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# SEC NEWS DIGEST

Issue 99-240

December 15, 1999

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## COMMISSION ANNOUNCEMENTS

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### SEC PROPOSES RULE TO BAN SELECTIVE DISCLOSURE OF MATERIAL INFORMATION; ADOPTS RULES TO ENHANCE AUDIT COMMITTEE EFFECTIVENESS

The Commission today proposed new rules to ban selective disclosure of material information and to clarify insider trading rules, and adopted rules to enhance the effectiveness of corporate audit committees.

Securities and Exchange Commission Chairman Arthur Levitt said, "The all-too-common practice of selectively disseminating material information is a disservice to investors and undermines the fundamental principle of fairness. This practice leads to potential conflicts of interest for analysts and undermines investor confidence in our markets. Sixteen months ago, I voiced concerns over what I saw as an emerging culture of gamesmanship within the financial reporting process. A culture that allowed the pressure to meet earnings expectations to come before long established precepts of financial reporting, and ethical restraint. The rules we passed today are positive steps in the direction of even greater integrity in the financial reporting and public disclosure process."

#### Rules Proposed to Ban Selective Disclosure and Clarify Insider Trading Laws

- \* **Selective Disclosure:** The Commission proposed a new rule, Regulation FD (Fair Disclosure), which would bar companies from selectively disclosing material information.
- \* **Use/Possession Issue:** The Commission proposed a new rule that says insider trading liability arises when a person trades while "aware" of material nonpublic information. Under current law, courts have split on the issue of whether insider trading liability requires trading while in "knowing possession" of material nonpublic information, or proof that the trader "used" the information in trading.
- \* **Misappropriation of Information Theory Involving Family and Other Personal Relationships:** The Commission proposed a rule to clarify when a person (family member or other personal

relationship) receiving confidential information would owe a duty of trust or confidence to the person giving the information and therefore could not trade on that information.

### Rules Adopted to Enhance Audit Committee Effectiveness

The Commission also adopted new rules to enhance audit committee effectiveness, to improve disclosure about audit committees, and to enhance the reliability of financial statements. These rules include requirements that: companies' interim financial statements be reviewed by independent auditors before being filed with the Commission; companies provide in their proxy statements a report from the audit committee that discloses whether it recommended to the Board that the audited financial statements be included in Forms 10-K and 10-KSB for filing with the Commission; companies disclose in their proxy statements whether the audit committee has a written charter, and file a copy of their charter every three years; companies whose securities are listed on the NYSE or AMEX or are quoted on Nasdaq disclose certain information about any audit committee member who is not "independent"; and all companies disclose whether the audit committee members are "independent."

Detailed fact sheets are available on the Commission's website at [www.sec.gov](http://www.sec.gov). (Press Rel. 99-171)

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## RULES AND RELATED MATTERS

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### CONCEPT RELEASE ON FOREIGN UTILITY HOLDING COMPANIES

The Commission has issued a concept release soliciting public comment on various issues arising under the Public Utility Holding Company Act of 1935 with respect to the acquisition of U.S. utilities by foreign companies that will register as holding companies under the Act following the acquisition. Publication of the release is expected in the Federal Register during the week of December 20, and comments are requested on or before 45 days after the date of publication. For further information concerning the release, contact Catherine A. Fisher or Mark F. Vilaro in the SEC's Office of Public Utility Regulation at (202) 942-0545. (Rel. 35-27110; International Series 1210; File No. S7-30-99)

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## ENFORCEMENT PROCEEDINGS

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### ADMINISTRATIVE PROCEEDINGS SETTLED AGAINST WILLIAM FAIN, JR.

On December 14, the Commission settled administrative proceedings against William A. Fain, Jr. (Fain) of Columbia, Maryland.

Previously, on May 25, 1999, the Commission entered an Order Instituting Administrative Proceedings Pursuant to Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 (Order) against Fain based on his January 11, 1999, conviction for conspiracy to commit securities fraud, mail fraud and wire fraud (U.S. v. Fain, Case No. 98-8112-CR-Ryskamp, S.D. Fla. 1998). The Commission's Order Instituting Proceedings alleged that while associated as a registered representative of a registered broker-dealer, Fain accepted undisclosed fees of approximately \$18,200 from a promoter for inducing his clients to purchase securities of certain issuers affiliated with the promoter. In his Offer of Settlement, Fain agreed to an Order barring him from association with any broker or dealer. (Rel. 34-42234; File No. 3-9909)

