

# SEC NEWS DIGEST

Issue 99-20

February 1, 1999

---

## RULES AND RELATED MATTERS

---

### GRANT OF EXEMPTIVE RELIEF FROM CERTAIN PROVISIONS OF THE TENDER OFFER REGULATIONS

The Commission granted an exemptive order from certain provisions of the Securities Exchange Act of 1934 (Exchange Act) to TRW, Inc. with regard to a tender offer for the ordinary shares and American Depositary Shares of LucasVarity plc. The order granted relief from certain withdrawal rights provisions of Section 14(d)(5) of the Exchange Act and Rules 14d-7 and 14d-10 thereunder, and confirmed that the offer could employ certain practices consistent with U.K. law and practice. For further information contact James J. Moloney at (202) 942-2920. (Rel. 34-40993)

### TRANSITION RULE FOR OHIO INVESTMENT ADVISERS

The Commission issued a release proposing a new rule under the Investment Advisers Act to assist investment advisers that will be subject to a new Ohio investment adviser statute. The new rule would create a transition process for these investment advisers to change from Commission to state registration. FOR FURTHER INFORMATION, CONTACT: Jeffrey O. Himstreet, Division of Investment Management, at (202) 942-0716. (Rel. IA-1787; File No. S7-2-99)

---

## INVESTMENT COMPANY ACT RELEASES

---

### RYDEX VARIABLE TRUST, ET AL.

A notice has been issued giving interested persons until February 24, 1999, to request a hearing on an application filed by Rydex Variable Trust (Rydex) and PADCO Advisors II, Inc. (PADCO.) Applicants seek an order, pursuant to Section 6(c) of the Investment Company Act, to the extent necessary to permit shares of Rydex Variable Trust and shares of any other investment company that is designed to fund insurance products and for which PADCO, or any of its affiliates, may serve as investment adviser, administrator, manager, principal underwriter or sponsor to be sold to and held by: (a) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies and (b)

qualified pension and retirement plans outside the separate account context. (Rel. IC-23671 - January 29)

---

## **SELF-REGULATORY ORGANIZATIONS**

---

### **IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES**

A proposed rule change filed by the Pacific Exchange to rescind the fee assessment for new facilities (SR-PCX-98-65) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of February 1. (Rel. 34-40959)

The Emerging Markets Clearing Corporation filed a proposed rule change (SR-EMCC-99-1), which became effective upon filing, establishing EMCC's fees and charges for pairing-off eligible instruments. Publication of the proposal is expected in the Federal Register during the week of February 1. (Rel. 34-40989)

### **PARTIAL ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted partial accelerated approval to a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-02) instituting a pilot program relating to the listing eligibility criteria for closed-end investment companies registered under the Investment Company Act. (Rel. 34-40979)

### **APPROVAL OF PROPOSED RULE CHANGES**

The Commission approved a proposed rule change submitted by the Pacific Exchange (SR-PCX-98-55) relating to crossed market adjustments. (Rel. 34-40980)

The Commission approved a proposed rule change submitted by the American Stock Exchange (SR-AMEX-98-45) relating to the margin treatment of Grand Exchange-Traded Fund Share option contracts. (Rel. 34-40985)

The Commission approved a proposed rule change submitted by the National Association of Securities Dealers (SR-NASD-98-79) permitting issuers to disseminate material corporate information over the Internet as a supplement to traditional news services; updating MarketWatch contact information and other matters. (Rel. 34-40988)

The Commission approved a proposed rule change submitted by the Chicago Stock Exchange (SR-CHX-98-24) that amends the Exchange's Decorum Rules, Short Sales and Minor Rule Violation Plan. Publication of the order is expected in the Federal Register during the week of February 1. (Rel. 34-40990)

The Commission approved a proposed rule change submitted by the Philadelphia Stock Exchange (SR-Phlx-98-45) to adopt new Rule 949 respecting the purchase, sale, transfer, and posting of membership transactions. (Rel. 34-40991)

#### PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-99-05) regarding the listing and trading of options on the Dow Jones E\*Commerce Index. Publication is expected in the Federal Register during the week of February 1. (Rel. 34-40995)

