114 AVENUE OF THE AMERICAS 27TH FLOOR, NEW
YORK,
NY 10036 (212) 930-9494 - 4,131,531 (\$99,528,581) COMMON STOCK. (FILE
333-73592 - NOV. 16) (BR. 8)
- S-4 ZIONS BANCORPORATION /UT/, ONE SOUTH MAIN STREET, SUITE 1380,
SALT LAKE CITY, UT 84111 (801) 524-4787 - 200,000,000 (\$200,000,000)
FLOATING RATE NOTES. (FILE 333-73594 - NOV. 16) (BR. 7)
- S-3 HOLOGIC INC, 35 CROSBY DRIVE, BEDFORD, MA 01730 (781) 999-7300 -
3,000,000 (\$28,980,000) COMMON STOCK. (FILE 333-73596 - NOV. 16) (BR.
5)

S-4 LORAL CYBERSTAR INC, 2440 RESEARCH BLVD STE 400, ROCKVILLE, MD 20850
 (301) 258-8101 - 675,000,000 (\$425,425,210) STRAIGHT BONDS. (FILE
 333-73600 - NOV. 16) (BR. 7)

S-3 AMERICREDIT FINANCIAL SERVICES INC,
 C/O AMERICREDIT FINANCIAL SERVICES INC, 801 CHERRY ST STE 3900, FORT
 WORTH,
 TX 76102 (817) 302-7000 - 1,000,000 (\$1,000,000)
 EQUIPMENT TRUST CERTIFICATES. (FILE 333-73606 - NOV. 16) (BR. 8)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.										DATE	COMMENT
		1	2	3	4	5	6	7	8	9			
AAMES FINANCIAL CORP/DE	DE				X	X						09/30/01	
AAMES FINANCIAL CORP/DE	DE				X	X						09/30/01	
AAMES MORTGAGE TRUST 2001 1 MORTGAG E PASS THR CERTS SE 01 1	DE				X							10/10/01	
AAMES MORTGAGE TRUST 2001-2 MORT PA SS THR CERT SER 2001-2	DE				X							10/25/01	
ABRAXAS PETROLEUM CORP	NV				X							11/14/01	
ACACIA RESEARCH CORP	DE						X	X				11/13/01	
ACCELERATED NETWORKS INC					X	X						11/09/01	
ACCELIO CORP	A1				X	X						11/15/01	
ACE SECURITIES CORP	DE				X	X						11/13/01	
ADVANCED MICRO DEVICES INC	DE				X	X						11/08/01	
ADVANTA BUSINESS SERVICES CORP	DE						X					11/12/01	
ADVANTA BUSINESS SERVICES CORP	DE						X					11/12/01	
ADVANTA EQUIPMENT LEASING RECEIVABL ES SERIES 2000-1 LLC	NV						X					11/12/01	
ADVANTA LEASING RECEIVABLES CORP IV	NV						X					11/12/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADVANTA LEASING RECEIVABLES CORP IX	NV								X		11/12/01	
ADVANTA LEASING RECEIVABLES CORP V	NV								X		11/12/01	
ADVANTA LEASING RECEIVABLES CORP VI	NV								X		11/12/01	
II												
AEI INCOME & GROWTH FUND 24 LLC	DE	X						X			11/01/01	
AFFILIATED MANAGERS GROUP INC	DE				X	X					10/24/01	
AFFILIATED MANAGERS GROUP INC	DE	X						X			10/31/01	
AIRCRAFT FINANCE TRUST	DE				X						11/15/01	
AIRGATE PCS INC /DE/	DE				X	X					11/13/01	
ALASKA AIR GROUP INC	DE								X		11/09/01	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE							X			11/13/01	
ALLIANCE CAPITAL MANAGEMENT L P	DE							X			11/13/01	
ALLIANCE ENVIRONMENTAL TECHNOLOGIES INC	DE	X						X			10/31/01	
ALLIANT ENERGY CORP	WI				X	X					11/15/01	
AMERICAN EXPRESS CENTURION BANK	UT				X	X					11/15/01	
AMERICAN EXPRESS CREDIT ACCOUNT MAS TER TRUST	DE				X	X					11/15/01	
AMERICAN EXPRESS MASTER TRUST	DE				X	X					11/15/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE				X	X					11/15/01	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE				X	X					11/15/01	
AMERICAN RETIREMENT CORP	TN								X		08/14/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-D	DE				X	X					11/12/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-1	DE				X	X					11/12/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001 C	DE				X	X					11/12/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-A	DE				X	X					11/12/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-B	DE				X	X					11/12/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-1	DE				X	X					11/12/01	
AMERIQUEST MORT SEC INC FLOAT RATE MORT PA TH CER SER 2001-1	DE				X						10/25/01	
AMRESKO INC	DE				X	X					10/22/01	
ANTHEM INC	IN				X	X					11/14/01	
AP HOLDINGS INC	DE				X			X			11/14/01	
APCOA INC	DE					X		X			11/14/01	
APOGENT TECHNOLOGIES INC	WI				X	X					11/15/01	
ARDENT COMMUNICATIONS INC	DE					X	X				11/08/01	
AREA BANCSHARES CORP	KY				X	X					11/08/01	
ARTISOFT INC	DE				X	X					11/14/01	
