

SEC NEWS DIGEST

Issue 99-202

October 20, 1999

RULES AND RELATED MATTERS

ADOPTION OF UPDATED EDGAR FILER MANUAL

A revised EDGAR Filer Manual and Form ID became effective on October 18. This new version of EDGAR and the EDGAR Filer Manual (Release 6.60) incorporates changes resulting from the decision by CompuServe, a sub-contractor to TRW (the developer of the EDGAR system), to discontinue its EDGAR services. These services include the Public Data Network (PDN) for transmission of filings to EDGAR, private mailboxes for receiving EDGAR Acceptance/Suspense notifications and Return Copies, the EDGAR company database, and the bulletin board. TRW has contracted with UUNET to provide EDGAR Private Mail and PDN Services. Although The EDGAR bulletin board and the EDGAR company database will no longer be available, filers may obtain similar information from our Web Site at www.sec.gov and the TRW/UUNET Web Site at www.trw-edgar.com.

The Form ID, the Uniform Application for Access Codes to File on EDGAR, was also changed to reflect the change in the EDGAR private mail service.

The basic TRW/UUNET service will include five hours of access and a mailbox for messages. There will be additional charges for larger mailboxes and connect time over the basic limit. Those filers who want to use the public data network for transmission to EDGAR, who want a private system (instead of the Internet) for receipt of acceptance/suspense messages, or who want an electronic returned copy of their filings must sign up for this service. To access their new mailboxes, filers will use a standard POP3 mail client. Netscape Communicator, Microsoft Internet Explorer and several other Internet packages provide these clients. The www.trw-edgar.com Web Site contains additional information.

Filers may continue to obtain paper copies of the updated Filer Manual from our Public Reference Room and Disclosure Incorporated (the paper and microfiche contractor for the Commission) in addition to electronic copies on the SEC's Web Site. The SEC's Web Site address for the Filer Manual is <http://www.sec.gov/asec/ofis/filerman.htm>. (Refs. 33-7752; 34-41986; 35-27081; 39-2376; IC-24075)

ENFORCEMENT PROCEEDINGS

FORMER CEO OF UNISON HEALTHCARE CORPORATION BARRED FROM PRACTICING BEFORE THE COMMISSION AS AN ACCOUNTANT

The Commission issued a Rule 102(e) Order barring Jerry M. Walker, the former CEO and president of Unison HealthCare Corporation, from practicing before the Commission as an accountant, with the right to apply for readmission after five years. Walker, a certified public accountant, consented to the issuance of the Rule 102(e) Order.

The Order was based on the entry of a final judgment of the U.S. District Court for the District of Arizona that permanently enjoined Walker from violating Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5, 13b2-1, and 13b2-2, and from aiding and abetting violations of Section 13(a) and Rule 13a-13, and ordered him to pay a civil penalty of \$15,000 (see SEC v. Jerry M. Walker, Civ-99-1737-PHX-ROS, D. Ariz. September 30, 1999).

The complaint alleged that Walker directed Unison's controller to make unsupported adjustments to Unison's accounting records, which enabled Unison to report materially false earnings for two consecutive quarters during 1996. The materially false earnings were included in two Forms 10-Q filed with the Commission during 1996. (Rel. 34-42022; AAE Rel. 1191; File No. 3-10078)

COMMISSION ACCEPTS CERTIFICATION, REVERSES PARTIAL SUMMARY DISPOSITION, AND REMANDS FOR FURTHER PROCEEDINGS WITH REGARD TO ALBERT YESNER

On April 27, the Commission instituted proceedings against Albert Glenn Yesner to determine whether he violated various provisions of the securities laws and to determine whether the Commission should deny him the privilege of appearing or practicing as an accountant before it. Yesner moved for partial summary disposition to dismiss, among other allegations, Rule 102(e)(1)(ii) charges contained in the Order Instituting Proceedings, based on Checkosky v. SEC, 139 F.3d 221 (D.C. Cir. 1998). The administrative law judge granted Yesner's motion for partial summary disposition based on Yesner's interpretation of Checkosky and thereafter certified his decision to dismiss the charge.

The Commission held that Checkosky did not preclude the proceeding against Yesner. The Commission noted that Checkosky vacated the Commission's decision because the Commission failed in that case to articulate clearly the mental state required to find improper professional conduct. The court in Checkosky did not disavow earlier decisions that the Commission has the power to discipline accountants for intentional or reckless misconduct. Moreover, the Commission stated that its authority to discipline accountants for reckless misconduct had been confirmed since the Checkosky decision in Potts v. SEC, 151 F.3d 810, 812 (8th Cir. 1998). (Rel. 34-42030;

DAVID STEVENSON SETTLES ADMINISTRATIVE PROCEEDINGS

On October 19, the Commission simultaneously instituted and settled administrative proceedings pursuant to Rule 102(e) of the Commission's Rules of Practice against David E. Stevenson, an accountant and the former president, CEO, chairman of the board of directors and founder of now defunct Photran Corp. Stevenson consented to the issuance of an Order Instituting Proceedings and Order and Opinion (Order) denying him the privilege of appearing or practicing before the Commission as an accountant, effective immediately. The Commission's order was based upon the entry of a Final Judgment, on October 7, in the United States District Court for the District of Minnesota, enjoining him from future violations of the antifraud, books and records, and internal accounting control provisions of the federal securities laws (SEC v. David E. Stevenson and Mark A. Stevenson, Civil Action No. 99-1490, DWF/AJB; LR-16308).

