

# sec news digest

Issue 92-125

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June 29, 1992

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U.S. SECURITIES  
EXCHANGE COMMISSION

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## ADMINISTRATIVE PROCEEDINGS

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### ADMINISTRATIVE PROCEEDINGS AGAINST CAPITAL GENERAL CORPORATION, ET AL.

The Commission today announced the institution of an administrative proceeding on June 22 pursuant to Section 8A(a) of the Securities Act of 1933 and Sections 12(j) and 21C(a) of the Securities Exchange Act of 1934 (Exchange Act). The proceedings will determine whether an order should be entered requiring David R. Yeaman and Capital General Corporation (Capital General) to cease and desist from committing or causing further violations of Sections 5(a) and (c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 13(g) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5, 12b-20, and 13d-1(c) thereunder. The proceedings will also determine whether an order should be entered requiring Krista Castleton to cease and desist from committing or causing further violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. Further, the proceedings will determine whether, pursuant to Section 12(j) of the Exchange Act, the registration of the common stock of 25 issuers that were incorporated by Capital General that have filed registration statements with the Commission pursuant to Section 12(g) of the Exchange Act should be revoked. (Rel. 34-30845)

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## INVESTMENT COMPANY ACT RELEASES

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### AEGON USA MANAGED PORTFOLIOS, INC., ET AL.

An order has been issued on an application filed by AEGON USA Managed Portfolios, Inc. et al. under Section 17(b) of the Investment Company Act granting an exemption from Section 17(a) of the Act and under Section 17(d) of the Act and Rule 17d-1 thereunder. The order permits each portfolio of the IDEX II Series Fund to acquire substantially all of the assets of corresponding portfolios of AEGON USA Managed Portfolios, Inc. in exchange for shares of the applicable portfolio of the IDEX II Series Fund. (Rel. IC-18809 - June 25)

### PALM SERIES TRUST

A notice has been issued giving interested persons until July 20, 1992 to request a hearing on an application filed by Palm Series Trust for an order under Section 8(f) of the Investment Company Act declaring that applicant has ceased to be an investment company. (Rel. IC-18810 - June 25)

HARTFORD LIFE & ACCIDENT INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Hartford Life & Accident Insurance Company, Hartford Life & Accident Insurance Company/Putnam Capital Manager Separate Account One (Separate Account) and Hartford Equities Sales Company, Inc. from Sections 26(a)(2)(C) and 27(c)(2) of the Act. The exemptions apply to the extent necessary to permit the deduction of a mortality and expense risk charge from the assets of the Separate Account. (Rel. IC-18811 - June 25)

HARTFORD LIFE & ACCIDENT INSURANCE COMPANY, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Hartford Life & Accident Insurance Company, Hartford Life & Accident Insurance Company/Separate Account One (Account) and Hartford Equity Sales Company, Inc. from the provisions of Sections 26(a)(2)(C) and 27(c)(2) of the Act. The exemptions apply to the extent necessary to permit the deduction of mortality and expense risk charges from the assets of the Account under certain variable annuity contracts. (Rel. IC-18812 - June 25)

AETNA SERIES FUND, INC., ET AL.

A notice has been issued giving interested persons until July 20, 1992 to request a hearing on an application filed by Aetna Series Fund, Inc., et al. for an order under Section 6(c) of the Investment Company Act exempting applicants from the provisions of Section 12(d)(3) of the Act and Rule 12d3-1 thereunder. The exemptions would apply to the extent necessary to permit them to invest in equity or convertible debt securities issued by foreign issuers that derived more than 15% of their gross revenues from their activities as a broker, dealer, underwriter or investment adviser, provided such investments meet the conditions described in proposed amended Rule 12d3-1. (Rel. IC-18813; International Series Rel. 403 - June 25)

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**HOLDING COMPANY ACT RELEASES**

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LOUISIANA POWER & LIGHT COMPANY

A supplemental order has been issued authorizing Louisiana Power & Light Company, a public-utility subsidiary company of Entergy Corporation, a registered holding company, to acquire the utility assets of the electric system of the City of Monroe, Louisiana. (Rel. 35-25561)

GEORGIA POWER COMPANY

An order has been issued authorizing Georgia Power Company to create a program to provide a source of financing for residential, commercial and industrial consumers' acquisition and installation of weatherization products. (Rel. 35-25562)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### WITHDRAWALS GRANTED