ASSET BACKED FUND CORP MORT LN ASSE T BCKD CERT SER 2001-AQ1	DE				X						10/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ASTRALIS PHARMACEUTICALS LTD	CO		X						X		11/13/01	
ATC HEALTHCARE INC /DE/	DE				X						11/06/01	
AUSPEX SYSTEMS INC	DE				X		X				11/14/01	
AVANI INTERNATIONAL GROUP INC //	NV	X						X			09/26/01	
AVANI INTERNATIONAL GROUP INC //	NV	X						X			09/26/01	AMEND
AVNET INC	NY							X		X	09/28/01	
AZZ INC	TX		X		X	X					11/01/01	
BANC ONE CREDIT CARD MASTER TRUST	NY				X	X					11/15/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE							X			08/30/01	
BECKMAN COULTER INC	DE					X	X				11/14/01	
BELDEN & BLAKE CORP /OH/	OH								X		11/13/01	
BIG CITY RADIO INC	DE		X				X				11/01/01	
BIOMARIN PHARMACEUTICAL INC	DE		X				X				10/31/01	AMEND
BIRMINGHAM STEEL CORP	DE				X	X					11/14/01	
BIRMINGHAM STEEL CORP	DE				X	X					11/14/01	
BRAUVIN HIGH YIELD FUND L P	DE				X	X					11/06/01	
BRAUVIN HIGH YIELD FUND L P II	DE				X	X					11/06/01	
BRAUVIN INCOME PROPERTIES LP 6	DE				X	X					11/06/01	
BRAUVIN NET LEASE V INC	DE				X	X					11/06/01	
BRAUVIN REAL ESTATE FUND LP 4	DE				X	X					11/06/01	
BRAUVIN REAL ESTATE FUND LP 5	DE				X	X					11/06/01	
BRIGGS & STRATTON CORP	WI				X	X					11/15/01	
BRIGHTPOINT INC	DE				X	X					11/13/01	
BUSINESS PLAN EXCHANGE INC		X									11/09/01	
CACI INTERNATIONAL INC /DE/	DE		X				X				11/13/01	
CANADIAN DERIVATIVES CLEARING CORP					X	X					10/01/01	
CAPITAL ONE MASTER TRUST	VA				X						11/12/01	AMEND
CAPITOL TRANSAMERICA CORP	WI				X	X					07/20/01	
CARRIER1 INTERNATIONAL S A	N4				X	X					11/14/01	
CC MASTER CREDIT CARD TRUST II	MD						X				11/15/01	
CEDAR INCOME FUND LTD /MD/	MD		X				X				10/09/01	AMEND
CENTENNIAL FIRST FINANCIAL SERVICES	CA				X						11/13/01	
CHANGE TECHNOLOGY PARTNERS INC	DE				X	X					11/15/01	
CHASE CREDIT CARD MASTER TRUST	NY				X						11/15/01	
CHASE MANHATTAN BANK USA	DE				X						11/15/01	
CHICAGO MERCANTILE EXCHANGE INC	IL				X	X					09/25/01	
CHINA FUND INC	MD							X			10/01/01	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE				X	X					10/31/01	
CITIBANK CREDIT CARD ISSUANCE TRUST	DE				X						10/26/01	
CITIBANK CREDIT CARD MASTER TRUST I	DE				X						10/26/01	
CITIBANK NEVADA NATIONAL ASSOCIATIO N	DE				X						10/26/01	
CITIBANK SOUTH DAKOTA N A	DE				X						10/26/01	
CITIBANK SOUTH DAKOTA N A	DE				X						10/26/01	
CLAIMSNET COM INC	DE						X	X			11/14/01	
CLAYTON HOMES INC	DE				X	X					11/15/01	
CLEVELAND CLIFFS INC	OH							X			11/13/01	
CLEVELAND CLIFFS INC	OH							X			11/14/01	
CLOSURE MEDICAL CORP	DE				X	X					05/23/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
COINLESS SYSTEMS INC	UT					X						11/14/01	
COMMUNITY BANK SHARES OF INDIANA IN C	IN					X						11/14/01	
CONSOLIDATED NATURAL GAS CO/VA	DE		X									11/01/01	
CONSUMERS FUNDING LLC						X	X					11/08/01	
COOPER COMPANIES INC	DE					X	X					11/14/01	
CORECOMM LTD /DE/	DE					X	X					09/30/01	
CORNING INC /NY	NY					X						11/15/01	
COUNTRYWIDE HOME LOAN TRUST HOME LO AN BAC NO SER 2001-HLV1	NY	X										11/13/01	
COVANSYS CORP	MI					X						11/15/01	
COVANTA ENERGY CORP	DE					X	X					09/30/01	
CREDIT SUISSE FIRST BOSTON USA INC	DE					X	X					11/13/01	
CRESCENT BANKING CO	GA					X	X					11/15/01	
CWABS INC	DE					X	X					11/14/01	
CYBER GROUP NETWORK CORP						X	X					11/07/01	
DELTA CAPITAL TECHNOLOGIES INC	DE			X			X					11/03/01	AMEND
DEVX ENERGY INC	DE					X	X					11/12/01	
DIGITAL DATA NETWORKS INC	WA					X	X					10/15/01	
DIGITRAN SYSTEMS INC /DE	DE					X						11/14/01	
DISC INC/CA	CA						X					07/30/01	AMEND
DISCOVER BANK	DE					X	X					11/15/01	
DISCOVER CARD MASTER TRUST I	DE					X	X					11/15/01	
DOMINION RESOURCES INC /VA/	VA		X									11/01/01	
DOVER CORP	DE						X	X				11/14/01	
DYNEGY INC /IL/	IL					X	X					11/09/01	
E MACHINERY NET INC	DE		X				X					11/02/01	
EAGLETECH COMMUNICATIONS INC	NV					X						09/30/01	
EARTHCARE CO	DE			X			X					11/09/01	
EARTHCARE CO	DE					X	X					11/15/01	