The Commission's complaint alleged that, beginning in December 1995, David Stevenson devised a fraudulent scheme to overstate Photran's revenues and earnings by recording fictitious sales and prematurely recognizing revenue. According to the Commission's complaint, this conduct caused Photran to report a profit in its initial registration statement and in subsequent quarters when, in fact, it suffered significant losses. Stevenson signed Photran's registration statement and the 1996 second and third quarter reports filed with the Commission when he knew or was reckless in not knowing that they contained false and misleading information. Stevenson consented to the entry of the Final Judgment without admitting or denying the allegations in the complaint. (Rel. 33-7755; 34-42031; AAE Rel. 1192; File No. 3-10079)

CEASE AND DESIST ORDERS ISSUED AGAINST THREE FOR ILLEGALLY OFFERING SECURITIES OVER INTERNET

The Commission today issued cease and desist orders against three people who illegally offered to sell securities on the Internet auction site run by eBay, Inc. (eBay). The Commission found that in auctions on eBay:

- * Respondent Richard L. Davis, of Duncanville, Texas, illegally misrepresented the prospects that a company he founded would go public and offered to sell unregistered stock.
- * J.R. Hoff, of Hudson, Wisconsin, illegally offered to sell unregistered stock in an unincorporated company he owns.
- * Louis P. Sitaras, of Jupiter, Florida, illegally offered to sell restricted securities, meaning securities that could not be sold publicly.

The Commission approved settlements with all three respondents simultaneously with the institution of the actions. The Commission ordered each respondent to cease and desist from violating the

relevant provisions of the securities laws. The respondents neither admitted nor denied the claims against them.

In May 1999, Davis posted an offer to sell a 5% interest in Mindhunt.com, a company he founded, for \$250,000. In his offer, Davis claimed that he had "purchased a public shell [company]" and that Mindhunt.com would be a public company "within 4 to 5 months." The Commission found that at the time of his offer, Davis had not incorporated Mindhunt.com, had not purchased a public shell company, and had no reasonable basis for the claim that Mindhunt.com would be public within 4 to 5 months. Moreover, Davis illegally offered to sell unregistered stock. No one bid in response to Davis' offer.

In April 1999, Hoff posted an offer on eBay to sell 1,000 shares in AmeriGa.net, a company Hoff co-owns. Hoff illegally offered to sell unregistered stock and, in fact, at the time of his offer AmeriGa.net had not even been incorporated. Several people bid on the shares, but no sale was consummated.

In May 1999, Sitaras posted an offer on eBay to sell 2,000 shares of Metropolitan Health Networks, Inc. (MHN), a health care services company. Although MHN stock trades on the OTC Bulletin Board, the shares Sitaras offered to sell were restricted and could not be offered for sale publicly until September 1999. In response to Sitaras' posting, several people placed bids, but no sale of the MHN shares was consummated.

The Commission found that Davis had engaged in securities fraud, in violation of Sections 17(a) (1) and 17(a) (3) of the Securities Act of 1933 (Securities Act), and sold unregistered securities, in violation of Section 5 of the Securities Act. The Commission found that Hoff and Sitaras also violated Section 5 of the Securities Act. Section 5 requires, among other things, that stock issued to the public be registered with the Commission, unless an exemption from registration applies. (Richard L. Davis - Rel. 33-7756, File No. 3-10080; John R. Hoff - 33-7757, File No. 3-10081; Louis Sitaras - 33-7758, File No. 10082); (Press Rel. 99-138)

SEC SETTLES MICROCAP MANIPULATION CASE AGAINST JOEY DAVIS

The Commission filed on October 19 with the U.S. District Court in Washington, D.C., a settlement with Joey Davis (Davis). The Commission filed its complaint on September 24, 1998, charging Davis with manipulating the market for the securities of Bio-Tech Industries, Inc. (formerly Twenty First Century Health, Inc.). Davis, without admitting or denying the allegations in the Commission's complaint, consented to the entry of a permanent injunction prohibiting him from violating various provisions of the federal securities laws. Davis also consented to the payment of a \$25,000 civil penalty. [SEC v. Bio-Tech Industries, Inc., et al., 98-CV-2298, GK, D.D.C.] (LR-16339)

INVESTMENT COMPANY ACT RELEASES

GW CAPITAL MANAGEMENT, LLC, ET AL.

