

# SEC NEWS DIGEST

Issue 99-213

November 4, 1999

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION MEETINGS

#### CLOSED MEETING - WEDNESDAY, NOVEMBER 10, 1999 - 11:00 A.M.

The subject matter of the closed meeting scheduled for Wednesday, November 10 will be: Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; and Formal order of investigation.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

#### **CHAIRMAN LEVITT STRESSES NEED FOR BEST EXECUTION IN LIGHT OF FINDINGS FROM ONGOING SEC REVIEW**

**Calls on Brokers to Improve Practices and Disclosure; Asks Media to Help Educate Public**

As part of his continuing discussion of the great technological, competitive, and structural changes sweeping the securities markets, Securities and Exchange Commission Chairman Arthur Levitt today stressed the importance of best order execution and called on brokers to improve their order execution practices and disclosure. The Chairman's remarks were delivered at the Securities Industry Association's annual meeting in Boca Raton, Florida.

Chairman Levitt said that best execution is part of the four-pronged framework that has guided the securities markets since 1975 and will help to achieve a true National Market System. The other three elements are: competition among markets; transparency of pricing; and linkages between market centers.

Chairman Levitt commended those brokers who strive to ensure that their customers receive quality execution. "However," he said, "the duty of best execution is being neglected by those who fail to carefully review their order routing arrangements. I worry that best execution may be compromised by payment for order flow,

internalization, and certain other practices that can present conflicts between the interests of brokers and their customers."

Chairman Levitt made the following key points:

- \* SEC review of best execution finds that payment for order flow is affecting which markets orders are sent to;
- \* Payment for order flow disclosure is not helpful for investors;
- \* Best execution must be a priority as options markets link;
- \* Nasdaq unified opening is both possible and long overdue to avoid scattered pricing;
- \* Decimalization will create profound challenges to best execution;
- \* Improved dialogue and disclosure needed;
- \* Financial news media can help inform investors on firms' order execution quality; and
- \* SEC issues best execution guidance to investors on website. (Press Rel. 99-146)

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#### INVESTMENT COMPANY ACT RELEASES

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##### **MONY LIFE INSURANCE COMPANY, ET AL.**

An order has been issued on an application filed by Mony Life Insurance Company, et al. granting an exemption from Section 17(a) of the Investment Company Act. The order permits certain registered open-end management investment companies (Funds) to engage in principal transactions with a broker-dealer that is an affiliated person of an affiliated person of the Funds. (Rel. IC-24120 - November 2)

##### **ELK ASSOCIATES FUNDING CORPORATION, ET AL.**

A notice has been issued giving interested persons until November 29 to request a hearing on an application filed by Elk Associates Funding Corporation, et al. for an order exempting applicants from Sections 12(d)(1)(A) and (C), 18(a), 21(b), 57(a)(1) through (a)(3) and 61(a) of the Investment Company Act and from Section 13(a) of the Securities Exchange Act of 1934, and permitting certain joint transactions otherwise prohibited by Section 57(a)(4) of the Act. The order would permit a business development company (BDC) to implement a reorganization plan under which it would become a wholly-owned subsidiary of a newly-formed BDC. The order also would permit the two companies, and any other wholly-owned BDC subsidiaries of the parent established in the future, to engage in