#### **CIVIL ACTION AGAINST CORNERSTONE PRODIGY GROUP, INC., ET AL.**

On December 10, Judge Terry R. Means, United States District Judge for the Northern District of Texas, ordered, pursuant to the parties' agreement, the continuation of an asset freeze against Cornerstone Prodigy Group, Inc. and its principals Gary D. Reeder, a convicted felon, and Sandra Reeder, a licensed securities professional. In an agreed preliminary injunction, the court ordered the Reeders to immediately surrender their passports and prohibited them from traveling outside the continental United States. (After learning of the initial Temporary Restraining Order entered against them on November 24, 1999, the Reeders fled to Canada, but subsequently returned.) Judge Means also required the Reeders to file a sworn accounting by December 28, 1999, of 1) all money transferred to a checking account used to pay their personal expenses, 2) all accounts into which investors' funds were deposited, and 3) all money from investors not deposited into accounts. The complaint filed by the U.S. Securities and Exchange Commission (Commission) alleges that since early 1999, the Reeders raised over \$16.5 million from over 600 investors through internet and cold-call sales in the Ponzi scheme they perpetuated.

To protect the Commission's ability to recover the funds raised in the Reeders' fraudulent securities scheme, the court subjected the Reeders to a continuing duty to identify and repatriate all assets located outside the United States. The court also limited the Reeders to \$5,000 per month for living expenses for a period of 90 days, with any additional amounts subject to reconsideration by the court.

The case was investigated jointly by the Commission, the Texas State Securities Board (TSSB), and the Federal Bureau of Investigation (FBI). The Commission wishes to acknowledge the very valuable assistance of both the TSSB and the FBI in this matter. [SEC v. Cornerstone Prodigy Group, Inc., Gary D. Reeder, Sandra Reeder and Executive Netprofits, Inc. (Defendant Solely for Purposes of Equitable Relief) Case No. 4:99-CV-0978-Y USDC, NDTX, Dallas Division] (LR-16390)

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## INVESTMENT COMPANY ACT RELEASES

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### CONNING ASSET MANAGEMENT COMPANY, ET AL.

An order has been issued on an application filed by Conning Asset Management Company and Cova Investment Advisory Corporation for an exemption from Section 15(a) of the Investment Company Act. The order would permit the implementation, without prior shareholder approval, of investment advisory agreements and subadvisory agreements (New Agreements) for a period of up to 150 days beginning on the later of the date on which Metropolitan Life Insurance Company acquires all the common stock of GenAmerica Corporation from General American Mutual Holding Company or the date the requested order is issued, and continuing until the date the New Agreements are approved or disapproved by shareholders of the relevant investment company (but in no event later than April 30, 2000) (Interim Period). The order also would permit the payment of all fees earned under the New Agreements during the Interim Period following shareholder approval. (Rel. IC-24192 - December 14)

### CORPORATE INCOME FUND, ET AL.

An order has been issued on an application filed by Corporate Income Fund, et al., exempting applicants from Section 17(a) of the Investment Company Act. The order permits transactions in certain securities between series of certain registered unit investment trusts. The order supersedes a prior order. (Rel. IC-24193 - December 14)

### THE FIRST AUSTRALIA PRIME INCOME FUND, INC., ET AL.

An order has been issued on an application filed by The First Australia Prime Income Fund, Inc. and The First Commonwealth Fund, Inc., registered closed-end management investment companies, under Section 6(c) of the Investment Company Act. The order exempts the applicants from Section 19(b) of the Act and Rule 19b-1 under the Act to permit them to make periodic distributions of net long-term capital gains in any one taxable year with respect to their common stock and/or preferred stock, so long as they maintain in effect distribution policies. (Rel. IC-24194 - December 14)

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## SELF-REGULATORY ORGANIZATIONS

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### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the American Stock Exchange, Pacific Exchange, and the Chicago Board Options Exchange (SR-Amex-99-40; SR-PCX-99-41; SR-CBOE-99-59) making permanent the elimination of position and exercise limits for FLEX

Equity options. (Rel. 34-42223)

#### PROPOSED RULE CHANGES

The New York Stock Exchange filed Amendment No. 5 to a proposed rule change (SR-NYSE-94-34) to revise NYSE Rule 92, "Limitations on Members' Trading Because of Customers' Orders." Publication of the proposal is expected in the Federal Register during the week of December 20. (Rel. 34-42224)

The New York Stock Exchange filed a proposed rule change (SR-NYSE-99-38) to amend its Minor Rule Violation Plan. Publication of the proposal is expected in the Federal Register during the week of December 20. (Rel. 34-42225)

#### DELISTINGS GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Grupo Iusacell, S.A. de C.V., Series D. American Depositary Shares (each representing 10 Series D Shares of Common Stock). (Rel. 34-42215)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Red Roof Inns, Inc., Common Stock, \$.01 par value. (Rel. 34-42216)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration Planet Hollywood International, Inc., Class A Common Stock, \$.01 par value. (Rel. 34-42217)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration EKCO Group, Inc., Class A Common Stock, \$.01 par value. (Rel. 34-42218)