A notice has been issued giving interested persons until November 9, 1999, to request a hearing on an application filed by GW Capital Management, LLC, et al. for an order granting an exemption from Sections 12(d)(1)(A), 12(d)(1)(B), and 17(a) of the Investment Company Act. The order would permit applicants to implement a "fund of funds" arrangement. The fund of funds would invest in other funds that are part of the same group of investment companies and in funds that are not part of the same group of investment companies in reliance on Section 12(d)(1)(F) of the Act. (Rel. IC-24085 - October 15)

GREAT WEST LIFE & ANNUITY INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until November 12, 1999, to request a hearing on an application filed by Great-West Life & Annuity Insurance Company (GWL&A), FutureFunds Series Account of GWL&A, Maxim Series Account of GWL&A, and BenefitsCorp Equities, Inc. (Applicants). Applicants seek an order, pursuant to Section 26(b) of the Investment Company Act, approving (1) the substitution of shares of the Maxim INVESCO Balanced Portfolio of the Maxim Series Fund for shares of the Fidelity VIP II Asset Manager Portfolio of the Fidelity Variable Insurance Products Fund II, which currently are held by FutureFunds Series Account of GWL&A to fund certain group flexible premium variable deferred annuity contracts, and (2) the substitution of shares of Maxim Stock Index Portfolio of the Maxim Series Fund for shares of the American Century VP Capital Appreciation Portfolio of American Century Variable Portfolios, Inc., which currently are held by FutureFunds Series Account of GWL&A and Maxim Series Account of GWL&A to fund certain group and individual flexible premium variable deferred annuity contracts. (Rel. IC-24088 - October 18)

SEI INSURANCE PRODUCTS TRUST, ET AL.

A notice has been issued giving interested persons until November 12, 1999, to request a hearing on an application filed by SEI Insurance Products Trust (Trust) and SEI Investments Management Corporation (SIMC). Applicants seek an order pursuant to Section 6(c) of the Investment Company Act for exemptions from the provisions of Sections 9(a), 13(a), 15(a) and 15(b) of the Act and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder to the extent necessary to permit shares of the Trust and shares of any other investment company or portfolio that is designed to fund insurance products and for which SIMC, or any of its affiliates, may serve in the future as investment adviser, administrator, manager, principal underwriter, or sponsor (together with the Trust, Trusts) to be sold to and held by (i) separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and

unaffiliated life insurance companies, (ii) qualified pension and retirement plans outside of the separate account context, (iii) separate accounts that are not registered as investment companies under the Act pursuant to exemptions from registration under Section 3(c) of the Act, and (iv) SIMC or any of its affiliates (representing seed money in any of the Trusts). (Rel. IC-24089 - October 18)

TRUST INDENTURE ACT RELEASES

APPLICATION AND OPPORTUNITY FOR HEARING: ALTOS HORNOS DE MEXICO, S.A., DE C.V.

The Commission has given interested persons until November 8, 1999, Notice of an Application and Opportunity for Hearing under the Trust Indenture Act of 1939, in connection with an application by Altos Hornos De Mexico, S.A., De C.V. (Company) for an order by the Commission that the trusteeship of Norwest Bank of Minnesota, N.A. under two indentures of the Company is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify Norwest Bank of Minnesota, N.A. from acting as trustee under both indentures. (Rel. TI-2377 - October 18)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The MBS Clearing Corporation filed a proposed rule change (SR-MBSCC-99-06) to amend the formula MBSCC uses to calculate market margin differential deposits. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42005)

The American Stock Exchange filed a proposed rule change (SR-Amex-99-35) to rescind Rule 106 in its entirety. Publication of the notice is expected in the Federal Register during the week October 18. (Rel. 34-42010)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-99-10) to allow EMCC to postpone for one year the requirement that EMCC's directors be an officer or partner of either a shareholder or of an affiliate or subsidiary thereof. The proposed rule change would also permit EMCC's participants with affiliated entities the flexibility to determine which of their affiliated entities will be the EMCC shareholder. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42016)

The Chicago Stock Exchange filed a proposed rule change (SR-CHX-99-12) to modify the recommended fine schedule for the submission of late financial and operational reports. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42025)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-PHLX-99-36) filed by the Philadelphia Stock Exchange relating to permanent approval of the X.Station enhancement to the electronic order book on the options floor has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42006)

The Commission published for comment and noted the immediate effectiveness of a proposed rule change (SR-CBOE-99-56) filed by the Chicago Board Options Exchange concerning the operation of its Retail Automatic Execution System (RAES). The proposed rule change addresses the handling of orders on RAES when the CBOE's best bid or offer is inferior to the best bid or offer on another market. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42012)

The Depository Trust Corporation filed a proposed rule change (SR-DTC-99-21), which became effective upon filing under Section 19(b)(3)(A), revising DTC's fee schedule for training customers to use DTC's TradeSUIT software at the customer's offices. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42020)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change (SR-NYSE-99-03) by the New York Stock Exchange that amends NYSE Rule 431, "Margin Requirements," to revise the margin requirements for stock options and stock index options. Publication of the order is expected in the Federal Register during the week of October 18. (Rel. 34-42011)

The Commission approved a proposed rule change filed by The Depository Trust Company (SR-DTC-99-11). The rule change amends DTC's rules to limit its liability with respect to obligations to any affiliated entity and to provide that it may increase required participants fund deposits if necessary to cover costs associated with a voluntary liquidation of DTC. (Rel. 34-42013)

The Commission approved a proposed rule change filed by the National Securities Clearing Corporation (SR-NSCC-99-07). The rule change amends NSCC's rules to limit its liability with respect to obligations to any affiliated entity. (Rel. 34-42014)

The Commission approved a proposed rule change submitted by the Municipal Securities Rulemaking Board (SR-MSRB-99-7) to amend Rule G-16 to change the requirements for periodic examinations. Publication of the proposal is expected in the Federal Register

during the week of October 18. (Rel. 34-42019)

The Commission approved a proposed rule change filed by the Chicago Board Options Exchange (SR-CBOE-99-43) amending its constitution pertaining to corporate governance. (Rel. 34-42026)

DELISTING GRANTED

An order has been issued granting the application of the Boston Stock Exchange to strike from listing and registration CUMETRIX DATA SYSTEMS CORP., Common Stock, no par value. (Rel. 34-42018)

WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until November 8, 1999, to comment on the application of Starwood Hotels & Resorts Worldwide, Inc. to withdraw its Common Stock, par value \$.01 per share, Preferred Stock Purchase Rights, and Class B Shares of Beneficial Interest, par value \$.01 per share, from listing and registration on the Pacific Exchange.