#### APPLICATION FOR EXEMPTION FROM CLEARING AGENCY REGISTRATION

Thomson Financial Technology Services, Inc. (TFTS) has filed an application for exemption from clearing agency registration (File No. 600-31) in order to provide matching services for securities trades. The Commission is publishing a notice to solicit comment on TFTS's application. Publication of the notice is expected in the Federal Register during the week of February 1. (Rel. 34-41003)

---

#### SECURITIES ACT REGISTRATIONS

---

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-1 TTI TEAM TELECOM INTERNATIONAL LTD, 7 HAMIFALIM ST. KIRYAT ARYEH, PETACH TIKVA 49513 ISRAEL, L3 - 1,150,000 (\$8,876,850)  
FOREIGN COMMON STOCK (FILE 333-9834 - JAN 22) (BR 3)
- SB-2 RAMPART CAPITAL CORP, 700 LOUISIANA, SUITE 2550, HOUSTON, TX 77002  
(713) 223-4610 - 1,725,000 (\$17,250,000) COMMON STOCK 150,000 (\$150)  
WARRANTS, OPTIONS OR RIGHTS 150,000 (\$1,800,000) COMMON STOCK (FILE  
333-71089 - JAN 25) (BR 9 - NEW ISSUE)
- SB-2 IBF VI GUARANTEED INCOME FUND, 1733 CONNECTICUT AVENUE N W, WASHINGTON,  
DC 20009 (202) 588-7500 - 50,000,000 (\$50,000,000) STRAIGHT BONDS (FILE  
333-71091 - JAN 25) (BR 9 - NEW ISSUE)
- S-8 SYMONS INTERNATIONAL GROUP INC, 4720 KINGSWAY DRIVE, INDIANAPOLIS, IN  
46205 (317) 259-6400 - 500,000 (\$4,718,750) COMMON STOCK. (FILE 333-71093  
- JAN 25) (BR. 1)
- S-8 GORAN CAPITAL INC, 181 UNIVERSITY AVE - STE 1101, BOX 11,  
TORONTO ONTARIO CANA, A6 (416) 594-1155 - 325,672 (\$3,602,746 50)  
COMMON STOCK (FILE 333-71095 - JAN 25) (BR 1)
- S-4 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94025 (415) 944-6000 -  
\$500,000,000 COMMON STOCK (FILE 333-71097 - JAN 25) (BR 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94025 (415) 944-6000 -  
2,640,000 (\$247,995,000) COMMON STOCK. (FILE 333-71099 - JAN 25) (BR. 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94025 (415) 944-6000 -  
30,000 (\$2,818,125) COMMON STOCK. (FILE 333-71101 - JAN 25) (BR. 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94025 (415) 944-6000 -  
300,000 (\$28,181,250) COMMON STOCK (FILE 333-71103 - JAN 25) (BR 3)

S-3 ANHEUSER BUSCH COMPANIES INC, ONE BUSCH PL,  
C/O OFFICE OF THE VP & SEC'Y, ST LOUIS, MO 63118 (314) 577-2000 -  
\$750,000,000 STRAIGHT BONDS (FILE 333-71105 - JAN 25) (BR. 2)

S-8 HS RESOURCES INC, ONE MARITIME PLAZA 15TH FLOOR, SAN FRANCISCO, CA 94111  
(415) 433-5795 - 500,000 (\$3,187,500) COMMON STOCK (FILE 333-71107 -  
JAN 25) (BR 4)

S-3 INFOCURE CORP, 1765 THE EXCHANGE, STE 450, ATLANTA, GA 30339  
(770) 221-9990 - 4,600,000 (\$146,924,000) COMMON STOCK (FILE 333-71109 -  
JAN 25) (BR 9)

S-3 FERRELLGAS PARTNERS L P, ONE LIBERTY PLAZA, LIBERTY, MO 64068  
(816) 792-1600 (FILE 333-71111 - JAN 25) (BR. 2)

S-8 DIAL CORP /NEW/, 15501 NORTH DIAL BOULEVARD, 15501 NORTH DIAL BOULEVARD,  
SCOTTSDALE, AZ 85260 (602) 754-3425 - 150,000 (\$3,989,062 50) COMMON STOCK  
(FILE 333-71113 - JAN 25) (BR 4)

S-8 AUSPEX SYSTEMS INC, 5200 GREAT AMERICA PKWY, SANTA CLARA, CA 95054  
(408) 986-2000 - 900,000 (\$5,287,500) COMMON STOCK (FILE 333-71115 -  
JAN 25) (BR 3)