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration The Options Clearing Corporation, call and put option contracts relating to certain underlying securities. (Rel. 34-42230)

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#### SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-B ISRAEL STATE OF, 800 SECOND AVENUE 17TH FLOOR, NEW YORK, NY 10017  
(212) 560-0600 - 1,000,000,000 (\$1,000,000,000)  
FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 333-11212 - DEC. 07) (BR. 99)
- S-1 PURCHASEPRO COM INC, 3291 N BUFFALO DR, LAS VEGAS, NV 89129  
(702) 316-7000 - \$323,150,000 COMMON STOCK. (FILE 333-92303 - DEC. 08)  
(BR. 6)
- SB-2 SHOCHET HOLDING CORP, 2351 EAST HALLANDALE BEACH, HALLANDALE, FL 33998  
(954) 454-0304 - 920,000 (\$8,280,000) COMMON STOCK. 80,000 (\$80)  
WARRANTS, OPTIONS OR RIGHTS 80,000 (\$792,000) COMMON STOCK (FILE  
333-92307 - DEC 08) (BR. 7 - NEW ISSUE)
- S-8 AGENCY COM LTD, 665 BROADWAY, NEW YORK, NY 10012 (212) 358-8220 -  
11,991,882 (\$278,891,265 39) COMMON STOCK (FILE 333-92309 - DEC. 08)  
(BR. 8)
- S-8 DSET CORP, 1011 US HIGHWAY 22, BRIDGEWATER, NJ 08807 (908) 526-7500 -  
4,687,689 (\$37,502,982) COMMON STOCK. (FILE 333-92311 - DEC. 08) (BR. 3)
- S-3 CONSECO FINANCE CORP, 1100 LANDMARK TOWERS, 345 ST PETER ST, SAINT PAUL,  
MN 55102 (651) 293-3400 - 1,000,000,000 (\$1,000,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-92313 - DEC. 08) (BR. 8)
- S-8 CASSCO CAPITAL CORP, 18880 MARSH LANE, NO 2004, DALLAS, TX 75287  
(972) 306-4604 - 3,000,000 (\$90,000) COMMON STOCK. (FILE 333-92317 -  
DEC. 08) (BR. 5)
- S-8 CASSCO CAPITAL CORP, 18880 MARSH LANE, NO 2004, DALLAS, TX 75287  
(972) 306-4604 - 690,000 (\$17,250) COMMON STOCK. (FILE 333-92319 -  
DEC 08) (BR. 5)
- S-8 LOCKHEED MARTIN CORP, 6801 ROCKLEDGE DR, BETHESDA, MD 20817  
(301) 897-6000 - 350,000 (\$6,790,000) COMMON STOCK. (FILE 333-92321 -  
DEC 08) (BR. 5)
- S-3 MICROCHIP TECHNOLOGY INC, 2355 W CHANDLER BLVD, CHANDLER, AZ 85224  
(480) 786-7200 - 1,265,000 (\$94,084,375) COMMON STOCK. (FILE 333-92323 -  
DEC. 08) (BR. 5)
- S-1 INFORTE CORP, 150 NORTH MICHIGAN AVENUE SUITE 3400, CHICAGO, IL 60601  
(312) 540-0900 - \$50,000,000 COMMON STOCK (FILE 333-92325 - DEC. 08)  
(BR 3 - NEW ISSUE)
- S-8 APPLIED DIGITAL SOLUTIONS INC, 400 ROYAL PALM WAY, SUITE 410,  
PALM BEACH, FL 33480 (561) 366-4800 - 5,000,000 (\$37,281,500) COMMON STOCK.  
(FILE 333-92327 - DEC. 08) (BR. 7)
- S-8 SMITH A O CORP, P O BOX 245009, MILWAUKEE, WI 53224 (414) 359-4000 -  
1,500,000 (\$29,859,375) COMMON STOCK. (FILE 333-92329 - DEC. 08) (BR. 5)
- SB-2 BIOLYNX COM INC, 5617 GRISSOM RD, SAN ANTONIO, TX 78238 (210) 256-8300  
- 1,000,000 (\$4,000,000) COMMON STOCK. (FILE 333-92331 - DEC. 08) (BR. 9)
- S-4 AVON PRODUCTS INC, 1345 AVENUE OF THE AMERICAS, NEW YORK, NY 10105  
(212) 282-5000 - 500,000,000 (\$492,617,000) STRAIGHT BONDS. (FILE  
333-92333 - DEC. 08) (BR. 2)
- S-4 PEOPLES BANCORP, 212 WEST 7TH ST, AUBURN, IN 46706 (219) 925-2500 -  
852,865 (\$12,899,584) COMMON STOCK. (FILE 333-92335 - DEC. 08) (BR. 7)