Notice of this application was previously issued by the Commission as Securities Exchange Act Release No. 41902 on September 22, 1999. Such notice, however, failed to appear in the Federal Register, as required, and so is being reissued. (Rel. 34-42023)

A notice has been issued giving interested persons until November 8, 1999, to comment on the application of Gildan Activewear Inc./Les Vetements de Sports Gildan Inc. to withdraw its Class A Subordinate Voting Shares, without par value, from listing and registration on the American Stock Exchange.

Notice of this application was previously issued as Securities Exchange Act Release No. 41903 on September 22, 1999. Such notice, however, failed to appear in the Federal Register, as required, and so is being reissued. (Rel. 34-42024)

A notice has been issued giving interested persons until November 8, 1999, to comment on the application of Forest Laboratories, Inc., to withdraw its Common Stock, par value \$.10 per share, and the associated Rights to purchase one one-hundredth share of Series A Junior Participating Preferred Stock, par value \$ 1.00 per share, from listing and registration on the American Stock Exchange. (Rel. 34-42027)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount

of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 SATYAM INFOWAY LTD /ADR/, 111 WALL STREET, NEW YORK, NY 10043 - 100,000,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10982 - OCT. 07) (BR. 99 - NEW ISSUE)
- S-8 ANGLOGOLD LTD, 11 DIAGONAL ST, PO BOX 62117 MARSHALLTOWN 2107, JOHANNESBURG 2001, T3 - 250,000 (\$8,591,981) FOREIGN COMMON STOCK. (FILE 333-10990 - OCT. 08) (BR. 4)
- F-1 PARTNER COMMUNICATIONS CO LTD, 8 AMAL STREET AFEQ INDUSTRIAL PARK, 972 3 905 4888, ROSH HAAYIN 48103 ISRAEL, L3 00000 - \$605,000,000 FOREIGN COMMON STOCK. (FILE 333-10992 - OCT. 08) (BR. 7 - NEW ISSUE)
- S-3 IFX CORP, 707 SKOKIE BLVD 5TH FLOOR, NORTHBROOK, IL 60062 (847) 412-9411 - 36,851 (\$815,328 38) COMMON STOCK. (FILE 333-88859 - OCT 13) (BR. 3)
- S-8 PARKWAY PROPERTIES INC, ONE JACKSON PL, 188 E CAPITOL ST STE 1000, JACKSON, MS 39225 (601) 948-4091 - 715,645 (\$22,609,910) COMMON STOCK (FILE 333-88861 - OCT. 13) (BR. 8)
- SB-2 OHIO LEGACY CORP, 305 WEST LIBERTY STREET, WOOSTER, OH 44691 (330) 262-0437 - 1,200,000 (\$12,000,000) COMMON STOCK (FILE 333-88863 - OCT. 13) (BR. 9 - NEW ISSUE)
- S-8 NATIONAL DISCOUNT BROKERS GROUP INC, 10 EXCHANGE PLACE CENTRE, 15TH FLOOR, JERSEY CITY, NJ 07302 (201) 946-2200 - 1,000,000 (\$25,375,000) COMMON STOCK. (FILE 333-88865 - OCT 13) (BR. 7)
- S-3 HOWTEK INC, 21 PARK AVE, HUDSON, NH 03051 (603) 882-5200 - 195,090 (\$243,863) COMMON STOCK. (FILE 333-88867 - OCT 13) (BR. 3)
- S-8 ILLUMINET HOLDINGS INC, P O BOX 8, 4501 INTECCO LOOP, LACEY, WA 98507 (360) 493-6000 - 4,927,725 (\$118,007,100) COMMON STOCK. (FILE 333-88869 - OCT 13) (BR. 7)
- S-8 EMPIRE ENERGY CORP, 11011 KING STREET, SUITE 260, OVERLAND PARK, KS 66210 ((91) 3) -469- - 1,000,000 (\$5,250,000) COMMON STOCK. (FILE 333-88871 - OCT 13) (BR. 1)
- S-8 JORE CORP, 45000 HIGHEAY 93 S, RONAN, MT 59864 (406) 676-4900 - 1,611,064 (\$19,232,076) COMMON STOCK (FILE 333-88873 - OCT. 13) (BR. 6)
- S-8 CHARMING SHOPPES INC, 450 WINKS LANE, BENSLEM, PA 19020 (215) 245-9100 - 1,150,000 (\$6,109,375) COMMON STOCK (FILE 333-88899 - OCT 13) (BR. 2)
- S-8 GREENLAND CORP, 1935 AVENIDA DEL ORO, SUITE D, OCEANSIDE, CA 92056 (619) 458-4226 - 202,926 (\$58,848) COMMON STOCK (FILE 333-88901 - OCT. 13) (BR. 9)
- S-4 WESTINGHOUSE AIR BRAKE CO /DE/, 1001 AIR BRAKE AVE, WILMERDING, PA 15148 (412) 825-1000 - 19,996,752 (\$293,512,940 60) COMMON STOCK. (FILE 333-88903 - OCT 13) (BR. 5)
- S-4 BIOMET INC, AIRPORT INDUSTRIAL PARK, P O BOX 587, WARSAW, IN 46581 (219) 267-6639 - \$45,551,952 COMMON STOCK. (FILE 333-88905 - OCT 13) (BR. 5)
- S-8 ALLTEL CORP, ONE ALLIED DR, LITTLE ROCK, AR 72202 (501) 905-8000 - 138,858 (\$4,319,388) COMMON STOCK. (FILE 333-88907 - OCT. 13) (BR. 7)