certain transactions that would otherwise be permitted if the parent and its BDC subsidiaries were one company, adhere to modified asset coverage requirements, and file certain reports on a consolidated basis. (Rel. IC-24121 - November 2)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 CUMULUS MEDIA INC, 111 KILBOURNE AVE, SUITE 2700, MILWAUKEE, WI 53202  
(414) 615-2800 - 4,600,000 (\$151,800,000) COMMON STOCK. (FILE 333-89825 - OCT. 28) (BR. 7)
- SB-2 MYWEB INC COM, 712 FIFTH AVENUE 7TH FLOOR, NEW YORK, NY 10019  
(212) 582-3400 - 1,210,750 (\$23,415,905) COMMON STOCK. (FILE 333-89827 - OCT. 28) (BR. 6)
- S-8 UNIROYAL TECHNOLOGY CORP, ONE SARASOTA TOWER STE 900,  
TWO NORTH TAMiami TRAIL, SARASOTA, FL 34236 (813) 366-5282 - 150,000  
(\$1,500,000) COMMON STOCK. (FILE 333-89829 - OCT. 28) (BR. 6)
- S-8 UNIROYAL TECHNOLOGY CORP, ONE SARASOTA TOWER STE 900,  
TWO NORTH TAMiami TRAIL, SARASOTA, FL 34236 (813) 366-5282 - 50,000  
(\$252,500) COMMON STOCK. (FILE 333-89831 - OCT. 28) (BR. 6)
- S-8 DEFINITION LTD, 4625 WEST DRIVE, SUITE 2, LAS VEGAS, NV 89103  
(702) 257-2367 - 1,000,000 (\$550,000) COMMON STOCK. (FILE 333-89833 - OCT. 28) (BR. 5)
- S-3 INDEPENDENT BANK CORP, 288 UNION STREET, ROCKLAND, MA 02370  
(617) 878-6100 - 23,000,000 (\$23,000,000) PREFERRED STOCK. (FILE 333-89835 - OCT. 28) (BR. 7)
- S-8 DANKA BUSINESS SYSTEMS PLC, 11201 DANKA CIRCLE N, ST PETERSBURG, FL 33716 (727) 576-6003 - 20,000,000 (\$48,277,610) FOREIGN COMMON STOCK.  
(FILE 333-89837 - OCT. 28) (BR. 2)
- S-8 SUN BANCORP INC /NJ/, 226 LANDIS AVENUE, VINELAND, NJ 08360  
(609) 691-7700 - 273,995 (\$3,408,498) COMMON STOCK. (FILE 333-89839 - OCT. 28) (BR. 7)
- S-3 LEGGETT & PLATT INC, NO 1 LEGGETT RD, CARTHAGE, MO 64836 (417) 358-8131  
- 198,477 (\$4,397,555.65) COMMON STOCK. (FILE 333-89841 - OCT. 28)  
(BR. 6)
- S-3 GRAY COMMUNICATIONS SYSTEMS INC /GA/, 4370 PEACHTREE ROAD NE, ALBANY, GA 30319 (912) 888-9390 - 2,262,608 (\$32,524,990) COMMON STOCK. (FILE 333-89845 - OCT. 28) (BR. 7)

S-8 TAYLOR DEVICES INC, 90 TAYLOR DR, P O BOX 748, NORTH TONAWANDA, NY 14120  
(716) 694-0800 - 135,000 (\$388,125) COMMON STOCK (FILE 333-89847 -  
OCT 28) (BR. 6)

S-8 CHARTERED SEMICONDUCTOR MANUFACTURING LTD,  
60 WOODLANDS INDUSTRIAL PARK D STREE 2, SINGAPORE, (653) 622-838 -  
107,160,000 (\$57,866,400) COMMON STOCK. (FILE 333-89849 - OCT. 28)  
(BR. 5)

S-8 LAFAYETTE BANCORPORATION, 133 NORTH 4TH ST, LAFAYETTE, IN 47902  
(317) 423-7100 - 188,341 (\$4,901,167) COMMON STOCK. (FILE 333-89851 -  
OCT. 28) (BR. 7)

S-8 QUANEX CORP, 1900 W LOOP SOUTH STE 1500, ATTN THOMAS M BEWLEY, HOUSTON,  
TX 77027 (713) 961-4600 - 250,000 (\$5,359,375) COMMON STOCK. (FILE  
333-89853 - OCT. 28) (BR. 6)

S-8 GRAY COMMUNICATIONS SYSTEMS INC /GA/, 4370 PEACHTREE ROAD NE, ALBANY, GA  
30319 (912) 888-9390 - 1,000,000 (\$14,375,000) COMMON STOCK (FILE  
333-89855 - OCT. 28) (BR. 7)