S-2 SUMMIT SECURITIES INC /ID/, 601 W 1ST AVENUE, DEPARTMENT 115000,  
SPOKANE, WA 99201 (509) 838-3111 - 200,000 (\$8,000,000) PREFERRED STOCK  
(FILE 333-71117 - JAN 25) (BR 8)

S-3 COMMERCE BANCSHARES INC /MO/, 1000 WALNUT, KANSAS CITY, MO 64106  
(816) 234-2000 - 306,391 (\$11,719,456) COMMON STOCK (FILE 333-71119 -  
JAN 25) (BR 7)

S-3 NEWCOM INC, 31166 VIA COLINAS, WESTLAKE VILLAGE, CA 91362 (818) 597-3200  
- 10,203,404 (\$19,131,382) COMMON STOCK (FILE 333-71121 - JAN 25)  
(BR 5)

S-1 ITURF INC, 435 HUDSON ST, NEW YORK, NY 10014 (212) 807-9060 -  
\$46,000,000 COMMON STOCK (FILE 333-71123 - JAN 25) (NEW ISSUE)

S-4 WELLS FARGO & CO/MN, 420 MONTGOMERY STREET, SIXTH & MARQUETTE,  
SAN FRANCISCO, CA 94163 (612) 667-1234 - 675,000 (\$8,416,361) COMMON STOCK  
(FILE 333-71125 - JAN 25) (BR 7)

S-4 METROMEDIA FIBER NETWORK INC, C/O METROMEDIA FIBER NETWORK SER INC,  
1 NORTH LEXINGTON AVE, WHITE PLAINS, NY 10601 (914) 421-6700 - 650,000,000  
(\$650,000,000) STRAIGHT BONDS (FILE 333-71129 - JAN 25) (BR 7)

S-3 MICRO WAREHOUSE INC, 535 CONNECTICUT AVE, NORWALK, CT 06854  
(203) 899-4000 - 78,130 (\$3,198,447) COMMON STOCK (FILE 333-71131 -  
JAN 25) (BR 2)

S-3 MACK CALI REALTY CORP, 11 COMMERCE DR, 1ST FLOOR, CRANFORD, NJ 07016  
(908) 272-8000 - 5,700,000 (\$172,247,160) COMMON STOCK (FILE 333-71133 -  
JAN 25) (BR 8)

S-4 HARVARD INDUSTRIES INC, 3 WERNER WAY, LEBANON, NJ 08833 (908) 437-4100  
- 25,000,000 (\$25,000,000) STRAIGHT BONDS (FILE 333-71137 - JAN 25)  
(BR 6)

S-3 HARVARD INDUSTRIES INC, 3 WERNER WAY, LEBANON, NJ 08833 (908) 437-4100  
- 5,372,298 (\$40,963,772) COMMON STOCK (FILE 333-71139 - JAN 25)  
(BR 6)

S-4 WEBSTER FINANCIAL CORP, WEBSTER PLAZA, 145 BANK ST, WATERBURY, CT 06720  
 (203) 753-2921 - 880,136 (\$24,643,808) COMMON STOCK (FILE 333-71141 -  
 JAN 25) (BR 7)

S-4 ROHN INDUSTRIES INC, 6718 WEST PLANK RD, PEORIA, IL 61604 (309) 697-4400  
 - 7,648,106 (\$23,900,331) COMMON STOCK. (FILE 333-71143 - JAN 25)  
 (BR 6)

S-8 FIDELITY BANCORP INC, 1009 PERRY HIGHWAY, PITTSBURGH, PA 15237  
 (412) 367-3300 - 15,590 (\$272,825) COMMON STOCK (FILE 333-71145 -  
 JAN. 25) (BR 7)

S-8 WEST MARINE INC, 500 WESTRIDGE DR, WATSONVILLE, CA 95076 (408) 728-2700  
 - 200,000 (\$2,338,000) COMMON STOCK (FILE 333-71147 - JAN 25) (BR 2)

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2. Acquisition or Disposition of Assets
- Item 3 Bankruptcy or Receivership
- Item 4 Changes in Registrant's Certifying Accountant
- Item 5 Other Materially Important Events
- Item 6 Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits
- Item 8 Change in Fiscal Year
- Item 9. Regulation S Offerings