SB-2 EPOCH PHARMACEUTICALS INC, 12277 134TH COURT NE, #110, REDMOND, WA 98052
(425) 82-1-75 - 3,472,738 (\$5,973,109) COMMON STOCK. (FILE 333-88909 -
OCT 13) (BR. 1)

S-2 PERRY ELLIS INTERNATIONAL INC, 3000 NW 107TH AVENUE, MIAMI, FL 33172
(305) 592-2830 - 5,000,000 (\$5,000,000) STRAIGHT BONDS. (FILE 333-88911 -
OCT 13) (BR. 2)

S-8 NEOSE TECHNOLOGIES INC, 102 WITMER RD, HORSHAM, PA 19044 (215) 441-5890
- 495,000 (\$7,118,100) COMMON STOCK. (FILE 333-88913 - OCT. 13) (BR. 1)

S-3 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134
(408) 526-4000 - 940,202 (\$66,491,085.44) COMMON STOCK. (FILE 333-88917 -
OCT 13) (BR. 3)

S-4 SOUTH BRANCH VALLEY BANCORP INC, 310 N MAIN ST, MOOREFIELD, WV 26836
(304) 538-2353 - 320,000 (\$11,937,600) COMMON STOCK. (FILE 333-88919 -
OCT 13) (BR 7)

S-8 PANAVISION INC, 6219 DE SOTO AVE, WOODLAND HILLS, CA 91367
(818) 316-1000 - \$1,500,000 COMMON STOCK. (FILE 333-88921 - OCT. 13)
(BR. 5)

S-8 ALLTEL CORP, ONE ALLIED DR, LITTLE ROCK, AR 72202 (501) 905-8000 -
734,792 (\$22,668,551) COMMON STOCK. (FILE 333-88923 - OCT. 13) (BR. 7)

S-8 BROWN FORMAN CORP, 850 DIXIE HWY, LOUISVILLE, KY 40210 (502) 585-1100 -
1,900,000 (\$117,443,750) COMMON STOCK (FILE 333-88925 - OCT. 13) (BR 2)

S-3 PROGENICS PHARMACEUTICALS INC, 777 OLD SAW MILL RIVER ROAD, TARRYTOWN,
NY 10591 (914) 789-2800 - 2,300,000 (\$49,737,500) COMMON STOCK. (FILE
333-88931 - OCT. 13) (BR. 1)

S-8 J A B INTERNATIONAL INC, 970 E MAIN ST STE 200, GRASS VALLEY, CA 95945
(916) 477-5961 - 225,000 (\$168,750) COMMON STOCK. (FILE 333-88933 -
OCT. 13) (BR. 4)

S-8 J A B INTERNATIONAL INC, 970 E MAIN ST STE 200, GRASS VALLEY, CA 95945
(916) 477-5961 - 225,000 (\$168,750) COMMON STOCK. (FILE 333-88935 -
OCT. 13) (BR 4)

S-1 HEALTHSTREAM INC, 209 10TH AVE SOUTH STE 450, NASHVILLE, TN 37203
(615) 301-3100 - 57,500,000 (\$15,985) COMMON STOCK. (FILE 333-88939 -
OCT. 13) (BR 8)

S-8 EFFICIENT NETWORKS INC, 4201 SPRING VALLEY ROAD, SUITE 1200, DALLAS, TX
75244 (972) 991-3884 - 200,000 (\$5,924,000) COMMON STOCK (FILE 333-88941
- OCT. 13) (BR. 7)

S-8 EFFICIENT NETWORKS INC, 4201 SPRING VALLEY ROAD, SUITE 1200, DALLAS, TX
75244 (972) 991-3884 - 3,500,000 (\$122,920,000) COMMON STOCK (FILE
333-88943 - OCT. 13) (BR 7)

S-8 EFFICIENT NETWORKS INC, 4201 SPRING VALLEY ROAD, SUITE 1200, DALLAS, TX
75244 (972) 991-3884 - 6,779,329 (\$17,262,632) COMMON STOCK. (FILE
333-88945 - OCT 13) (BR. 7)

S-8 J A B INTERNATIONAL INC, 970 E MAIN ST STE 200, GRASS VALLEY, CA 95945
(916) 477-5961 - 20,000 (\$15,000) COMMON STOCK. (FILE 333-88949 - OCT. 13)
(BR. 4)