EB2B COMMERCE INC /NY/	NJ								X			11/15/01	
EGAN SYSTEMS INC	DE					X						11/12/01	
ELLETT BROTHERS INC	SC					X	X					11/15/01	
ENCAD INC	DE					X	X					11/14/01	
ENRON CORP/OR/	OR					X	X					11/09/01	
ENSCO INTERNATIONAL INC	DE								X			11/15/01	
ENTROPIN INC	CO					X						11/15/01	
EXCO RESOURCES INC	TX						X	X	X			11/07/01	
FEDERAL REALTY INVESTMENT TRUST	MD					X	X					11/13/01	
FIDELITY FEDERAL BANCORP	IN					X						11/15/01	
FINGERHUT RECEIVABLES INC	DE						X					11/15/01	
FIRST ALLIANCE CORP /DE/	DE					X	X					11/13/01	
FIRST CHICAGO MASTER TRUST II	DE					X	X					11/15/01	
FIRST COMMUNITY FINANCIAL CORP /NC/	NC					X						11/14/01	
FIRST FINANCIAL CORP /RI/	RI					X	X					11/12/01	
FIRST MIDWEST BANCORP INC	DE					X	X					11/15/01	
FIRST NBC CREDIT CARD MASTER TRUST									X			11/09/01	
FIRST USA BANK NA AS SERV ON BEHALF PART CREDIT CARD MAS TR	DE								X			11/15/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
FIRST USA BANK NA AS SERVIC ON BEHA LF WACH CRED CARD MAS TR						X	X					11/15/01	
FIRST USA BANK NATIONAL ASSOCIATION						X	X					11/15/01	
FIRST USA CREDIT CARD MASTER TRUST	DE					X	X					11/13/01	
FISCHER IMAGING CORP	DE					X	X					11/09/01	AMEND
FISHER COMMUNICATIONS INC	WA					X	X					11/07/01	
FLEET CREDIT CARD MASTER TRUST II	NY		X									11/15/01	
FLOTEK INDUSTRIES INC/CN/			X			X	X					10/23/01	
FNANB CREDIT CARD MASTER TRUST						X	X					10/31/01	
FORD CREDIT AUTO RECEIVABLES TWO L P	DE					X	X					10/31/01	
FORD CREDIT AUTO RECEIVABLES TWO LL C	DE					X	X					10/31/01	
FORD CREDIT FLOORPLAN MASTER OWNER TRUST A SERIES 2001-2	DE					X	X					10/31/01	
FRANKLIN CAPITAL CORP	DE					X	X					11/15/01	
FYI INC	DE					X	X					11/14/01	
GE CAPITAL COMMERCIAL MORT CORP COM MRT PS THR CRT SE 2001-3	DE					X	X					11/15/01	
GE CAPITAL COMMERCIAL MORT CORP PAS THRU CERTS SERIES 2001-2	DE	X										11/13/01	
GENERAL CABLE CORP /DE/	DE		X					X				10/31/01	
GENERAL MILLS INC	DE					X	X					10/30/01	
GENERAL MOTORS CORP	DE								X			11/14/01	
GENESIS CAPITAL CORP OF NEVADA	NV	X			X							11/13/01	
GENEVA STEEL HOLDINGS CORP						X	X					11/08/01	
GLOBESPAN INC/DE	DE					X	X					11/14/01	
GOOD TIMES RESTAURANTS INC	NV					X						09/30/01	
GREAT ATLANTIC & PACIFIC TEA CO INC	MD					X	X					11/14/01	
GUITAR CENTER INC	DE							X	X			11/15/01	
HEILIG MEYERS CO	VA					X						09/30/01	
HELLER FINANCIAL INC	DE	X				X						10/25/01	
HERITAGE COMMERCE CORP	CA					X	X					10/25/01	
HERITAGE FINANCIAL HOLDING									X			11/09/01	AMEND
HEWLETT PACKARD CO	DE					X	X					11/14/01	
HMG WORLDWIDE CORP	DE		X				X					10/23/01	
HMSR INC	DE					X	X					11/15/01	
HOMESIDE LENDING INC	FL					X						11/13/01	
HORIZON PCS INC	DE							X	X			11/14/01	
HUGHES ELECTRONICS CORP	DE								X			11/14/01	
ICO INC	TX					X	X					09/14/01	
IDIAL NETWORKS INC	NV							X				11/16/01	
IMH ASSETS CORP	DE	X					X					10/30/01	
IMMUNE RESPONSE CORP	DE					X	X					11/09/01	
IMPAC SECURED ASSETS CORP	CA							X				10/30/01	
INDIGINET INC/FL	FL	X					X					11/09/01	
INDUSTRIAL HOLDINGS INC	TX					X	X					11/14/01	
INDYMAC ABS INC HM EQU MORT LN AST BK TR SER SPMD 2001-C	DE							X				11/06/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
INSILCO HOLDING CO	DE				X	X					11/13/01	
INSILCO TECHNOLOGIES INC	DE				X	X					11/13/01	
INTERMEDIA COMMUNICATIONS INC	DE				X	X					11/15/01	
INTERNATIONAL LEASE FINANCE CORP	CA					X					11/14/01	
INTREPID CAPITAL CORP	DE		X				X				10/30/01	
ITIS INC	DE		X								10/31/01	
IVAX CORP /DE	FL				X	X					11/13/01	
IVILLAGE INC	DE				X	X					11/14/01	
JACKSONVILLE BANCORP INC	TX				X	X					11/13/01	
JCM PARTNERS LLC	DE				X	X					11/09/01	
JORE CORP	MT				X						09/28/01	
KELLSTROM INDUSTRIES INC	DE				X	X					11/14/01	
KOPIN CORP	DE				X	X					11/14/01	
LABONE INC/	MO								X		11/12/01	
LABORATORY CORP OF AMERICA HOLDINGS	DE								X		11/14/01	