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address <public info @ sec> In most cases, this information is also available on the Commission's website <www sec gov>

| NAME OF ISSUER                             | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| ABN AMRO MORTGAGE CORP                     | DE            | X           |   |   |   |   |   |   |   |   | 01/29/99 |         |
| ACADIA NATIONAL HEALTH SYSTEMS INC         | CO            | X           |   |   |   |   |   |   |   |   | 03/31/99 |         |
| AGRIBIOTECH INC                            | NV            |             |   |   |   | X |   |   |   |   | 08/28/98 | AMEND   |
| AIRGAS INC                                 | DE            |             |   |   | X | X |   |   |   |   | 01/29/99 |         |
| ALEXION PHARMACEUTICALS INC                | DE            |             |   |   | X | X |   |   |   |   | 01/26/99 |         |
| ALLTEL CORP                                | DE            |             |   |   |   | X |   |   |   |   | 01/29/99 | AMEND   |
| AMBANC HOLDING CO INC                      | DE            |             |   |   | X | X |   |   |   |   | 11/16/98 | AMEND   |
| AMERICAN BIOMED INC                        | DE            |             |   |   | X | X | X |   |   |   | 01/25/99 |         |
| AMERICAN INDUSTRIAL PROPERTIES REIT<br>INC | TX            | X           |   |   |   | X |   |   |   |   | 01/15/99 |         |
| ANCHOR FINANCIAL CORP                      | SC            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| ANTIGUA FUNDING CORP                       | DE            |             |   |   | X |   |   |   |   |   | 12/08/98 |         |
| ANTIGUA FUNDING CORP                       | DE            |             |   |   | X |   |   |   |   |   | 01/08/99 |         |
| APPLE RESIDENTIAL INCOME TRUST INC         | VA            |             |   |   |   | X |   |   |   |   | 11/17/98 | AMEND   |
| AUSTINS STEAKS & SALOON INC                | DE            |             |   |   | X | X |   |   |   |   | 01/14/99 | AMEND   |
| AVIGEN INC \DE                             | DE            |             |   |   | X | X |   |   |   |   | 11/10/98 |         |
| BANK OF NEW YORK CO INC                    | NY            |             |   |   | X | X |   |   |   |   | 01/25/99 |         |
| BARRISTER INFORMATION SYSTEMS CORP         | NY            | X           |   |   |   | X |   |   |   |   | 01/15/99 |         |
| BIOCONTROL TECHNOLOGY INC                  | PA            |             |   |   | X |   |   |   |   |   | 01/22/99 |         |
| BRE PROPERTIES INC /MD/                    | MD            |             |   |   | X | X |   |   |   |   | 01/26/99 |         |
| BROOKDALE LIVING COMMUNITIES INC           | DE            |             |   |   | X |   |   |   |   |   | 01/19/99 |         |
| CABOT INDUSTRIAL TRUST                     | MD            |             |   |   | X | X |   |   |   |   | 12/29/98 |         |
| CAPITAL TRUST INC                          | MD            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |

| NAME OF ISSUER   | STATE<br>CODE | BK ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| CBS CORP   | PA            |             |   |   | X | X |   |   |   |   | 01/29/99 |         |
| CELERIS CORP   | MN            |             |   |   | X | X |   |   |   |   | 01/29/99 |         |
| CIT GROUP INC  | DE            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| CIT HOME EQUITY LOAN TRUST 1998-1                            | NY            |             |   |   | X | X |   |   |   |   | 01/15/99 |         |
| CIT RV TRUST 1998-A  | DE            |             |   |   | X | X |   |   |   |   | 01/15/99 |         |
| CMC SECURITIES CORP II                                       | DE            |             |   |   | X | X |   |   |   |   | 12/25/98 |         |
| COLMENA CORP   | DE            | X           |   |   |   |   |   |   |   |   | 01/29/99 |         |
| COMFORT SYSTEMS USA INC                                      | DE            |             |   |   |   | X |   |   |   |   | 11/15/98 | AMEND   |
| COMMERCIAL MORTGAGE PASS THR CERT S<br>ER 1998-C2            | MO            |             |   |   | X | X |   |   |   |   | 01/15/99 |         |
| COMMONWEALTH BANCORP INC                                     | PA            |             |   |   | X | X |   |   |   |   | 01/27/99 |         |
| COMPX INTERNATIONAL INC                                      | DE            | X           |   |   |   | X |   |   |   |   | 01/14/99 |         |
| CONNECTICUT LIGHT & POWER CO                                 | CT            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| CONSOLIDATED GRAPHICS INC /TX/                               | TX            |             |   |   | X | X |   |   |   |   | 01/26/99 |         |
| CONTIMORTGAGE HOME EQUITY TRUST 199<br>8-1                   | NY            |             |   |   | X | X |   |   |   |   | 01/15/99 |         |
| CONTIMORTGAGE HOME EQUITY TRUST 199<br>8-2                   | NY            |             |   |   | X | X |   |   |   |   | 01/15/99 |         |
| CONTIMORTGAGE HOME EQUITY TRUST 199<br>8-3                   | NY            |             |   |   | X | X |   |   |   |   | 01/12/99 |         |
| CONTISECURITIES ASSET FUNDING CORP                           | DE            |             |   |   | X | X |   |   |   |   | 01/15/98 |         |
| CULLEN FROST BANKERS INC                                     | TX            |             |   |   | X |   |   |   |   |   | 01/26/99 |         |
| CWMBS INC  | DE            |             |   |   | X | X |   |   |   |   | 01/28/99 |         |
| CWMBS INC  | DE            |             |   |   | X | X |   |   |   |   | 01/28/99 |         |
| CYLINK CORP /CA/   | CA            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| DELTA FUNDING HOME EQ LN TR 1998-3<br>HELAB CERTS SER 1998-3 |               | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| DELTA FUNDING HOME EQUITY LOAN TRUS<br>T 1998-2              | NY            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| DEVELOPERS DIVERSIFIED REALTY CORP                           | OH            | X           |   |   |   | X |   |   |   |   | 01/15/99 |         |
| DISCOVER CARD MASTER TRUST I                                 | DE            |             |   |   | X | X |   |   |   |   | 01/28/99 |         |
| E NET INC  | DE            |             |   |   | X |   |   |   |   |   | 09/30/98 |         |
| ELDORADO BANCSHARES INC                                      | DE            | X           |   |   |   | X |   |   |   |   | 01/22/99 |         |
| ELLIGENT CONSULTING GROUP INC                                | NV            |             | X |   |   | X |   |   |   |   | 01/25/99 |         |
| EXODUS COMMUNICATIONS INC                                    | DE            |             |   |   | X | X |   |   |   |   | 01/26/99 |         |
| EYEMAKERS INC  | NV            |             |   |   |   | X |   |   |   |   | 01/01/99 |         |
| FACTUAL DATA CORP  | CO            | X           |   |   |   |   |   |   |   |   | 01/26/99 |         |
| FIDELITY NATIONAL CORP /GA/                                  | GA            |             |   |   | X |   |   |   |   |   | 01/27/99 |         |
| FIRST FINANCIAL BANCORP /CA/                                 | CA            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| FIRST NATIONAL BANK OF ATLANTA                               |               |             |   |   | X | X |   |   |   |   | 12/31/98 |         |
| FIRST UNION COMMERCIAL MORTGAGE SEC<br>URITIES INC           | DE            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| FRANCHISE FINANCE CORP OF AMERICA                            | DE            |             |   |   | X | X |   |   |   |   | 01/27/99 |         |
| FRETTER INC  | MI            |             |   |   | X | X |   |   |   |   | 01/19/99 |         |
| GEODYNE ENERGY INCOME LIMITED PARTN<br>ERSHIP II-F           | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP I-B                | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP I-C                | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP I-D                | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP I-E                | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP I-F                | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II A               | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-B               | OK            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |

| NAME OF ISSUER   | STATE<br>CODE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE | COMMENT  |       |
|--|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|-------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-C                   | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-D                   | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-E                   | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-G                   | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP II-H                   | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-A                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-B                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-C                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-D                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-E                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-F                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE ENERGY INCOME LTD PARTNERSH<br>IP III-G                  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INC LTD PARTNERSHIP P-3 | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INC LTD PARTNERSHIP P-7 | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INC LTD PARTNERSHIP P-8 | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INCOME LP P-5           | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INCOME LTD PART P-6     | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INCOME LTD PTNSHIP P-4  | OK            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INCOME P-1 LTD PTNSHIP  | TX            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEODYNE INSTITUTIONAL PENSION ENERG<br>Y INCOME P-2 LTD PTNSHIP  | TX            |             |   |   |   | X |   |   |   |   |      | 01/29/99 |       |
| GEON CO  | DE            |             |   |   |   | X | X |   |   |   |      | 01/28/99 |       |
| GIANT INDUSTRIES INC   | DE            |             |   |   |   | X |   |   |   |   |      | 12/31/98 |       |
| GREATER COMMUNITY BANCORP  | NJ            | X           |   |   |   |   |   |   |   |   |      | 01/29/99 |       |
| HARLAND JOHN H CO  | GA            |             |   |   |   | X | X |   |   |   |      | 12/17/98 |       |
| HORIZON GROUP PROPERTIES INC                                     | MD            |             |   |   |   | X | X |   |   |   |      | 01/20/99 |       |
| HUDSONS GRILL OF AMERICA INC                                     | CA            |             | X |   |   |   |   |   |   |   |      | 01/29/99 |       |
| HUMAN PHEROMONE SCIENCES INC                                     | CA            |             |   |   |   | X |   |   |   |   |      | 01/28/99 |       |
| IBIS TECHNOLOGY CORP   | MA            |             |   |   |   | X | X |   |   |   |      | 01/27/99 |       |
| IMPAC CMB TRUST SERIES 1998-2                                    | CA            |             | X |   |   |   |   |   |   |   |      | 12/15/98 |       |
| IMPAC CMB TRUST SERIES 1998-3                                    | CA            |             | X |   |   |   |   |   |   |   |      | 12/15/98 |       |
| IMPAC SECURED ASSETS CMN TRUST SERI<br>ES 1998-1                 | CA            |             | X |   |   |   |   |   |   |   |      | 12/28/98 |       |
| IMPAC SECURED ASSETS CORP MORTGAGE<br>PASS THR CER SER 1998-2    | CA            |             | X |   |   |   |   |   |   |   |      | 12/15/98 |       |
| IMPAC SECURED ASSETS CORP MPT CERTS<br>SERIES 1998-3             | CA            |             | X |   |   |   |   |   |   |   |      | 12/15/98 |       |
| IMPERIAL CMB TRUST SERIES 1998-1                                 | DE            |             | X |   |   |   |   |   |   |   |      | 09/25/98 |       |
| IMPERIAL CMB TRUST SERIES 1998-1                                 | DE            |             | X |   |   |   |   |   |   |   |      | 12/28/98 |       |
| INFOSEEK CORP /DE/   | DE            |             | X |   |   |   |   | X |   |   |      | 01/15/99 |       |
| INTERACTIVE MAGIC INC /NC/                                       | NC            |             | X |   |   | X |   | X |   |   |      | 01/25/99 |       |
| INTERMEDIA COMMUNICATIONS INC                                    | DE            |             |   |   |   |   |   | X |   |   |      | 01/29/99 | AMEND |