SB-2 GLOBAL WATER TECHNOLOGIES INC, 1767 DENVER WEST BOULEVARD, SUITE C-500,  
GOLDEN, CO 80401 ((30) 3) -215- - 31,050,000 (\$3,928,500) COMMON STOCK.  
(FILE 333-89857 - OCT. 28) (BR. 9)

S-8 NETWORK SOLUTIONS INC /DE/, 505 HUNTMAR PARK DR, HERNDON, VA 20170  
(703) 742-0400 - 659,808 (\$60,156,040) COMMON STOCK. (FILE 333-89859 -  
OCT. 28) (BR. 3)

S-3 GERON CORPORATION, 230 CONSTITUTION DRIVE, MENLO PARK, CA 94025  
(650) 473-7700 - 2,100,000 (\$20,811,000) COMMON STOCK. (FILE 333-89861 -  
OCT. 28) (BR 1)

S-1 GRANT GEOPHYSICAL INC, 16850 PARK ROW, HOUSTON, TX 77084 (713) 398-9503  
- 709,948 (\$70,994,800) PREFERRED STOCK (FILE 333-89863 - OCT. 28)  
(BR. 4)

S-8 NETWORK SOLUTIONS INC /DE/, 505 HUNTMAR PARK DR, HERNDON, VA 20170  
(703) 742-0400 - 500,000 (\$45,720,000) COMMON STOCK. (FILE 333-89865 -  
OCT. 28) (BR. 3)

S-8 SUPREME INDUSTRIES INC, 65140 US 33 E, PO BOX 237, GOSHEN, IN 46526  
(219) 642-3070 - 716,623 (\$5,141,356) COMMON STOCK. (FILE 333-89867 -  
OCT. 28) (BR 5)

S-8 ALLIANCE BANCORP OF NEW ENGLAND INC, 348 HARTFORD TURNPIKE, VERNON, CT  
06066 (860) 875-2500 - 161,000 (\$1,418,813) COMMON STOCK. (FILE 333-89869  
- OCT. 28) (BR. 7)

S-8 VETERINARY CENTERS OF AMERICA INC, 3420 OCEAN PARK BLVD STE 1000,  
SANTA MONICA, CA 90405 (310) 392-9599 - 1,000,000 (\$9,437,500)  
COMMON STOCK. (FILE 333-89871 - OCT. 28) (BR. 4)

SB-2 FIBR PLAST CORP, 3225 S NORWOOD STE 100, TULSA, OK 74135 (918) 622-0696  
- 5,000,000 (\$10,000,000) COMMON STOCK. (FILE 333-89873 - OCT. 28)  
(NEW ISSUE)

S-1 APPNET INC /DE/, 6707 DEMOCRACY BLVD, STE 1000, BETHESDA, MD 20817  
(301) 581-2488 - 4,600,000 (\$208,150,000) COMMON STOCK. (FILE 333-89877 -  
OCT. 28) (BR. 8)

S-1 PHONE COM INC, 800 CHESAPEAKE DRIVE, REDWOOD CITY, CA 94063  
(650) 562-0200 - 3,795,999 (\$790,194,900) COMMON STOCK. (FILE 333-89879 -  
OCT. 28) (BR. 3)

S-8 COMTREM SYSTEMS CORP, 102 EXECUTIVE DR SUITE 1, MOORESTOWN, NJ 08057  
(609) 778-0090 - 200,000 (\$212,500) COMMON STOCK. (FILE 333-89881 -  
OCT. 28) (BR. 3)

S-8 CRYO CELL INTERNATIONAL INC, 604 PACKARD CT, SAFETY HARBOR, FL 34695  
(201) 224-7070 - 25,000 (\$146,875) COMMON STOCK (FILE 333-89883 -  
OCT. 28) (BR. 8)

S-3 PATTERSON ENERGY INC, 4510 LAMESA HWY, P O DRAWER 1416, SNYDER, TX 79549  
(915) 573-1104 (FILE 333-89885 - OCT. 28) (BR 4)