LAHAINA ACQUISITIONS INC	CO				X						11/30/01	
LANDMARK BANCORP INC	DE						X				09/30/01	
LANDS END INC	DE				X						10/26/01	
LEAP WIRELESS INTERNATIONAL INC	DE				X						11/14/01	
LEASE INVESTMENT FLIGHT TRUST	DE				X						11/15/01	
LEHMAN ABS CORP	DE				X	X					10/30/01	
LEHMAN ABS CORP	DE				X	X					11/13/01	
LIBERTY FINANCIAL COMPANIES INC /MA /	MA				X	X					11/14/01	
M&T BANK CORP	NY				X	X					11/08/01	
MAIL WELL INC	CO				X						11/14/01	
MARVELL TECHNOLOGY GROUP LTD	DO				X	X					11/15/01	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE				X	X					10/31/01	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE						X				10/31/01	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II					X	X					10/31/01	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II							X				10/31/01	
MBNA CORP	MD				X						10/31/01	
MBNA CREDIT CARD MASTER NOTE TRUST							X				10/31/01	
MEDIX RESOURCES INC	CO				X						12/31/01	
MELLON BANK N A	MA				X						11/08/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X						11/08/01	
MELLON PREMIUM FINANCE LOAN OWNER T RUST	DE				X						11/08/01	
MELLON RESIDENTIAL FUNDING CORP	DE				X	X					10/30/01	
MICROTUNE INC	TX		X				X				11/15/01	
MID STATE RACEWAY INC	NY				X						10/30/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MIDWAY GAMES INC	DE				X	X					11/14/01	
MILLIONAIRE COM	NV				X						09/30/01	
MONROE BANCORP	IN				X	X					11/14/01	
MONY GROUP INC	DE				X	X					11/14/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE				X	X					11/13/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-AM1	DE				X	X					10/25/01	
MORROW SNOWBOARDS INC	OR				X	X					10/31/01	
MORTGAGE ASSET SECURITIZATION TRANS ACTIONS INC	DE				X	X					11/09/01	
NATIONAL GOLF PROPERTIES INC	MD				X	X					11/14/01	
NCO GROUP INC	PA				X	X					11/06/01	
NCO PORTFOLIO MANAGEMENT INC	DE				X	X					11/06/01	
NESCO INDUSTRIES INC	NV	X					X				11/13/01	
NETDIGEST COM INC	DE				X						09/25/01	AMEND
NETLOJIX COMMUNICATIONS INC	DE				X		X				11/12/01	
NETWORK ENGINES INC	DE				X	X					11/13/01	
NET2PHONE INC	DE				X						11/07/01	
NEWAGECITIES COM INC	FL						X				11/15/01	
NEWMONT MINING CORP	DE				X						11/14/01	
NEWPORT NEWS SHIPBUILDING INC	DE					X	X	X			11/07/01	
NN INC	DE				X	X					11/14/01	
NORTHROP GRUMMAN CORP /DE/	DE				X	X					11/13/01	
NOVEON INC	DE				X	X					11/14/01	
OCEANEERING INTERNATIONAL INC	DE							X			11/14/01	
OMNISKY CORP					X	X					11/14/01	
ONE TOUCH TOTAL COMMUNICATIONS INC	NV				X						11/01/01	
ONEOK INC /NEW/	OK						X	X			11/14/01	
ORS AUTOMATION INC	DE				X						11/14/01	AMEND
PAGELAB NETWORK INC	MN				X						11/07/01	
PENN AMERICA GROUP INC	PA							X			09/14/01	
PENN VIRGINIA CORP	VA		X								11/14/01	
PENNRock FINANCIAL SERVICES CORP	PA				X	X					11/13/01	
PEOPLES ENERGY CORP	IL				X	X					11/15/01	
PEPPERMILL CAPITAL CORP	NV				X						11/12/01	
PET QUARTERS INC	AR				X	X					11/08/01	
PHILIPS INTERNATIONAL REALTY CORP	MD		X			X					09/30/01	
PHOENIX WASTE SERVICES CO INC	NJ				X	X					11/14/01	
PHYSICIANS RESOURCE GROUP INC	DE				X	X					10/22/01	
PLUM CREEK TIMBER CO INC	DE				X	X					11/15/01	AMEND
PNC MORT ACCEPT CORP COMMERC MORT P ASS THR CERT SER 1999 CM1	MO				X	X					11/13/01	
PNI TECHNOLOGIES INC	GA				X						11/14/01	
POLAROID CORP	DE				X	X					11/14/01	
PREMIER LASER SYSTEMS INC	CA		X		X	X					10/09/01	
PRESERVER GROUP INC	NJ					X	X				11/14/01	
PRESERVER GROUP INC	NJ					X	X				11/15/01	
PROFIT RECOVERY GROUP INTERNATIONAL INC	GA				X	X					11/15/01	
PROVIDIAN FINANCIAL CORP	DE				X						10/31/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
PUBLIC SERVICE CO OF NEW MEXICO	NM										X	10/31/01	
PUBLIC SERVICE CO OF NEW MEXICO	NM										X	11/15/01	
QUEST DIAGNOSTICS INC	DE										X	11/13/01	
QUIZNOS CORP	CO					X						11/13/01	
REMEC INC	CA		X					X				10/31/01	