S-8 ELTRAX SYSTEMS INC, 2000 TOWN CENTER STE 690, SOUTHFIELD, MI 48075  
(248) 358-1699 - 1,000,000 (\$7,343,750) COMMON STOCK. (FILE 333-92337 -  
DEC 08) (BR. 3)

S-8 VIATEL INC, 685 THIRD AVE, NEW YORK, NY 10017 (212) 350-9200 -  
5,117,500 (\$220,692,187.50) COMMON STOCK. (FILE 333-92339 - DEC. 08)  
(BR. 7)

S-8 PREVIEW SYSTEMS INC, 1600 S DEANZA BLVD, SUITE 100, CUPERTINO, CA 95014  
- 5,231,000 (\$57,893,742.16) COMMON STOCK (FILE 333-92341 - DEC 08)  
(BR. 8)

S-8 STYLECLICK COM INC, 3861 SEPULVEDA BLVD, CULVER CITY, CA 90230  
(310) 312-9826 - 850,000 (\$9,987,500) COMMON STOCK (FILE 333-92343 -  
DEC. 08) (BR. 3)

S-8 CAPITAL ONE FINANCIAL CORP, 2980 FAIRVIEW PARK DRIVE, STE 1300,  
FALLS CHURCH, VA 22042 (703) 205-1000 - 1,500,000 (\$75,375,000)  
COMMON STOCK. (FILE 333-92345 - DEC. 08) (BR. 7)

SB-2 NEW TECH VENTURES INC, C/O HERBERT MAXWELL, 1501 BROADWAY SUITE 1807,  
NEW YORK, NY 10036 - 4,000,000 (\$120,000) COMMON STOCK. (FILE 333-92347 -  
DEC. 08) (NEW ISSUE)

S-8 FURRS BISHOPS INC, 3001 E PRESIDENT GEORGE BUSH HWY, SUITE 200,  
RICHARDSON, TX 75085 (972) -80-8-29 - 2,000,000 (\$1,180,000) COMMON STOCK.  
(FILE 333-92349 - DEC. 08) (BR. 5)

S-4 JDS UNIPHASE CORP /CA/, 163 BAYPOINTE PKWY, SAN JOSE, CA 95134  
(408) 434-1800 - 15,456,974 (\$3,018,901,592) COMMON STOCK (FILE  
333-92351 - DEC. 08) (BR. 5)

S-3 BIGMAR INC, 9711 SPORTSMAN CLUB RD, JOHNSTOWN, OH 43031 (614) 966-5800  
- 4,658,973 (\$11,973,561) COMMON STOCK. (FILE 333-92353 - DEC 08)  
(BR. 1)

S-3 AVIGEN INC \DE, 1201 HARBOR BAY PARKWAY, SUITE 1000, ALAMEDA, CA 94502  
(510) 748-7150 - 2,962,047 (\$98,117,807) COMMON STOCK. (FILE 333-92355 -  
DEC. 08) (BR. 1)

S-8 BEAR STEARNS COMPANIES INC, 245 PARK AVE, NEW YORK, NY 10167  
(212) 272-2000 - 16,000,000 (\$644,000,000) COMMON STOCK (FILE 333-92357 -  
DEC. 08) (BR. 7)

S-8 SILICON GAMING INC, 2800 WEST BAYSHORE, PALO ALTO, CA 94303  
(650) 842-9000 - 15,657,490 (\$234,862.35) COMMON STOCK (FILE 333-92359 -  
DEC. 08) (BR. 3)

S-3 THQ INC, 27001 AGOURA ROAD, SUITE # 325, CALABASAS HILLS,, CA 91301  
(818) 871- - 363,714 (\$12,729,990) COMMON STOCK. (FILE 333-92361 -  
DEC. 08) (BR. 3)

S-8 LOCKHEED MARTIN CORP, 6801 ROCKLEDGE DR, BETHESDA, MD 20817  
(301) 897-6000 - 1,000,000 (\$19,400,000) COMMON STOCK (FILE 333-92363 -  
DEC. 08) (BR. 5)

S-4 WELLS FARGO & CO/MN, 420 MONTGOMERY STREET, SIXTH & MARQUETTE,  
SAN FRANCISCO, CA 94163 (612) 667-1234 - 5,500,000 (\$111,375,000)  
COMMON STOCK. (FILE 333-92365 - DEC. 08) (BR. 7)

S-1 STUDENT ADVANTAGE INC, 280 SUMMER STREET, BOSTON, MA 02210  
(617) 912-2011 - 1,219,447 (\$27,094,588) COMMON STOCK (FILE 333-92367 -  
DEC. 08) (BR. 5)