S-8 J A B INTERNATIONAL INC, 970 E MAIN ST STE 200, GRASS VALLEY, CA 95945
(916) 477-5961 - 50,000 (\$37,500) COMMON STOCK. (FILE 333-88951 - OCT. 13)
(BR 4)

S-8 ATPOS COM INC, 500 OAKMEAD PARKWAY, SUNNYVALE, CA 94086 (408) 524-4200
- 2,699,891 (\$7,173,256.28) COMMON STOCK. (FILE 333-88961 - OCT. 14)
(BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D.C 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABN AMRO MORTGAGE CORP SERIES 1999- RSI	DE		X						X		10/19/99	AMEND
ACCENT SOFTWARE INTERNATIONAL LTD					X	X					10/18/99	
ACX TECHNOLOGIES INC	CO								X		08/02/99	AMEND
ADAC LABORATORIES	CA		X						X		10/01/99	
ADVANCED MEDICAL PRODUCTS INC	DE			X					X		10/18/99	
ADVANTA BUSINESS SERVICES CORP	DE					X	X				10/11/99	
ADVANTA BUSINESS SERVICES CORP	DE					X	X				10/11/99	
ADVANTA LEASING RECEIVABLES CORP IV	NV					X	X				10/11/99	
ADVANTA LEASING RECEIVABLES CORP IX	NV					X	X				10/11/99	
ADVANTA LEASING RECEIVABLES CORP V	NV					X	X				10/11/99	
ADVANTA LEASING RECEIVABLES CORP VI	NV					X	X				10/11/99	
II												
ALLEN TEST CO REL TEST 550	MI		X								05/02/98	
ALLEN TEST CO REL TEST 550	MI		X								05/02/98	
ALLEN TEST CO REL TEST 550	MI		X								05/02/98	
ALLION HEALTHCARE INC	DE	X	X	X	X	X	X		X		02/01/99	
AMERI-CAN RAILWAY SYSTEMS INC	NH					X					10/18/99	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				10/15/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X	X				10/15/99	
AMERICAN RESIDENTIAL EAGLE BOND TRU ST 1999-2 COLLATERALIZED	DE					X	X				09/27/99	
AMRESCO RESIDENTIAL SECURITIES CORP	DE					X	X				10/15/99	
ASSET BACKED FUNDING CORP	DE					X	X				10/15/99	
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2						X	X				10/18/99	
ASSET SECURITIZATION CORP COM MOR P S THR CERT SER 1999-C2						X	X				10/19/99	
AVONDALE INC	GA					X	X				10/19/99	
BANK OF NEW ENGLAND CORP	MA						X				09/30/99	
BEAR STEARNS ASSET BAC SE INC MOR B A LIB NOT CL A SE 1999-1	DE					X	X				09/25/99	
BELMONT BANCORP	OH				X						10/18/99	
BESICORP LTD	NY					X					10/07/99	
BLACK & DECKER CORP	MD					X	X				10/03/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BLUE BIRD CORP	DE				X						10/09/99	
CAPITAL ONE MASTER TRUST	VA				X	X					10/15/99	
CENTRAL & SOUTH WEST CORP	DE				X	X					10/08/99	
CENTRAL AMERICAN EQUITIES INC	FL				X						07/07/99	
CENTRAL AMERICAN EQUITIES INC	FL				X						07/07/99	
CENTRE CAPITAL CORP /NV/	NV							X			09/15/99	AMEND
CHARTER COMMUNICATIONS HOLDINGS CAP ITAL CORP	DE		X					X			10/01/99	
CHARTER COMMUNICATIONS HOLDINGS LLC	DE		X				X				10/01/99	
CHS ELECTRONICS INC	FL		X				X				10/04/99	
CISCO SYSTEMS INC	CA				X	X					09/29/99	
CITICORP MORTGAGE SECURITIES INC	DE				X						10/19/99	
CITIGROUP INC	DE				X						10/18/99	
CITIZENS UTILITIES CO	DE				X	X					10/18/99	
CMS ENERGY CORP	MI				X						10/18/99	
CNA FINANCIAL CORP	DE				X						10/18/99	
COCA COLA ENTERPRISES INC	DE				X	X					10/19/99	
COMMUNITY BANCORP INC	DE				X						10/08/99	
COMMUNITY FEDERAL BANCORP INC	DE						X				10/15/99	
COMPU DAWN INC	DE				X						10/12/99	
COMPX INTERNATIONAL INC	DE				X	X					10/18/99	
CONNECTISYS CORP	CO				X		X				08/04/99	
CONSUMERS ENERGY CO	MI				X						10/18/99	
CPI CORP	DE		X								10/19/99	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE				X	X					10/14/99	
CUBIST PHARMACEUTICALS INC	DE				X	X					10/15/99	
CVB FINANCIAL CORP	CA		X								10/04/99	
CYPOST CORP					X		X				10/15/99	
CYTRX CORP	DE				X	X					09/09/99	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE				X	X					10/15/99	
DBS INDUSTRIES INC	DE				X	X					10/11/99	
DECRANE AIRCRAFT HOLDINGS INC	OH		X			X					08/05/99	
DECRANE HOLDINGS CO	OH		X			X					08/05/99	
DIAMOND HOME SERVICES INC	DE				X	X					10/08/99	
DIGITAL COURIER TECHNOLOGIES INC	DE		X			X					10/19/99	
DROVERS BANCSHARES CORP	PA				X	X					10/12/99	
DSP COMMUNICATIONS INC	DE				X	X					10/01/99	
DUPONT E I DE NEMOURS & CO	DE		X			X					10/18/99	
DVI RECEIVABLES CORP VIII	DE					X					09/30/99	
EDISON INTERNATIONAL	CA				X	X					10/06/99	
ELCOR CORP	DE				X	X					10/19/99	
ESCALON MEDICAL CORP	CA		X			X					08/13/99	AMEND
EXTENDED SYSTEMS INC	DE		X			X					10/18/99	AMEND
FIRST BANK CORPORATE CARD MASTER TR UST	NY				X	X					10/19/99	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					10/12/99	
FIRST WEST CHESTER CORP	PA				X						09/30/99	
FIRSTFED FINANCIAL CORP	DE		X								10/18/99	
FLAG FINANCIAL CORP	GA				X	X					10/19/99	
FLORIDA POWER CORP /	FL				X	X					10/14/99	
FLORIDA PROGRESS CORP	FL				X	X					10/14/99	
FORD MOTOR CO	DE				X	X					10/18/99	
FORD MOTOR CREDIT CO	DE				X	X					10/18/99	
FOREMOST CORP OF AMERICA	MI		X								10/19/99	
FRANKLIN ELECTRIC CO INC	IN				X	X					10/15/99	
GEOKINETICS INC	DE		X		X	X					10/01/99	
GOLDEN BOOKS FAMILY ENTERTAINMENT I NC	DE			X		X					10/18/99	