An order has been issued granting the application of Graham-Field Health Products, Inc. to withdraw from listing and registration its Common Stock, \$.025 Par Value, on the American Stock Exchange. (Rel. 34-30860)

An order has been issued granting the application of Caretenders Health Corp. to withdraw from listing and registration its Common Stock, \$.02 Par Value, on the Boston Stock Exchange. (Rel. 34-30861)

An order has been issued granting the application of International Testing Systems, Inc. to withdraw from listing and registration its Common Stock, \$0.01 Par Value, on the Pacific Stock Exchange. (Rel. 34-30862)

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## SELF-REGULATORY ORGANIZATIONS

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### PROPOSED RULE CHANGE

The Municipal Securities Rulemaking Board filed a proposed rule change (SR-MSRB-92-4) for immediate effectiveness, pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, to amend Form G-36 (OS) and Form G-36 (ARD). The proposed rule change revises form G-36 (OS) to collect certain additional information necessary for accurate invoicing of underwriting assessments. Other technical changes also were made to Form G-36 (OS) as well as technical changes to Form G-36 (ARD). The Board requests that the Commission delay the implementation of the proposed rule change until July 1, 1992, the date that the recent amendments to MSRB rule A-13, on underwriting assessments, will become effective. Publication of the Release in the Federal Register is expected during the week of June 29. (34-30845)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-3 PRAXAIR INC, 39 OLD RIDGEBURY RD, DANBURY, CT 06817 (203) 794-2000 - 6,000,000 (\$90,000,000) COMMON STOCK. (FILE 33-48480 - JUN. 16) (BR. 2)