S-1 LANTE CORP, 161 NORTH CLARK STREET, SUITE 4900, CHICAGO, IL 60601  
(312) 696-5000 - \$50,000,000 COMMON STOCK (FILE 333-92373 - DEC. 08)  
(NEW ISSUE)

S-8 FOUR MEDIA CO, 2813 W ALAMEDA, BURBANK, CA 91505 (818) 840-7000 -  
4,143,839 (\$35,709,097) COMMON STOCK. (FILE 333-92375 - DEC. 08) (BR. 5)

S-8 AZTEC MANUFACTURING CO, 400 N TARRANT RD, CROWLEY, TX 76036  
(817) 297-4361 - 48,737 (\$456,909) COMMON STOCK (FILE 333-92377 -  
DEC. 08) (BR. 5)

S-8 FREESHOP COM INC, 95 SOUTH JACKSON, STE 300, SEATTLE, WA 98104  
(206) 441-9100 - 2,268,883 (\$18,529,056 50) COMMON STOCK (FILE 333-92379  
- DEC 08) (BR 8)

S-4 TST/IMPRESO INC, 652 SOUTHWESTERN BLVD, COPPELL, TX 75019 (214) 462-0100  
- 5,292,780 (\$17,863,132) COMMON STOCK (FILE 333-92381 - DEC. 08)  
(BR. 5)