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		1	2	3	4	5	6	7	8	9		
GO2NET INC	DE		X			X					08/17/99	AMEND
GPU INC /PA/	PA				X	X					10/15/99	
GREASE MONKEY HOLDING CORP	CO				X						10/08/99	
GREATER BAY BANCORP	CA				X	X					10/14/99	
GREEN TREE FINANCIAL CORP	DE				X	X					09/15/99	
HEALTHWORLD CORP	DE		X			X					08/03/99	AMEND
HELLER FINANCIAL INC	DE				X	X					10/18/99	
HECOM COMMUNICATIONS INC	DE		X			X					10/01/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996-1	DE				X	X					09/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1996-2	DE					X					09/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1997-1	DE				X	X					09/14/99	
HOUSEHOLD CONSUMER LOAN TRUST 1997-2	DE				X	X					09/14/99	
HOUSEHOLD CREDIT CARD MASTER TRUST I	DE					X					09/15/99	
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	DE				X	X					09/14/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-1	DE					X					09/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1995-2	DE					X					09/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-1	DE					X					09/20/99	
HOUSEHOLD REVOLVING HOME EQUITY LOAN TRUST 1996-2	DE					X					09/20/99	
IDG BOOKS WORLDWIDE INC	DE		X			X					08/02/99	AMEND
INACOM CORP	DE					X					10/18/99	
INNOVEX INC	MN		X			X					08/09/99	AMEND
INSIGHT ENTERPRISES INC	DE				X	X					10/19/99	
INSITUFORM TECHNOLOGIES INC	DE		X								10/06/99	
INTELLIQUEST INFORMATION GROUP INC	DE		X			X					09/15/99	
INTERNATIONAL LOTTERY & TOTALIZATOR SYSTEMS INC	CA		X			X					10/05/99	
INTREPID CAPITAL CORP	DE					X					08/04/99	AMEND
JAKKS PACIFIC INC	DE		X			X					10/05/99	
JERSEY CENTRAL POWER & LIGHT CO	NJ				X	X					10/15/99	
KEYSTONE FINANCIAL INC	PA				X						09/30/99	
KEYSTONE PROPERTY TRUST	MD				X	X					10/12/99	
KIMMINS CORP/DE	DE				X						10/14/99	
LADY LUCK GAMING CORP	DE				X	X					10/05/99	
LASER POWER CORP/FA	DE				X	X					10/15/99	
LEAR CORP /DE/	DE				X	X					09/01/98	AMEND
LIFEPOINT INC	DE		X								12/31/99	
LOEWS CORP	DE				X	X					10/01/99	
MACE SECURITY INTERNATIONAL INC	DE		X			X					08/24/99	AMEND
MATTEL INC /DE/	DE				X	X					10/19/99	
MERCHANTONLINE COM INC	FL				X	X					10/18/99	
MERRILL LYNCH MORT INVEST INC MOR P AS THR CER SE 1998-C3	DE				X	X					09/16/99	
METROMEDIA FIBER NETWORK INC	DE				X	X					10/07/99	
MILLER INDUSTRIES INC	FL				X	X					09/05/99	
MLC HOLDINGS INC	DE		X								10/01/99	
MORGAN J P & CO INC	DE				X	X					10/18/99	
NATIONAL EQUIPMENT SERVICES INC	DE					X					08/02/99	AMEND
NATIONAL STEEL CORP	DE				X	X					10/14/99	
NEOWARE SYSTEMS INC	DE				X	X					10/07/99	
NEOWARE SYSTEMS INC	DE				X	X					10/07/99	AMEND
NETOBJECTS INC	DE		X								10/04/99	