RESIDENTIAL ACCREDIT LOANS INC	DE					X		X				10/31/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X		X				10/24/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X		X				10/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE					X		X				11/15/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE							X				11/30/01	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X		X				11/30/01	
RIMPAC RESOURCES LTD/NM	NV							X				11/14/01	
ROYAL FINANCE INC	FL					X						10/12/01	
RYMER FOODS INC	DE							X				11/13/01	
SAFLINK CORP	DE							X	X			11/05/01	
SAKS CREDIT CARD MASTER TRUST	NV							X	X			11/15/01	
SALESREPCENTRAL COM INC	NV					X						10/06/01	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE							X	X			11/09/01	
SALON MEDIA GROUP INC	DE							X	X			11/15/01	
SBA COMMUNICATIONS CORP	FL							X	X			11/13/01	
SCIENT INC	DE		X						X			11/07/01	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL							X	X			11/15/01	
SEQUOIA MORTGAGE FUNDING CORP	MD							X	X			11/14/01	
SEVEN FIELDS DEVELOPMENT CO	PA							X				10/31/01	
SEVEN FIELDS DEVELOPMENT PA INC	PA							X				10/31/01	
SHOCHET HOLDING CORP			X						X			08/31/01	AMEND
SIGMATRON INTERNATIONAL INC	DE						X					11/06/01	AMEND
SIMON PROPERTY GROUP INC /DE/	DE							X	X			11/08/01	
SKY FINANCIAL GROUP INC	OH							X	X			10/27/01	
SONICS & MATERIALS INC	DE		X						X			08/31/01	AMEND
SPECTRALINK CORP	CO								X	X		11/14/01	
STEELCASE INC	MI							X				11/14/01	
STRUCTURED ASS SEC COR A R COL TR M RT PS THR CRT SER 01-BC6	DE							X	X			10/30/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE							X				09/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE							X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE							X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE							X				10/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE						X				10/25/01	
STRUCTURED ASSET SEC CORP MORT PAS THR CERT SER 01 16H	DE						X	X			10/30/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-13	DE						X	X			10/30/01	
STRUCTURED ASSET SECURITIES CORP SUN HEALTHCARE GROUP INC	DE						X	X			07/30/01	
SUN POWER CORP	DE		X			X	X				11/07/01	
SUNRISE ASSISTED LIVING INC	DE							X		X	11/14/01	
TEDA TECHNOLOGIES INTERNATIONAL INC	NV	X						X			11/15/01	
TELCO TECHNOLOGY INC	DE				X			X			11/14/01	AMEND
TELIGENT INC	DE					X					11/12/01	
TELLIUM INC	DE					X					11/14/01	
TETRA TECH INC	DE					X	X				11/15/01	
THORNBURG MORTGAGE SEC TR 2001-1 MR T LN PS THR CRT SR 2001-1	DE					X	X				11/14/01	
TIFFANY & CO	DE					X					11/01/01	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE					X	X				11/14/01	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE					X	X				02/09/01	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE					X	X				03/09/01	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE					X	X				04/09/01	
TRAVELERS BANK CREDIT CARD MASTER T RUST I	DE					X	X				05/09/01	
TRI STATE OUTDOOR MEDIA GROUP INC	KS					X	X				11/14/01	
TRITON PCS HOLDINGS INC						X	X				11/05/01	AMEND
TRITON PCS INC						X	X				11/05/01	AMEND
UNITED AUSTRALIA PACIFIC INC	CO					X					11/15/01	
UNITED RENTALS INC /DE	DE							X	X		11/12/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
UNITED RENTALS NORTH AMERICA INC	DE							X	X		11/12/01	
UNITED SURGICAL PARTNERS INTERNATIO NAL INC	DE					X		X			11/15/01	
UNITRIN INC	DE			X				X			11/07/01	
USA BIOMASS CORP	DE		X								07/31/01	
USX CORP	DE							X	X		11/14/01	
V F CORP /PA/	PA				X			X			11/14/01	
VALCOM INC /CA/	DE								X		09/30/01	
VANDERBILT ABS CORP	DE				X			X			11/15/01	
VANDERBILT MORTGAGE & FINANCE INC	TN				X			X			11/15/01	
VECTOR GROUP LTD	DE				X			X			11/15/01	
VIASOURCE COMMUNICATIONS INC	NJ		X		X			X			11/15/01	
VIASYS HEALTHCARE INC	DE				X						11/12/01	
VIDEO WITHOUT BOUNDARIES INC	FL				X						11/15/01	
VIEWCAST COM INC	DE				X			X			11/05/01	
VIRATA CORP	DE				X			X			11/14/01	
VIROPHARMA INC	DE				X			X			11/15/01	