S-1 CHARLES RIVER LABORATORIES HOLDINGS INC, 261 BALLARDVALE STREET,  
WILMINGTON, MA 01867 (978) 658-6000 - 150,000 (\$1,500,000)  
WARRANTS, OPTIONS OR RIGHTS. 591,366 (\$1,496,156) COMMON STOCK (FILE  
333-92383 - DEC. 08) (NEW ISSUE)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website. <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABC DISPENSING TECHNOLOGIES INC	FL						X				12/31/99	
ABRAXAS PETROLEUM CORP	NV								X		12/14/99	AMEND
ACETO CORP	NY	X									12/07/99	
ADVANTAGE MEDIA GROUP INC /OK	OK								X		09/30/99	AMEND
AES CORPORATION	DE		X								11/30/99	
AIRPLANES LTD	DE					X					12/13/99	
AIRPLANES US TRUST	DE					X					12/13/99	
ALLEGHENY TECHNOLOGIES INC	DE		X			X					11/29/99	
ALPHA BYTES INC	CO					X	X				12/06/99	
AMB PROPERTY CORP	MD		X						X		12/01/99	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				12/14/99	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				12/14/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X	X				12/14/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X	X				12/14/99	
AMERICAN RESOURCES OFFSHORE INC	DE					X	X				12/10/99	
AMERICREDIT FINANCIAL SERVICES INC	DE					X	X				12/15/99	
AMERICREDIT FINANCIAL SERVICES INC	DE					X	X				12/15/99	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					12/15/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					12/15/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					12/15/99	
AMSURG CORP	TN					X					09/30/99	AMEND
ANTHRACITE CAPITAL INC	MD				X	X					12/02/99	
ANYTHING INTERNET CORP	CO				X	X					12/06/99	
APACHE CORP	DE					X					11/30/99	AMEND
APPLE SUITES INC	VA		X			X					11/29/99	
APPLIED DIGITAL SOLUTIONS INC	MO		X								11/28/99	
ASHFORD COM INC	DE				X	X					11/23/99	
ASSET BACKED FUNDING CORP	DE				X	X					12/13/99	
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2	DE	X									12/14/99	AMEND
ATMI INC	DE		X			X					11/29/99	
AUTONATION INC /FL	DE				X	X					12/13/99	
AVERY COMMUNICATIONS INC	DE		X			X					09/30/99	AMEND
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					11/23/99	
BANK OF NEW ENGLAND CORP	MA					X					11/30/99	
BEA SYSTEMS INC	DE				X	X					12/09/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					11/24/99	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE				X	X					08/10/99	
BERKSHIRE BANCORP INC /DE/	DE						X				12/10/99	
BERYLLIUM INTERNATIONAL CORP /UT/	UT				X	X					12/13/99	
BROOKS AUTOMATION INC	DE					X					09/30/99	AMEND
CAL MAINE FOODS INC	DE					X					11/13/99	AMEND
CAPROCK COMMUNICATIONS CORP	TX				X	X					12/06/99	
CE CASECNAN WATER & ENERGY CO INC						X					12/13/99	
CENTURY PENSION INCOME FUND XXIII	CA			X		X					12/10/99	
CENTURY PENSION INCOME FUND XXIV	CA			X		X					12/10/99	
CENTURY PROPERTIES FUND XX	CA			X		X					12/10/99	
CEPHALON INC	DE				X						12/14/99	
CEREUS TECHNOLOGY PARTNERS INC	DE			X		X					12/10/99	
CHANDLER INSURANCE CO LTD		X									12/10/99	
CLARION TECHNOLOGIES INC/DE/	DE					X					10/01/99	AMEND
CLARITI TELECOMMUNICATIONS INTERNAT IONAL LTD	DE					X					12/14/99	AMEND
CMERUN INC					X						12/02/99	
CMERUN INC					X						12/02/99	
COMCAST CORP	PA			X	X						12/13/99	
COMTEX NEWS NETWORK INC	NY				X						12/09/99	
CREDENCE SYSTEMS CORP	DE				X	X					12/09/99	
CREDITRUST CORP	MD				X						12/10/99	
CROWN CASTLE INTERNATIONAL CORP	DE				X	X					11/19/99	
CROWN GROUP INC /TX/	TX		X			X					12/01/99	
CYGNUS INC /DE/	DE				X	X					12/02/99	
DAIN RAUSCHER CORP	DE				X	X					12/10/99	
DATA SYSTEMS & SOFTWARE INC	DE					X					08/30/99	AMEND
DATATRAK INTERNATIONAL INC	OH				X						12/08/99	
DECRANE AIRCRAFT HOLDINGS INC	OH					X					10/06/99	AMEND
DECRANE HOLDINGS CO	OH					X					10/06/99	AMEND
DEERE & CO	DE					X					12/13/99	
DESIGN AUTOMATION SYSTEMS INC	TX		X			X					11/29/99	
DETREX CORPORATION	MI				X						12/14/99	
DISCOVER CARD MASTER TRUST I	DE				X	X					12/10/99	
DISCOVERY INVESTMENTS INC	NV				X	X					12/10/99	
DOBSON COMMUNICATIONS CORP	OK				X						12/14/99	
DONALDSON CO INC	DE				X						12/11/99	
DOT HILL SYSTEMS CORP	NY			X		X					12/09/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
E NET INC	DE				X	X					12/08/99	
EAGLE POINT SOFTWARE CORP	DE				X	X					12/01/99	