S-18 ANOVA CORPORATION /MN/, 18579 CENTRAL AVENUE NE, CEDAR, MN 55011 (612) 434-4116 - 222,272 (\$1,222,496) COMMON STOCK. UNDERWRITER: FIRST NEW ENGLAND SECURITIES CORP. (FILE 33-48517-C - JUN. 09) (BR. 14 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-18 RECLAIM INC /FL/, 8001 N DALE MABRY HWY STE 601-A, TAMPA, FL 33614 (813) 935-8533 - 1,000,000 (\$5,000,000) COMMON STOCK. UNDERWRITER: GREENWAY CAPITAL CORP. (FILE 33-48600-A - JUN. 15) (BR. 9 - NEW ISSUE)
- S-6 DEFINED ASSET FUNDS MUN INVT TR FD MULTISTATE SERIES 9, NEW YORK, NY 10005 - INDEFINITE SHARES. (FILE 33-48652 - JUN. 19) (NEW ISSUE)
- S-8 RENTRAK CORP, 7227 N E 55TH AVE, PORTLAND, OR 97218 (503) 284-7581 - 200,000 (\$1,025,000) COMMON STOCK. (FILE 33-48657 - JUN. 22) (BR. 12)
- S-1 XEROGRAPHIC LASER IMAGES CORP /DE/, 800 W CUMMINGS PARK STE 6650, WOBURN, MA 01801 (617) 932-9199 - 891,250 (\$5,793,125) COMMON STOCK. 891,250 (\$6,238,750) COMMON STOCK. 77,500 (\$5) WARRANTS, OPTIONS OR RIGHTS. 77,500 (\$604,500) COMMON STOCK. 77,500 (\$542,500) COMMON STOCK. UNDERWRITER: THOMAS JAMES ASSOCIATES INC. (FILE 33-48684 - JUN. 18) (BR. 9 - NEW ISSUE)
- S-1 ACORDIA INC /DE/, 120 WEST MARKET ST, INDIANAPOLIS, IN 46204 (317) 263-4495 - 3,200,000 (\$67,200,000) COMMON STOCK. 2,320,000 (\$48,720,000) COMMON STOCK. UNDERWRITER: BROWN ALEX & SONS INC, FIRST BOSTON CORP, MERRILL LYNCH & CO, RAFFENSPERGER HUGHES & CO INC. (FILE 33-48691 - JUN. 19) (BR. 10 - NEW ISSUE)
- S-3 WETTERAU PROPERTIES INC, 8920 PERSHALL RD, HAZELWOOD, MO 63042 (314) 595-4614 - 100,000 (\$1,750,000) COMMON STOCK. (FILE 33-48703 - JUN. 19) (BR. 6)
- S-8 CYTRX CORP, 150 TECHNOLOGY PKWY, TECHNOLOGY PARK/ATLANTA, NORCROSS, GA 30092 (404) 368-9500 - 100,000 (\$512,500) COMMON STOCK. (FILE 33-48706 - JUN. 19) (BR. 4)
- S-8 OWENS CORNING FIBERGLAS CORP, FIBERGLASS TOWER, TOLEDO, OH 43659 (419) 248-8000 - 4,000,000 (\$124,750,000) COMMON STOCK. (FILE 33-48707 - JUN. 19) (BR. 9)
- S-8 CALIFORNIA BANCSHARES INC, 2320 BLANDING AVE, ALAMEDA, CA 94501 (510) 814-3400 - 1,182,870 (\$13,750,864) COMMON STOCK. (FILE 33-48708 - JUN. 19) (BR. 1)
- S-4 WORTH CORP, 1731 TECHNOLOGY DR STE 690, SAN JOSE, CA 95110 (408) 436-7467 - 1,448,480 (\$1,448,480) COMMON STOCK. (FILE 33-48725 - JUN. 19) (BR. 6)
- S-1 CARBIDE GRAPHITE GROUP INC /DE/, ONE GATEWAY CENTER 19TH FL, PITTSBURGH, PA 15222 (412) 562-3700 - 200,000 (\$2,400,000) COMMON STOCK. 3,480,000 (\$41,760,000) COMMON STOCK. UNDERWRITER: BEAR STEARNS & CO INC, LAZARD FRERES & CO. (FILE 33-48727 - JUN. 19) (BR. 8)
- S-1 D & K WHOLESALE DRUG INC/DE/, 8000 MARYLAND AVE STE 1190, ST LOUIS, MO 63105 (313) 727-3485 - 150,000 (\$1,200,000) COMMON STOCK. 1,172,500 (\$9,380,000) COMMON STOCK. UNDERWRITER: PAULI & CO INC. (FILE 33-48730 - JUN. 19) (BR. 4 - NEW ISSUE)
- S-1 RCG INTERNATIONAL INC/DE/, 111 WEST 40TH ST, NEW YORK, NY 10018 (212) 642-6000 - 3,875,000 (\$46,500,000) COMMON STOCK. 1,415,000 (\$16,980,000) COMMON STOCK. UNDERWRITER: PAINWEBBER INC, RAYMOND JAMES & ASSOCIATES INC. (FILE 33-48731 - JUN. 19) (BR. 6 - NEW ISSUE)
- S-1 TRICORD SYSTEMS INC /DE/, 3750 ANNAPOLIS LANE, PLYMOUTH, MN 55447 (612) 557-9005 - 500,000 (\$4,500,000) COMMON STOCK. 3,525,000 (\$31,725,000) COMMON STOCK. UNDERWRITER: ROBERTSON STEPHENS & CO, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-48733 - JUN. 19) (BR. 10 - NEW ISSUE)
- S-11 RYLAND MULTIFAMILY SECURITIES CORPORATION ONE, 7202 GLEN FOREST DR STE 202, RICHMOND, VA 23226 (804) 288-2291 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-48734 - JUN. 19) (BR. 5 - NEW ISSUE)