VSI HOLDINGS INC	GA							X	X		11/07/01	
WASHINGTON MUTUAL MSC MORT PASS-THR OUGH CERT SER 2001-MS12	DE							X			10/30/01	
WELLS FARGO ASSET MORTGAGE BACK SEC URITIES 2001-19 TRUST	DE					X		X			08/30/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			11/14/01	
WELLS FARGO STUDENT LOANS RECEIVABL ES I LLC	DE					X		X			11/14/01	
WHY USA FINANCIAL GROUP INC	NV		X								11/14/01	
WILSHIRE FINANCIAL SERVICES GROUP I NC	DE					X		X			11/14/01	
WINTRUST FINANCIAL CORP	IL				X			X			11/15/01	
WORLD WIDE WIRELESS COMMUNICATIONS INC					X			X			11/13/01	
XTRANA INC	DE				X			X			11/12/01	
YAMAHA MOTOR RECEIVABLES CORP	DE				X						11/15/01	
8X8 INC /DE/	DE				X			X			11/12/01	
ACE SECURITIES CORP HO EQ LO TR SE 2001-AQ1 AS BA PA TH CE	DE				X						10/25/01	
ACE SECURITIES CORP HO EQ LO TR SE 2001-NC1 AS BA PA TH CE	DE				X						10/25/01	
AIRTRAX INC	NJ							X	X		11/14/01	
ALICO INC	FL				X						08/31/02	
AMERICAN BANCORPORATION /WV/	OH		X								11/07/01	
AMERICAN GREETINGS CORP	OH							X			09/12/01	AMEND
AMERIKING INC	DE				X						11/15/01	
AMERIVEST PROPERTIES INC	MD		X					X			11/19/01	
ANADIGICS INC	DE				X			X			11/21/01	
ANGELCITI MULTIMEDIA INC	NV				X			X			11/13/01	
AP HOLDINGS INC	DE				X			X			11/21/01	
APCOA INC	DE				X			X			11/21/01	
ASPEON INC	DE				X			X			11/07/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ATC HEALTHCARE INC /DE/	DE				X						11/06/01	AMEND
AVNET INC	NY						X	X			11/20/01	
AVNET INC	NY						X	X			11/21/01	
BA MASTER CREDIT CARD TRUST /							X				11/15/01	
BALTIC INTERNATIONAL USA INC	TX				X	X					11/06/01	
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE				X	X					11/21/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					11/21/01	
BARNETT AUTO TRUST 1997-A	DE				X	X					11/15/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE						X				11/01/01	
BEAR STEARNS HOME LN OWN TR 2001-A HOME LN BCKD NT SR 2001-A	DE				X	X					11/19/01	
BIORELEASE CORP	DE	X	X								05/02/01	
BMW FS SECURITIES LLC					X	X					11/21/01	
BMW VEHICLE OWNER TRUST 2001-A	DE				X	X					11/21/01	
BRIGHTCUBE INC	NV	X				X					11/09/01	
BUSINESS TRANSLATION SERVICES INC	NV				X	X					11/12/01	
CANTEL MEDICAL CORP	DE					X					09/07/01	AMEND
CLAYTON HOMES INC	DE				X	X					11/21/01	
CLICK2LEARN COM INC	DE				X	X					11/15/01	
CMGI INC	DE				X	X					11/20/01	
CNH CAPITAL RECEIVABLES INC	DE				X	X					11/15/01	
CNH RECEIVABLES INC	DE				X	X					11/15/01	
COL CHINA ONLINE INTERNATIONAL INC	DE				X		X				11/14/01	
COMMERCIAL MORTGAGE PASS THROUGH CE RT SERIES 2001-IQ	DE	X					X				10/24/01	AMEND
COMMONWEALTH BANCORP INC	PA				X	X					11/21/01	
COMMUNITY FINANCIAL CORP /IL/	IL				X	X					11/21/01	
COMPUDYNE CORP	NV				X						11/21/01	
CONNECTICUT LIGHT & POWER CO	CT					X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CONSECO FINANCE SECURITIZATIONS COR P	DE				X	X					11/19/01	
CROGHAN BANCSHARES INC	OH				X						11/21/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
CSFB ABS TRUST SERIES 2001-HE22	DE					X	X					11/25/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-HE16	DE					X	X					07/26/01	
CSFB MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-HE16	DE					X	X					07/26/01	
CSX CORP	VA					X						11/21/01	
CWABS INC	DE					X	X					11/14/01	AMEND
CWABS INC ASSET BACK CERTIFICATES S ERIES 2001-3	DE					X	X					08/30/01	AMEND
CYCH INC	DE			X				X				11/07/01	
DATA I/O CORP	WA				X							11/16/01	
DAW TECHNOLOGIES INC /UT	UT					X	X					11/19/01	
DCI TELECOMMUNICATIONS INC	CO					X	X					11/12/01	
DEUTSCHE RECREATIONAL ASSET FUNDING CORP	NV					X	X					10/31/01	
DIGITAL POWER CORP	CA	X								X		11/16/01	
DIGITAL RIVER INC /DE	DE		X					X				03/20/01	AMEND
DISTRIBUTION FINANCIAL SERVICES MAR INE TRUST 1999-2	NY					X	X					10/31/01	
DISTRIBUTION FINANCIAL SERVICES RV TRUST 1999-1	NY					X	X					10/31/01	
DISTRIBUTION FINANCIAL SERVICES RV TRUST 1999-3	NY					X	X					10/31/01	