S-8 AKAMAI TECHNOLOGIES INC, 201 BROADWAY, CAMBRIDGE, MA 02139  
(617) 250-3000 - 15,114,900 (\$86,759,526) COMMON STOCK (FILE 333-89887 -  
OCT 28) (BR. 7)

S-8 AKAMAI TECHNOLOGIES INC, 201 BROADWAY, CAMBRIDGE, MA 02139  
(617) 250-3000 - 600,000 (\$13,200,000) COMMON STOCK (FILE 333-89889 -  
OCT. 28) (BR. 7)

S-8 PREMIERE TECHNOLOGIES INC, 3399 PEACHTREE RD NE, LENOX BLDG STE 400,  
ATLANTA, GA 30326 (404) 262-8400 - 1,500,000 (\$8,625,000) COMMON STOCK  
(FILE 333-89891 - OCT. 28) (BR 7)

S-3 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134  
(408) 526-4000 - 6,814 (\$453,131) COMMON STOCK. (FILE 333-89893 - OCT. 29)  
(BR. 3)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant
- Item 5. Other Materially Important Events
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE				X	X					10/25/99	
ADEPT TECHNOLOGY INC	CA				X						10/27/99	
ADVANCED TECHNICAL PRODUCTS INC	DE					X					10/21/99	
ADVANCED TISSUE SCIENCES INC	DE					X					05/10/99	AMEND
ADVANTA MORTGAGE LOAN TRUST 1998-4A	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1998-4B	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					09/30/99	
ADVANTA MORTGAGE LOAN TRUST 1999-1	DE				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-2	NY				X	X					09/30/99	
ADVANTA MORTGAGE LOAN TRUST 1999-2	NY				X	X					10/31/99	
ADVANTA MORTGAGE LOAN TRUST 1999-3	NV				X	X					10/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999 B	NV				X	X					10/31/99	
ADVANTA REVOLVING HOME EQUITY LOAN TRUST 1999-A	NY				X	X					10/31/99	
AIRGAS INC	DE				X	X					10/28/99	
ALBANY MOLECULAR RESEARCH INC	NY	X					X				10/19/99	
ALLIANCE CAPITAL MANAGEMENT HOLDING LP	DE				X	X					10/29/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ALLMERICA FINANCIAL CORP	DE				X	X					11/01/99	
ALLSTATE CORP	DE				X						11/01/99	
AMERICAN BANKNOTE CORP	DE				X						11/02/99	
AMERICAN CLASSIC VOYAGES CO	DE				X	X					11/02/99	
AMERICAN GENERAL FINANCE CORP	IN				X	X					11/01/99	
AMERICAN HERITAGE LIFE INVESTMENT C ORP	FL				X	X					10/25/99	
AMERICAN ITALIAN PASTA CO	DE				X	X					11/01/99	AMEND
AMERISERVE FOOD DISTRIBUTION INC /D E/	DE				X	X					11/02/99	
AMF BOWLING WORLDWIDE INC	DE				X	X					11/01/99	
ASCENT ENTERTAINMENT GROUP INC	DE				X	X					10/14/99	
BAMBOO COM INC	DE				X						10/25/99	
BATTLE MOUNTAIN GOLD CO	NV				X	X					10/26/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					04/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					05/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					06/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					07/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					08/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					09/25/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					10/25/99	
BENTHOS INC	MA					X					08/19/99	AMEND
BERKSHIRE HATHAWAY INC	DE				X	X					10/24/99	
BOMBARDIER CREDIT RECEIVABLES CORP	DE					X					09/30/99	
BRT REALTY TRUST	MA		X			X					10/15/99	
BUTLER NATIONAL CORP	DE				X						11/01/99	
CADMUS COMMUNICATIONS CORP/NEW	VA				X	X					09/30/99	
CALIBER LEARNING NETWORK INC	MD				X	X					10/26/99	
CALIFORNIA PROPERTIES FUND	CA				X						09/01/99	AMEND
CANADA SOUTHERN PETROLEUM LTD	CT				X						10/19/99	
CANADIAN OCCIDENTAL PETROLEUM LTD	A0				X						10/26/99	
CAPITAL MEDIA GROUP LTD	NV				X	X					10/22/99	
CBS CORP	PA				X	X					11/01/99	
CELERITY SYSTEMS INC	DE				X	X					10/21/99	AMEND
CENTENNIAL CELLULAR CORP	DE	X									11/01/99	
CENTRAL ILLINOIS LIGHT CO	IL	X									10/18/99	