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		1	2	3	4	5	6	7	8	9		
NEW ZMAX CORP	DE		X				X				10/01/99	
NFO WORLDWIDE INC	DE				X	X					10/18/99	
NISSAN AUTO RECEIVABLES CORP /DE	DE	X									09/30/99	
NORTHEAST PENNSYLVANIA FINANCIAL CO RP	DE				X	X					10/15/99	
NORTHEAST UTILITIES SYSTEM	MA				X	X					10/13/99	
NORTHERN TRUST CORP	DE				X	X					10/18/99	
NOVAVAX INC	DE					X					08/10/99	AMEND
OUTSOURCE INTERNATIONAL INC	FL				X	X	X				10/05/99	
PACER TECHNOLOGY	CA				X						10/12/99	
PACIFIC GATEWAY PROPERTIES INC /MD/	MD				X	X					10/12/99	
PAPER WAREHOUSE INC	MN				X	X	X				10/11/99	
PECO ENERGY CO	PA				X						10/15/99	
PECO ENERGY CO	PA				X						10/15/99	
PEEKSKILL FINANCIAL CORP	DE				X	X					10/18/99	
PEOPLES BANCORP INC	OH	X									10/18/99	
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT					X					09/30/99	
PIONEER BANCORPORATION		X					X				10/15/99	
PLANET HOLLYWOOD INTERNATIONAL INC	DE		X	X	X	X					10/04/99	
PPT VISION INC	MN				X	X					10/31/99	
PRIMUS TELECOMMUNICATIONS GROUP INC	DE				X	X					10/15/99	
PRISM SOLUTIONS INC	DE				X	X					11/19/98	
PROVINCE HEALTHCARE CO	DE	X				X					10/01/99	
RAILAMERICA INC /DE	DE	X				X					10/14/99	
RAILTEX INC	TX				X	X					10/14/99	
REGIONS FINANCIAL CORP	DE				X	X					10/18/99	
RELIANT ENERGY INC	TX				X						10/07/99	
RENAISSANCE CAPITAL GROWTH & INCOME FUND III INC	TX				X						09/30/99	
RIVIERA HOLDINGS CORP	NV				X	X					10/15/99	
ROWECOM INC	DE	X				X					10/18/99	
RUSH ENTERPRISES INC \TX\	TX	X				X					10/04/99	
SALOMON SMITH BARNEY HOLDINGS INC	DE				X						10/18/99	
SARATOGA BANCORP	CA				X						09/30/99	
SBC COMMUNICATIONS INC	DE				X	X					10/18/99	
SECURITY OF PENNSYLVANIA FINANCIAL CORP	DE				X	X					10/19/99	
SHURGARD STORAGE CENTERS INC	WA	X									10/12/99	
SMITH A O CORP	DE					X					08/02/99	AMEND
SONO TEK CORP	NY	X									08/03/99	AMEND
SOUTHERN CALIFORNIA EDISON CO	CA				X						10/06/99	
SOUTHERN FINANCIAL BANCORP INC /VA/	VA	X				X					10/01/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						09/25/99	
STRUCTURED PRODUCTS CORP	DE					X					10/15/99	
SUNBURST ACQUISITIONS IV INC	CO	X									10/18/99	
SUNTRUST BANKS INC	GA	X									10/14/99	
TECHNICLONE CORP/DE/	DE				X	X					10/19/99	
TELTRAN INTERNATIONAL GROUP LTD	DE					X					08/16/99	AMEND
THRUSTMASTER INC	OR	X				X					10/01/99	
TIERS ASSET BACKED SECURITIES SER C HAMT TR 1997-7	DE					X					10/15/99	
TIMES MIRROR CO /NEW/	DE				X	X					10/19/99	
TITAN PHARMACEUTICALS INC	DE				X	X					10/19/99	
TRUSTCO BANK CORP N Y	NY				X						10/19/99	
U S DIGITAL COMMUNICATIONS INC	NV				X						10/15/99	
UAL CORP /DE/	DE				X	X					10/19/99	
ULTRALIFE BATTERIES INC	DE				X						09/28/99	
UNITED AIR LINES INC	DE				X	X					10/19/99	

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UNITED COMPANIES FINANCIAL CORP	LA				X	X					10/15/99	
UNITED MEDICORP INC	DE				X	X					10/19/99	
UNIVERSAL BANK NA	DE	X									09/30/99	
VIALINK CO	OK				X	X					10/04/99	
VISTA EYECARE INC	GA				X						10/18/99	
WARRANTECH CORP	DE				X						10/01/99	
WELLS FARGO & CO/MN	DE				X	X					10/19/99	
WERNER ENTERPRISES INC	NE				X	X					10/14/99	
WHITE MOUNTAINS INSURANCE GROUP INC	DE				X	X					10/15/99	
WILLIAMS COMMUNICATIONS GROUP INC	DE				X	X					10/01/99	
WILLIAMS COMPANIES INC	DE				X	X					10/01/99	
WISCONSIN ELECTRIC POWER CO	WI				X						10/06/99	
WISCONSIN ENERGY CORP	WI				X						10/06/99	
YORK INTERNATIONAL CORP /DE/	DE				X						10/18/99	