DIVINE INC	DE		X			X	X					11/06/01	
DOUBLECLICK INC	DE					X	X					11/12/01	
DRS TECHNOLOGIES INC	DE					X	X					09/28/01	AMEND
DVI RECEIVABLES CORP VIII	DE					X	X					11/30/01	
EDUCATION LOANS INC /DE	DE					X	X					11/20/01	
ELECTRIC FUEL CORP	DE					X	X					09/17/01	
ENBRIDGE ENERGY PARTNERS LP	DE					X	X					11/20/01	
EQUITY ONE ABS INC	DE					X	X					11/16/01	
ESENJAY EXPLORATION INC	OK					X						11/21/01	
FERRO CORP	OH		X					X				09/07/01	AMEND
FGIC SECURITIES PURCHASE INC	DE					X	X					11/21/01	
FINAN AS SEC CP SDVW HM EQ LN TR 20 01-2 AS BK CER SER 2001-2	DE					X						10/25/01	
FIRST HORIZON ASSET SECURITIES INC	DE					X	X					11/19/01	
FIRSTFED FINANCIAL CORP	DE						X	X				10/31/01	
FISHER COMMUNICATIONS INC	WA					X	X		X			11/20/01	
FORTRESS GROUP INC	DE								X			11/21/01	
FOX ENTERTAINMENT GROUP INC	DE					X	X					10/01/01	
GENERAL GROWTH PROPERTIES INC	DE					X	X					11/20/01	
GENSYM CORP	DE		X					X				11/09/01	
GIANT GROUP LTD	DE					X						10/18/01	AMEND
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					11/19/01	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X	X					11/19/01	

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		1	2	3	4	5	6	7	8	9		
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN					X		X			11/19/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE					X		X			11/19/01	
GS MORTGAGE SECURITIES CORP	DE					X					11/21/01	
HEMET BANCORP	CA					X		X			11/21/01	
HIA INC	NY					X		X			11/15/01	
HOT PRODUCTS INC COM	AZ					X					11/21/01	
HOUSEHOLD CREDIT CARD MASTER NOTE T RUST I	DE							X			11/15/01	
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE							X			10/15/01	
ICHANCE INTERNATIONAL INC	NV							X			09/14/01	AMEND
IMH ASSETS CORP	DE		X			X		X			11/09/01	
IMPAC ASSETS SECURED CORP MORT PASS THR CERT SER 2001-4	CA					X					10/25/01	
IMPAC CMB TRUST SERIES 2001-1	DE					X					10/25/01	
IMPAC SECURED ASSETS CORP	CA		X			X		X			11/09/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-1	CA					X					10/25/01	
IMPAC SECURED ASSETS CORP MORT PASS THROUGH CERT SER 2001-3	CA					X					10/25/01	
INDYMAC ABS INC HM EQ MORT LN ASSET BACK TR SER SPMD 2001-B	DE					X					10/25/01	
INDYMAC INC HOME EQ MORT LOAN AS-BC K TR SER SPMD 2001-A	DE					X					10/25/01	
INTERFOODS OF AMERICA INC	NV							X	X		11/21/01	
INTERNET CABLE CORP	NV					X		X			11/14/01	
JEWETT CAMERON TRADING CO LTD	OR					X		X			11/21/01	
JP MORGAN CHASE COMMERCIAL MORTGAGE SECURITIES CORP	DE					X		X			11/20/01	
J2 COMMUNICATIONS /CA/	CA					X		X			11/20/01	
KELLSTROM INDUSTRIES INC	DE					X		X			11/19/01	
KLAMATH FIRST BANCORP INC	OR		X					X			09/07/01	AMEND
KNOT INC	DE					X					11/20/01	
KUSHNER LOCKE CO	CA			X		X					11/21/01	
LAMAUR CORP	DE		X					X			11/13/01	
LAWGIBB GROUP INC	GA					X					11/20/01	
LINCOLN NATIONAL CORP	IN					X		X			11/19/01	
LONG BEACH SECURITIES CORP ASSET BA CK CERT SER 2001-2	DE					X					10/25/01	
MARKEL CORP	VA					X		X			11/15/01	
MAX DEVELOPMENT INC	CO		X	X				X	X	X	11/16/01	
MB FINANCIAL INC /MD	MD		X					X			11/06/01	
MELLON FINANCIAL CORP	PA					X		X			11/20/01	
MERRILL LYNCH & CO INC	DE					X		X			11/21/01	
MORGAN STANLEY ABS CAP I INC MOR LO AN AS BK CER SER 2001-WF1	DE					X					10/25/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-NC1								X			10/25/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-NC2	DE					X					09/25/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001 12	DE					X	X				11/25/01	
MSDW STRUCTURED SATURNS SERIES 2001 -5	DE							X			11/01/01	
MULTINET INTERNATIONAL CORP INC	NV					X					11/21/01	
NATIONAL PENN BANCSHARES INC	PA					X	X				11/16/01	
NATIONSBANK OF DELAWARE NA	DE					X	X				11/15/01	
NATIONSCREDIT GRANTOR TRUST 1996-1	DE					X	X				11/09/01	
NATIONSCREDIT GRANTOR TRUST 1997-1	DE					X	X				11/09/01	
NATIONSCREDIT GRANTOR TRUST 1997-2	DE					X	X				11/09/01	