| NAME OF ISSUER   | STATE<br>CODE | BK ITEM NO. |   |   |   |   |   |   |   |   | DATE     | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
|  |               | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |          |         |
| INTERNATIONAL BUSINESS MACHINES CORP                         | NY            |             |   |   |   |   |   |   | X |   | 01/27/99 |         |
| INTERSTATE JOHNSON LANE INC                                  | DE            |             |   |   | X |   | X |   |   |   | 01/26/99 |         |
| KIMCO REALTY CORP  | MD            | X           |   |   | X |   | X |   |   |   | 01/29/99 |         |
| KROLL O GARA CO  | OH            |             |   |   | X |   |   |   |   |   | 01/21/99 |         |
| LBP INC  | DE            |             |   |   |   |   | X |   |   |   | 12/28/98 | AMEND   |
| LEHMAN HOME EQUITY LAON TRUST 1997-1                         | DE            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| LEHMAN HOME EQUITY LOAN TRUST 1997-2                         | DE            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| LITTLE SWITZERLAND INC/DE                                    | DE            |             |   |   | X |   | X |   |   |   | 01/26/99 |         |
| LOGANS ROADHOUSE INC   | TN            |             |   |   | X |   | X |   |   |   | 01/29/99 |         |
| LONG ISLAND FINANCIAL CORP                                   | DE            |             |   |   | X |   | X |   |   |   | 01/28/98 |         |
| LOUISIANA CASINO CRUISES INC                                 | LO            |             |   |   | X |   | X |   |   |   | 01/29/99 |         |
| LUMEN TECHNOLOGIES INC                                       | DE            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| MACNEAL SCHWENDLER CORP                                      | CA            |             |   |   |   |   |   | X |   |   | 01/29/99 |         |
| MAF BANCORP INC  | DE            |             |   |   | X |   | X |   |   |   | 01/27/99 |         |
| MARK SOLUTIONS INC   | DE            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| MARKEL CORP  | VA            | X           |   |   |   |   | X |   |   |   | 01/15/99 |         |
| MASON DIXON BANCSHARES INC/MD                                | MD            | X           |   |   |   |   |   |   |   |   | 01/29/99 |         |
| MEDIRISK INC   | DE            |             |   |   |   |   | X |   |   |   | 03/31/98 | AMEND   |
| MEDIRISK INC   | DE            |             |   |   |   |   | X |   |   |   | 05/28/98 | AMEND   |
| MEDIRISK INC   | DE            |             |   |   |   |   | X |   |   |   | 06/28/98 | AMEND   |
| MELLON BANK CORP   | PA            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| MELLON RESIDENTIAL FUNDING CORP MOR T PAS THR CER SER 1998-1 | DE            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| METAL MANAGEMENT INC   | DE            |             |   |   | X |   | X |   |   |   | 01/25/99 |         |
| ML MEDIA PARTNERS LP   | NY            | X           |   |   |   |   | X |   |   |   | 01/29/99 |         |
| MOHAWK INDUSTRIES INC  | DE            | X           |   |   |   |   | X |   |   |   | 01/29/99 |         |
| NAI TECHNOLOGIES INC   | NY            |             |   |   | X |   |   |   |   |   | 01/25/99 |         |
| NCR CORP   | MD            |             |   |   | X |   |   |   |   |   | 01/20/99 |         |
| NORTHEAST UTILITIES SYSTEM                                   | MA            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| NORWEST ASSET SECURITIES CORP                                | DE            |             |   |   | X |   | X |   |   |   | 01/29/99 |         |
| OFFICELAND INC   |               | X           |   |   |   |   | X |   |   |   | 11/13/98 | AMEND   |
| OXFORD HEALTH PLANS INC                                      | DE            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| PACIFICAMERICA HOME EQ LN AB NOTES SERIES 1998-2F CLASS A-F  |               | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| PARTNERS FIRST CREDIT CARD MASTER TRUST                      | DE            |             |   |   | X |   | X |   |   |   | 01/16/99 |         |
| PAYLESS SHOESOURCE INC /DE/                                  | DE            |             |   |   | X |   | X |   |   |   | 01/29/99 |         |
| PEEKSKILL FINANCIAL CORP                                     | DE            |             |   |   | X |   |   |   |   |   | 01/29/99 |         |
| PHYSICIANS RESOURCE GROUP INC                                | DE            |             |   |   | X |   | X |   |   |   | 01/28/99 |         |
| POMEROY COMPUTER RESOURCES INC                               | DE            |             |   |   | X |   | X |   |   |   | 12/09/98 |         |
| POTOMAC ELECTRIC POWER CO                                    | VA            |             |   |   |   |   | X |   |   |   | 01/29/99 |         |
| PRI AUTOMATION INC   | MA            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| PROVIDENT BANK HOME EQ LN TR 1998-3 HELAB CERTS SER 1998-3   |               | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| PROVIDENT BANK HOME EQUITY LOAN TRUST 1997 2                 | OH            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| PROVIDENT BANK HOME EQUITY LOAN TRUST 1997-4                 | OH            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| PROVIDENT FINANCIAL GROUP INC                                | OH            |             |   |   | X |   |   |   |   |   | 01/28/99 |         |
| RENT A CENTER INC  | DE            |             |   |   | X |   |   |   |   |   | 12/31/98 |         |
| RESIDENTIAL ASSET FUNDING CORP                               | NC            |             |   |   | X |   | X |   |   |   | 01/19/99 |         |
| SALOMON BROS MORT SEC VII INC AST B K CERT SERIES 1998-OPT1  | DE            | X           |   |   |   |   |   |   |   |   | 12/28/98 |         |
| SALOMON BROS MORT SEC VII INC MORT PA THR CERT SER 1998-WPC1 | DE            | X           |   |   |   |   |   |   |   |   | 12/24/98 |         |
| SANTA FE ENERGY RESOURCES INC                                | DE            |             |   |   | X |   | X |   |   |   | 01/27/99 |         |
| SCIENCE DYNAMICS CORP  | DE            | X           |   |   |   |   |   |   |   |   | 01/13/99 |         |