EDISON BROTHERS STORES INC	DE				X	X					12/13/99	
ELECTRONIC PROCESSING INC	MO		X			X					11/29/99	
EMUSIC COM INC	DE				X	X					11/29/99	
ENGINEERED SUPPORT SYSTEMS INC	MO				X	X					12/13/99	
ENGINEERED SUPPORT SYSTEMS INC	MO					X					12/14/99	AMEND
ESOFT INC	DE					X					09/10/99	AMEND
EVENTURES GROUP INC	DE			X			X				12/06/99	
EXIGENT INTERNATIONAL INC	DE	X									12/14/99	
FAIRCHILD CORP	DE		X			X					12/01/99	
FIDELITY HOLDINGS INC	NV				X	X					12/08/99	
FINGERHUT MASTER TRUST	DE					X					12/14/99	
FINGERHUT RECEIVABLES INC	DE					X					12/14/99	
FIRST PLACE FINANCIAL CORP /DE/	DE				X	X					11/30/99	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC				X	X					12/09/99	
FJS PROPERTIES FUND I LP	DE			X		X					12/13/99	
FLEET CREDIT CARD MASTER TRUST II	NY	X									12/15/99	
FOSTER WHEELER CORP	NY				X	X					12/13/99	
FRANKLIN ELECTRONIC PUBLISHERS INC	PA				X	X					12/09/99	
FRANKLIN OPHTHALMIC INSTRUMENTS CO INC	DE				X	X					11/29/99	
FUTURELINK CORP	DE				X	X					11/15/99	
GE CAPITAL MORTGAGE SERVICES INC	NJ	X				X					11/29/99	
GENERAL MAGIC INC	DE				X	X					12/09/99	
GENERAL MOTORS CORP	DE				X						12/10/99	
GENERAL SEMICONDUCTOR INC	DE				X	X					12/01/99	
GIANT CEMENT HOLDING INC	DE	X				X					12/09/99	
GOLDEN STAR RESOURCES LTD						X					09/30/99	AMEND
GREENPOINT CREDIT LLC	DE				X	X					12/14/99	
H&R BLOCK INC	MO		X			X					12/01/99	
HANOVER COMPRESSOR CO	DE				X	X					12/10/99	
HAUSER INC	CO				X						12/09/99	
HS RESOURCES INC	DE	X				X					11/26/99	
HYDROGIENE CORP/NV	NV	X	X		X	X					12/13/99	
IEXALT INC	NV	X				X					10/01/99	AMEND
INACOM CORP	DE				X						12/14/99	
INMEDICA DEVELOPMENT CORP	UT				X						12/01/99	
JAWS TECHNOLOGIES INC /NY	NV				X	X					12/02/99	AMEND
JOHNSON & JOHNSON	NJ				X	X					10/06/99	
KANA COMMUNICATIONS INC	CA				X	X					12/03/99	
KRANZCO REALTY TRUST	MD				X	X					12/14/98	
KULICKE & SOFFA INDUSTRIES INC	PA				X	X					12/14/99	
LADD FURNITURE INC	NC				X	X					12/13/99	
LIBERTY GROUP OPERATING INC	DE		X			X					12/14/99	AMEND
LIBERTY GROUP PUBLISHING INC	DE					X					12/14/99	AMEND
LIGAND PHARMACEUTICALS INC	DE				X	X					12/14/99	
LYNCH INTERACTIVE CORP					X	X					12/10/99	
MARKETPLACE INCOME PROPERTIES LIMIT ED PARTNERSHIP	NC			X							12/09/99	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE	X			X	X					11/30/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST					X	X					11/30/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II		X			X	X					11/30/99	
MBNA CORP	MD				X						11/30/99	
MCCLAIN INDUSTRIES INC	MI				X						12/13/99	
MEDCO RESEARCH INC	DE				X	X					11/30/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MELLON BANK NA MELLON BANK HOME EQU ITY LOAN TRUST 1996-1	NY				X	X					12/10/99	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY				X	X					12/09/99	
MERCHANTS BANCORP INC/DE/	DE				X	X					12/13/99	
MERIDIAN HOLDINGS INC	CO	X			X						12/10/99	
MERIT SECURITIES CORP	VA				X	X					09/02/99	
METAMOR WORLDWIDE INC	DE				X						12/13/99	
METROMEDIA INTERNATIONAL GROUP INC	DE				X	X					12/13/99	
METROPOLIS REALTY TRUST INC	MD				X						12/13/99	
MICHAEL PETROLEUM CORP	TX		X			X					12/10/99	
MIDCOAST ENERGY RESOURCES INC	NV				X						11/22/99	
MKR HOLDINGS	UT					X					11/30/99	AMEND
MOBILE MINI INC	DE				X						12/09/99	
MORGAN STANLEY AIRCRAFT FINANCE	DE				X						12/13/99	
NABORS HOLDING CO	TX				X	X					12/09/99	
NBC INTERNET INC	DE					X					11/29/99	AMEND
NEIMAN MARCUS FUNDING CORP	DE		X								11/30/99	
NETPLEX GROUP INC	NY			X							12/08/99	
NETZERO INC	CA					X					12/01/99	
NEXTPATH TECHNOLOGIES INC	NV		X			X					12/15/99	
OAK BROOK CAPITAL III	CO	X									12/31/99	
OLD KENT FINANCIAL CORP /MI/	MI				X	X					12/13/99	
ONEMAIN COM INC	DE				X	X					11/11/99	
ONESOURCE INFORMATION SERVICES INC	DE					X					10/01/99	AMEND
ONHEALTH NETWORK CO	WA				X						11/29/99	
ONYX ACCEPTANCE FINANCIAL CORP	DE				X						11/29/99	
ONYX SOFTWARE CORP/WA	WA		X			X					10/01/99	AMEND
OXBORO MEDICAL INTERNATIONAL INC	MN				X	X					11/30/99	
PAINWEBBER MOR ACCEPT CORP IV FREM ONT HOME LN TR 1999-3	DE				X	X					11/26/99	
PAINWEBBER MORT ACCE CORP IV FREMO NT HOME LN OWN TR 1999-1	DE				X	X					11/26/99	