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE							X			11/01/01	
NATIONSRENT INC	DE					X	X				11/21/01	
NAVIGANT CONSULTING INC	DE					X					11/21/01	
NETWORK ACCESS SOLUTIONS CORP	DE					X					11/16/01	
NET2000 COMMUNICATIONS INC	DE		X	X				X			11/21/01	
NEXTEL COMMUNICATIONS INC	DE					X	X				11/21/01	
NORTHEAST UTILITIES SYSTEM	MA						X				11/19/01	
NORTHLAND CRANBERRIES INC /WI/	WI	X						X			11/06/01	
NORTHROP GRUMMAN CORP /DE/	DE					X	X				11/21/01	
NTELOS INC	VA					X	X				11/21/01	
ONCURE TECHNOLOGIES CORP	FL				X		X				11/16/01	
ONEOK INC /NEW/	OK					X	X				11/21/01	
OSTEOTECH INC	DE					X					11/15/01	
PACIFICORP /OR/	OR					X	X				11/21/01	
PHOENIX COMPANIES INC/DE	DE						X	X			12/31/01	
POOLED AUTO SECURITIES SHELF LLC	DE					X	X				11/21/01	
PREFERRED VOICE INC	DE					X	X				11/21/01	
PRICE LEGACY CORP	MD						X	X			11/20/01	
PRIME GROUP REALTY TRUST	MD					X					11/21/01	
PRIME RECEIVABLES CORP	DE							X			11/15/01	
PROVIDIAN MASTER TRUST							X				11/15/01	
PROVIDIAN NATIONAL BANK /NEW/							X				11/15/01	
PRUDENTIAL SECURITIES SEC FIN CORP MOR PAS THR CER 2001-C1	DE							X			11/01/01	
RAMTRON INTERNATIONAL CORP	DE					X	X				11/20/01	
RESTORATION HARDWARE INC	CA					X	X				11/20/01	
RRUN VENTURES NETWORK INC	NV					X					11/21/01	
RVM INDUSTRIES INC	DE		X					X			11/17/01	
RYDER SYSTEM INC	FL								X		11/21/01	
SAKS INC	TN					X	X				11/20/01	
SALES ONLINE DIRECT INC	DE						X				11/07/01	
SAXON ASSET SEC TRUST 2001-1 MTG LN ASSET BK CERT SER 2001-1							X				10/25/01	
SECURITY CAPITAL GROUP INC/	MD		X					X			11/20/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
SERVICE MERCHANDISE CO INC	TN				X	X					10/01/01	
SI DIAMOND TECHNOLOGY INC	TX				X	X					11/19/01	
SIGMATRON INTERNATIONAL INC	DE				X	X					11/06/01	AMEND
SILICON IMAGE INC	DE					X					06/07/01	AMEND
SIMTEK CORP	CO					X					11/19/01	
SINGLE SOURCE FINANCIAL SERVICES CO RP									X		11/12/01	
SMARTIRE SYSTEMS INC						X	X				11/16/01	
SPANISH BROADCASTING SYSTEM INC	DE								X		11/06/01	
SPM GROUP INC	CO	X			X	X					11/15/01	
STEWART & STEVENSON SERVICES INC	TX				X	X					11/21/01	
STOKELY VAN CAMP INC	IN				X	X					11/21/01	
STORAGE USA INC	TN				X	X					11/21/01	
STRUCTURED ASS MO INV INC WSH MUT M O L T M O P T H C E S E 01-1	DE					X					10/25/01	
STRUCTURED ASSET SECURITIES CORP	DE					X	X				11/19/01	
STRUCTURED PRODUCTS CORP	DE					X	X				11/20/01	
SUN POWER CORP						X					11/21/01	
SUPERIOR NATIONAL INSURANCE GROUP I NC	DE			X			X				11/21/01	
SURGICAL SAFETY PRODUCTS INC	NY				X		X				11/15/01	AMEND
SUSA PARTNERSHIP LP	TN					X	X				11/21/01	
TEAM MUCHO INC	OH					X	X				11/21/01	
THERMACELL TECHNOLOGIES INC	FL	X	X	X		X					09/30/01	
THQ INC	DE					X					11/21/01	
TRANSWITCH CORP /DE	DE					X	X				11/16/01	
TRUSERV CORP	DE					X	X				11/20/01	
ULTRATECH STEPPER INC	DE						X	X			11/21/01	
UNITED AUSTRALIA PACIFIC INC	CO					X					11/15/01	
UNITED NATIONAL BANCORP	NJ					X	X				11/20/01	
UNITED ONLINE INC	DE					X	X				11/15/01	
VANDERBILT ABS CORP	DE					X	X				11/21/01	
VANDERBILT MORTGAGE & FINANCE INC	TN					X	X				11/21/01	
VARIAN INC	DE					X	X				11/02/01	
VENUS EXPLORATION INC	DE					X	X				11/19/01	
VISTA BANCORP INC	NJ					X	X				11/19/01	
VIZACOM INC	DE					X	X				11/19/01	
VORNADO REALTY TRUST	MD					X	X				11/21/01	
WAMU MORTGAGE PASS THROUGH CERTIFIC ATES SERIES 2001-AR3	DE						X				11/09/01	
WASHINGTON BANKING CO	WA					X		X			11/15/01	
WASHINGTON GROUP INTERNATIONAL INC	DE						X				11/15/01	
WASHINGTON MUTUAL MOR LOAN TRU MOR PASS THRU CERT SER 2001-7	DE						X				10/25/01	
WESTERN SIERRA BANCORP	CA					X	X				11/15/01	
WORLD FINANCIAL NETWORK CREDIT CARD MASTER TRUST	NY					X	X				11/15/01	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH					X	X				11/15/01	
YAPALOT COMMUNICATIONS HOLDINGS INC	DE					X		X			11/14/01	
YORK RESEARCH CORP	DE		X				X				11/21/01	