| NAME OF ISSUER   | STATE | 8K ITEM NO. |   |   |   |   |   |   |   |   | DATE | COMMENT  |  |
|--|-------|-------------|---|---|---|---|---|---|---|---|------|----------|--|
|  | CODE  | 1           | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |      |          |  |
| SPURLOCK INDUSTRIES INC  | VA    |             |   |   |   | X | X |   |   |   |      | 01/27/99 |  |
| STATEWIDE FINANCIAL CORP                                       | NJ    |             |   |   |   | X |   |   |   |   |      | 12/31/99 |  |
| STEWART ENTERPRISES INC  | LA    |             |   | X |   |   |   |   |   |   |      | 01/28/99 |  |
| STRUCTURED ASSET SECURITIES CORP                               | DE    |             |   |   |   | X | X |   |   |   |      | 12/31/98 |  |
| STYLEX HOMES INC   | NY    |             | X |   |   |   |   |   |   |   |      | 03/31/99 |  |
| SUITE101 COM INC   | DE    |             |   |   | X |   | X |   |   |   |      | 01/25/99 |  |
| SUMMIT LIFE CORP   | OK    |             |   |   |   | X | X |   |   |   |      | 01/28/99 |  |
| SYNCRONYS SOFTCORP   | NV    |             | X |   |   |   |   |   |   |   |      | 12/31/98 |  |
| TECHNITROL INC   | PA    |             |   |   |   |   |   |   | X |   |      | 01/27/99 |  |
| TFC ENTERPRISES INC  | DE    |             |   |   |   | X | X |   |   |   |      | 01/21/99 |  |
| TR FINANCIAL CORP  | DE    |             |   |   |   | X | X |   |   |   |      | 01/23/99 |  |
| TUMBLEWEED INC   | DE    |             |   |   |   | X |   |   |   |   |      | 01/11/99 |  |
| U S LIQUIDS INC  | DE    |             | X |   |   |   |   | X |   |   |      | 01/15/99 |  |
| UNITED PANAM MORTGAGE LOAN ASSET BA<br>CKED CERT SERIES 1997-1 | DE    |             | X |   |   |   |   |   |   |   |      | 12/28/98 |  |
| UNITIL CORP  | NH    |             |   |   |   | X | X |   |   |   |      | 01/15/99 |  |
| VALHI INC /DE/   | DE    |             |   |   |   | X | X |   |   |   |      | 01/29/98 |  |
| WCI STEEL INC  | OH    |             |   |   |   | X |   |   |   |   |      | 01/15/99 |  |
| WELLS FARGO & CO/MN  | DE    |             |   |   |   | X |   |   |   |   |      | 01/26/99 |  |
| WHIRLPOOL CORP /DE/  | DE    |             |   |   |   | X | X |   |   |   |      | 01/26/99 |  |
| WHITMAN CORP   | DE    |             |   |   |   | X | X | X |   |   |      | 01/25/99 |  |
| WILSHIRE FUNDING CORP MORT BACKED C<br>ERT SERIES 1997-1       | DE    |             | X |   |   |   |   |   |   |   |      | 12/28/98 |  |
| WILSHIRE FUNDING CORP MORT BACKED C<br>ERT SERIES 1998-2       | DE    |             | X |   |   |   |   |   |   |   |      | 12/28/98 |  |
| WNC HOUSING TAX CREDIT FUND VI LP S<br>ERIES 6                 | CA    |             | X |   |   |   |   |   |   |   |      | 01/14/99 |  |
| XEROX CORP   | NY    |             |   |   |   | X |   |   |   |   |      | 12/18/98 |  |
| XOMA LTD   | AZ    |             |   |   |   | X | X |   |   |   |      | 01/28/99 |  |