CENTURYTEL INC	LA				X						10/26/99	
CHASE MANHATTAN CORP /DE/	DE				X						11/01/99	
CHESAPEAKE ENERGY CORP	OK				X	X					10/28/99	
CIGNA CORP	DE				X	X					11/01/99	
CILCORP INC	IL	X									10/18/99	
CLUBCORP INC	NV				X	X					10/31/99	
CMGI INC	DE				X	X					08/18/99	AMEND
CNS BANCORP INC	DE				X	X					10/27/99	
COCA COLA ENTERPRISES INC	DE				X	X					09/16/99	
COLUMBIA LABORATORIES INC	DE				X	X					11/02/99	
COMMAND SECURITY CORP	NY					X					11/01/99	
COMMERCIAL FEDERAL CORP	NE				X	X					10/29/99	
COMMUNITY BANCORP INC	DE				X						10/29/99	
CONTIMORTGAGE HOME EQUITY TRUST 199 9-1	NY				X	X					10/25/99	
CONTIMORTGAGE HOME EQUITY TRUST 199 9-2	NY				X	X					10/25/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
		CODE	1	2	3	4	5	6	7	8			9
CONTIMORTGAGE HOME EQUITY TRUST 199 9-3	NY					X	X					10/25/99	
CONVERGENCE COMMUNICATIONS INC	NV					X	X					11/02/99	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE					X	X					10/28/99	
CRITICAL PATH INC	CA					X	X					10/20/99	
CUMULUS MEDIA INC	IL					X	X					09/23/99	
DEARBORN BANCORP INC /MI/	MI					X	X					10/29/99	
DIATIDE INC	DE	X										10/25/99	
DSL NET INC	DE					X	X					11/01/99	
DURAMED PHARMACEUTICALS INC	DE					X	X					10/22/99	
E SPIRE COMMUNICATIONS INC	DE					X						11/01/99	
EFFECTIVE MANAGEMENT SYSTEMS INC	WI	X					X					10/15/99	
F&M BANCORP	MD					X	X					07/15/99	AMEND
FAIR ISAAC & COMPANY INC	DE					X						10/28/99	
FATBRAIN COM INC	DE					X	X					10/17/99	
FLEET BOSTON CORP	RI					X	X					10/20/99	
FORTUNE NATURAL RESOURCES CORP	DE					X	X					11/02/99	
FOUR MEDIA CO	DE					X	X					10/29/99	
FRESENIUS MEDICAL CARE HOLDINGS INC /NY/	NY					X	X					11/02/99	
HALLIBURTON CO	DE					X	X					10/27/99	
HALLIBURTON CO	DE					X	X					10/28/99	
HAMPSHIRE GROUP LTD	DE					X						10/14/99	AMEND
HARCOURT GENERAL INC	DE					X	X					10/22/99	
HARKEN ENERGY CORP	DE						X					08/19/99	AMEND
HARLEYSVILLE GROUP INC	DE					X						10/28/99	
HARMONIC INC	DE					X	X					10/27/99	
HOTJOBS COM LTD	DE					X	X					10/21/99	
HUMPHREY HOSPITALITY TRUST INC	VA	X	X				X					11/02/99	
ICG COMMUNICATIONS INC /DE/	DE					X	X					10/28/99	
ICG FUNDING LLC	DE					X	X					10/28/99	
ICG HOLDINGS CANADA CO /CO/	CO					X	X					10/28/99	
ICG HOLDINGS INC	CO					X	X					10/28/99	
IMAGYN MEDICAL TECHNOLOGIES INC	DE					X	X					11/01/99	
IMMUNE RESPONSE INC	CO					X	X					11/02/99	
INFORETECH INC	NV					X	X					10/21/99	
INLAND REAL ESTATE CORP	MD	X					X					10/18/99	
INTEGRATED ELECTRICAL SERVICES INC	DE	X					X					11/02/99	
INTEGRATED SYSTEMS INC	CA	X					X					07/21/99	AMEND
INTELIDATA TECHNOLOGIES CORP	DE					X	X					11/01/99	
INTELLIGENT LIFE CORP	FL						X					08/20/99	AMEND
INTERACTIVE PICTURES CORP						X	X					10/25/99	
INTERNATIONAL THOROUGHBRED BREEDERS INC	DE					X						10/29/99	
ISOCOR	CA						X					10/20/99	AMEND
ISRAMCO INC	DE					X						11/01/99	
ISRAMCO INC	DE					X						11/02/99	
IXC COMMUNICATIONS INC	DE					X	X					10/29/99	
JACKSONVILLE BANCORP INC	TX					X	X					11/01/99	
JCP RECEIVABLES INC	DE					X	X					10/18/99	
LABTEC INC /MA	MA						X					08/20/99	AMEND
LABTEC INC /MA	MA					X						11/01/99	
LANDS END INC	DE					X						01/28/00	
LAZARE KAPLAN INTERNATIONAL INC	DE					X						10/18/99	
LIBBEY INC	DE					X						10/25/99	
LJL BIOSYSTEMS INC	DE					X	X					11/01/99	
LOCKHEED MARTIN CORP	MD					X	X					10/29/99	AMEND
LOEWEN GROUP INC						X	X					11/01/99	
LTC HEALTHCARE INC	NV	X					X					10/18/99	
MACDERMID INC	CT					X						09/27/99	AMEND