PAINWEBBER MORT ACCE CORP IV FREMO NT HOME LN OWN TR 1999-2	DE				X	X					11/26/99	
PENN ENGINEERING & MANUFACTURING CO RP	DE					X					09/30/99	AMEND
PEOPLES BANCORP INC	OH	X									12/13/99	
PFIZER INC	DE		X								12/14/99	
PHILIP SERVICES CORP			X			X					11/30/99	
PILLOWTEX CORP	TX				X	X					12/07/99	
PIONEER NATURAL RESOURCES CO	DE				X	X					12/31/59	
POTENCO INC	NV	X									12/07/99	
POWER EXPLORATION INC	NV		X								12/13/99	
POWERSOFT TECHNOLOGIES INC	CA		X			X					12/09/99	
PRIMUS KNOWLEDGE SOLUTIONS INC	WA				X	X					12/12/99	
PRINCETON DENTAL MANAGEMENT CORP	DE					X					12/13/99	
PROCARE INDUSTRIES LTD	CO				X	X					11/30/99	
PROVIDENCE & WORCESTER RAILROAD CO/ RI/	RI				X	X					12/14/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE				X	X					12/22/99	
PYR ENERGY CORP	DE				X	X					12/07/99	
PYR ENERGY CORP	DE				X	X					12/09/99	
QUESTCOR PHARMACEUTICALS INC	CA				X	X					12/10/99	AMEND
RCN CORP /DE/	DE				X	X					12/12/99	
RECKSON SERVICES INDUSTRIES INC	DE				X	X					11/30/99	
RECKSON SERVICES INDUSTRIES INC	DE					X					12/13/99	
RESIDENTIAL ASSET FUNDING CORP	NC				X	X					11/26/99	
RETAIL EQUITY PARTNERS LIMITED PART NERSHIP	NC	X			X						12/03/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
RIVERSIDE PARK ASSOCIATES LP	DE			X		X					12/08/99	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE	X				X					11/30/99	
SALOMON BROTHERS MORTGAGE SECURITIE S VII INC	DE					X					12/14/99	
SB PARTNERS	NY					X					09/29/99	AMEND
SC&T INTERNATIONAL INC	AZ			X							12/15/99	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL			X		X					12/15/99	
SGI INTERNATIONAL	UT	X				X					12/14/99	AMEND
SI HANDLING SYSTEMS INC	PA	X				X					09/30/99	AMEND
SITE TECHNOLOGIES INC	CA			X							12/02/99	
SNAP ON INC	DE					X					09/30/99	AMEND
SOCRATES TECHNOLOGIES CORP	DE					X	X				11/10/99	
SPORT SUPPLY GROUP INC	DE	X									12/13/99	
SPORTAN UNITED INDUSTRIES INC	TX			X		X	X				11/22/99	AMEND
SPRINGHILL LAKE INVESTORS LTD PARTN ERSHIP	MD			X		X					12/08/99	
STERLING VISION INC	NY			X		X					12/07/99	
STEWART ENTERPRISES INC	LA			X							12/10/99	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 1999 ALS3	DE			X		X					11/30/99	
SUBMICRON SYSTEMS CORP	DE			X		X					11/29/99	
SUN TELEVISION & APPLIANCES INC	OH			X		X					12/06/99	
SURETY CAPITAL CORP /DE/	DE			X		X					11/23/99	
SYMMETRICOM INC	CA			X		X					12/14/99	AMEND
S1 CORP /DE/	DE			X							12/15/99	
TANDYCRAFTS INC	DE			X		X					12/14/99	
TENGASCO INC	TN			X							12/10/99	
TERAGLOBAL COMMUNICATIONS CORP	DE					X					12/13/99	
TERAYON COMMUNICATION SYSTEMS	DE	X				X					11/22/99	
THEHEALTHCHANNEL COM INC	DE			X							11/29/99	
THOMAS INDUSTRIES INC	DE			X		X					12/14/99	
TOP SOURCE TECHNOLOGIES INC	DE			X		X					09/20/99	AMEND
TRANSCONTINENTAL REALTY INVESTORS I NC	NV	X				X					11/30/99	
TRANSTAR CAPITAL CORP	DE			X							12/13/99	
TRANSTAR HOLDINGS LP	DE			X							12/13/99	
TRI VALLEY CORP	DE			X							12/08/99	
TRIARC COMPANIES INC	DE			X		X					12/11/99	
TRIZETTO GROUP INC	DE	X				X					11/29/99	
UNITED SHIELDS CORP/OH/	CO					X					09/29/99	AMEND
UNOCAL CORP	DE			X							12/13/99	
VALUESTAR CORP	CO			X							12/13/99	
VIALINK CO	OK			X		X					11/16/99	
VIALINK CO	OK	X									12/14/99	
VIRTUAL COMMUNITIES INC/DE/	DE			X		X					12/13/99	
VISION TWENTY ONE INC	FL			X		X					11/23/99	
VSI LIQUIDATION CORP	DE			X							11/11/99	
WAXMAN INDUSTRIES INC	DE			X		X					12/13/99	
WEST PENN FUNDING LLC				X		X					10/26/99	
WEST PENN FUNDING LLC				X		X					11/12/99	
WESTMINSTER CAPITAL INC	DE			X		X					11/23/99	
WHITEHALL JEWELLERS INC	DE			X							12/14/99	
WHITNEY AMERICAN CORP /CO	DE	X				X					11/29/99	
WINTHROP GROWTH INVESTORS I LP	MA			X		X					12/10/99	
WORLD ACCESS INC /NEW/	DE			X		X					12/14/99	
WPS RESOURCES CORP	WI	X									12/14/99	
W3 GROUP INC	CO	X									12/31/99	AMEND
XEROX CORP	NY			X							12/09/99	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
XEROX CORP	NY								X			12/10/99	
XEROX CREDIT CORP	DE								X			12/10/99	
YAMAHA MOTOR RECEIVABLES CORP	DE								X			12/15/99	
ZEMEX CORP	DE								X			12/08/99	
21ST CENTURY TELECOM GROUP INC	IL								X			12/12/99	