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MANNATECH INC	TX				X	X					10/20/99	
MCDONALDS CORP	DE					X					10/21/99	
MEAD CORP	OH				X	X					11/02/99	
MEDICAL DEVICE ALLIANCE INC	NV				X						10/26/99	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE				X	X					11/02/99	
MICHAEL PETROLEUM CORP	TX				X						10/31/99	
MIDDLE BAY OIL CO INC	AL				X	X					10/19/99	
MMI COMPANIES INC	DE		X								11/01/99	
MORGAN J P COMMERCIAL MORTGAGE FINA NCE CORP	DE	X									10/15/99	
MUNICIPAL MORTGAGE & EQUITY LLC	DE	X									12/31/99	
NEBCO EVANS HOLDING CO	DE				X	X					11/02/99	
NETWORK SIX INC	RI	X									10/29/99	
NEWGEN RESULTS CORP	CA				X	X					10/22/99	
NEWGOLD INC	DE				X						11/01/99	
NL INDUSTRIES INC	NJ				X	X					11/02/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999 1 TRUST	NY				X	X					11/01/99	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1999-16 TRUST	DE				X	X					11/01/99	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SERS 1999-18	DE				X	X					11/01/99	
NOVACARE INC	DE		X			X					10/19/99	
OPEN MARKET INC	DE		X			X					10/15/99	
ORBITAL SCIENCES CORP /DE/	DE				X	X					10/29/99	
PENNEY J C CO INC	DE				X	X					10/18/99	
PENNSYLVANIA ENTERPRISES INC	PA				X	X					10/29/99	
PEOPLES BANCORP INC	OH	X									11/02/99	
PETHEALTH SYSTEMS INC	CO	X									09/23/99	
PHELPS DODGE CORP	NY				X	X					10/12/99	
PHOTOGEN TECHNOLOGIES INC	NV				X	X					11/02/99	
PIMCO ADVISORS HOLDINGS LP	DE				X	X					10/31/99	
PMI GROUP INC	DE				X	X					11/01/99	
POLYMER SOLUTIONS INC	NV		X			X					10/18/99	
POLYVISION CORP	NY					X					08/19/99	AMEND
PRIME CAPITAL CORP	DE	X									11/01/99	
PRIVATE BUSINESS INC	TN				X						11/01/99	
PROGRESS FINANCIAL CORP	DE	X									10/20/99	
PROGRESS FINANCIAL CORP	DE	X									10/27/99	
PROTECTION ONE ALARM MONITORING INC	DE				X	X					11/01/99	
PROTECTION ONE INC	DE				X	X					11/01/99	
QUEPASA COM INC	NV				X	X					11/01/99	
QUEST DIAGNOSTICS INC	DE					X					08/16/99	AMEND
RALCORP HOLDINGS INC /MO	MO				X	X					11/02/99	
RIGL CORP	NV	X									11/02/99	
RITE AID CORP	DE				X	X					10/27/99	
SALIENT CYBERTECH INC	DE				X						10/29/99	
SAXON ASSET SECURITIES TR 1999 2 MO RT LN AS BK CER SE 1999 2	VA				X	X					10/25/99	
SAXON ASSET SECURITIES TR 1999-3 MT LN AST BK CER SER 1999-3	VA				X	X					10/25/99	
SBA COMMUNICATIONS CORP	FL				X	X					11/01/99	
SCOTTISH ANNUITY & LIFE HOLDINGS LT D	E9	X				X					10/15/99	
SECURITY FIRST TECHNOLOGIES CORP	DE				X	X					10/25/99	
SEDONA CORP	PA				X						10/28/99	AMEND
SHEFFIELD PHARMACEUTICALS INC	DE				X	X					10/18/99	
SIMTEK CORP	CO				X						11/01/99	
SOFTWARE COM INC			X			X					10/20/99	



NAME OF ISSUER	STATE		8K ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
SOUTH JERSEY FINANCIAL CORP INC	DE						X	X				10/28/99	
SOUTHERN MINERAL CORP	NV			X			X	X				10/29/99	
SOUTHERN NATURAL GAS CO	DE	X										11/01/99	
SS&C TECHNOLOGIES INC	DE						X					03/11/99	AMEND
STARNET COMMUNICATIONS INTERNATIONAL INC/ FA	DE			X								10/25/99	
STATEWIDE FINANCIAL CORP	NJ					X						12/31/99	
SVI HOLDINGS INC	NV					X	X					11/01/99	
SYMONS INTERNATIONAL GROUP INC	IN			X		X						11/01/99	AMEND
SYNERGY TECHNOLOGIES CORP	CO					X						11/01/99	
TANDY BRANDS ACCESSORIES INC	DE					X	X					10/19/99	
TEMPLATE SOFTWARE INC	VA					X	X					10/17/99	
TMP WORLDWIDE INC	DE	X					X					10/21/99	
TRANSAMERICAN ENERGY CORP	DE					X	X					10/29/99	
TRANSAMERICAN REFINING CORP	TX					X	X					10/29/99	
TREMONT CORPORATION	DE					X	X					10/28/99	
TRIANGLE PHARMACEUTICALS INC	DE						X					06/02/99	AMEND
TRIMFAST GROUP INC	NV					X						10/28/99	
TRIPACIFIC DEVELOPMENT CORP	NV			X			X					10/21/99	
U S WEST INC /DE/	DE					X	X					11/02/99	
UNITED HERITAGE CORP	UT					X						11/01/99	
US FOODSERVICE/MD/	DE					X	X					10/29/99	
USA TECHNOLOGIES INC	PA					X						10/28/99	
USCI INC	DE					X						10/29/99	
USCI INC	DE					X						10/29/99	AMEND
VIRTUAL TECHNOLOGY CORP						X	X					10/26/99	
WATCHGUARD TECHNOLOGIES INC	DE		X				X					10/19/99	
WESTAMERICA BANCORPORATION	CA	X										11/01/99	
WHITE MOUNTAINS INSURANCE GROUP LTD	DE					X	X					10/27/99	
WINTRUST FINANCIAL CORP	IL		X				X					10/26/99	