## REGISTRATIONS CONTINUED

- S-1 SWING N SLIDE CORP /DE/, 1212 BARBERRY DRIVE, JANESVILLE, WI 53545 (608) 755-4777 - 600,000 (\$8,400,000) COMMON STOCK. 3,080,000 (\$43,120,000) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO, SALOMON BROTHERS INC. (FILE 33-48735 - JUN. 19) (BR. 12 - NEW ISSUE)
- S-1 HCC INSURANCE HOLDINGS INC/DE/, 13403 NORTHWEST FREEWAY, HOUSTON, TX 77040 (713) 690-7300 - 55,000 (\$770,000) COMMON STOCK. 1,445,750 (\$20,240,500) COMMON STOCK. UNDERWRITER: BLAIR WILLIAM & CO. (FILE 33-48737 - JUN. 19) (BR. 9 - NEW ISSUE)
- S-3 ALBERTO CULVER CO, 2525 ARMITAGE AVE, MELROSE PARK, IL 60160 (708) 450-3000 - 212,500 (\$4,409,375) COMMON STOCK. (FILE 33-48739 - JUN. 19) (BR. 9)
- S-3 FORD HOLDINGS INC, AMERICAN ROAD, DEARBORN, MI 48121 (313) 322-3000 - 375 (\$37,500,000) PREFERRED STOCK. (FILE 33-48743 - JUN. 19) (BR. 12)
- S-1 F & M DISTRIBUTORS INC, 25800 SHERWOOD AVE, WARREN, MI 48091 (313) 396-4200 - 1,100,000 (\$19,800,000) COMMON STOCK. 2,925,000 (\$52,650,000) COMMON STOCK. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-48744 - JUN. 19) (BR. 4 - NEW ISSUE)
- S-1 RES CARE INC /KY/, 1300 EMBASSY SQUARE, LOUISVILLE, KY 40299 (502) 491-3464 - 1,610,000 (\$16,905,000) COMMON STOCK. UNDERWRITER: BRADFORD J C & CO, HILLIARD JJB LYONS WL INC. (FILE 33-48749 - JUN. 19) (BR. 5 - NEW ISSUE)
- S-8 NORTH AMERICAN VACCINE INC, 10900 HAMON ST, MONTREAL QUEBEC CANADA H3M 3A2, A8 20705 (514) 956-5855 - 350,000 (\$3,762,500) FOREIGN COMMON STOCK. (FILE 33-48752 - JUN. 19) (BR. 4)
- S-8 NORTH AMERICAN VACCINE INC, 10900 HAMON ST, MONTREAL QUEBEC CANADA H3M 3A2, A8 20705 (514) 956-5855 - 1,650,000 (\$17,737,500) FOREIGN COMMON STOCK. (FILE 33-48753 - JUN. 19) (BR. 4)
- S-1 PACO PHARMACEUTICAL SERVICES INC, 1200 PACO WAY, LAKEWOOD, NJ 08701 (201) 367-9000 - 4,025,000 (\$48,300,000) COMMON STOCK. UNDERWRITER: PAINWEBBER INC, SHEARSON LEHMAN BROTHERS INC, WERTHEIM SCHRODER & CO INC. (FILE 33-48754 - JUN. 19) (BR. 6)
- S-1 HILLHAVEN CORP, 1148 BROADWAY PLZ, TACOMA, WA 98402 (206) 572-4901 - 74,750,000 (\$74,750,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER: DAIN BOSWORTH INC, DONALDSON LUFKIN & JENRETTE SECURITIES C, MERRILL LYNCH & CO. (FILE 33-48755 - JUN. 19) (BR. 6)
- S-2 PENNSYLVANIA GAS & WATER CO, 39 PUBLIC SQ, WILKES BARRE CTR, WILKES-BARRE, PA 18711 (717) 829-8843 - 1,000,000 (\$25,000,000) PREFERRED STOCK. (FILE 33-48757 - JUN. 22) (BR. 7)

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## ACQUISITION OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
JMB INCOME PPTYS LTD IV LIQUIDITY FUNDS ET AL	LTD PRTN INT 13D	5/31/92	2 11.3	46621398 12.0	UPDATE
LDB CORP BRINKMAN LLOYD DONALD	COM PAR \$5 13D	6/19/92	1,181 64.0	50182320 63.6	UPDATE
LIVE ENTERTAINMENT INC CANAL+ S A ET AL	COM 13D	6/12/92	6,141 50.8	53803210 52.9	UPDATE
MANAGEMENT TECHNOLOGIES INC MANAGEMENT TECHS STOCK TR	COM 13D	6/10/92	1,126 10.9	56170410 0.0	NEW
METRO BANCSHARES INC HEROLD DAVID G ET AL	COM 13D	5/22/92	139 6.2	59199510 5.1	UPDATE
MINVEN GOLD CORP VENTURESTRIDENT II	COM 13D	6/10/92	12,321 33.1	60499410 0.0	NEW
NICOLET INSTR CORP THERMO ELECTRON	COM 13D	6/19/92	659 8.3	65406110 8.0	UPDATE
NONA MORELLIS II INC A Z EQUIPMENT CO INC	COM 13D	5/13/92	308 8.2	65536820 0.0	NEW
NYCOM INFORMATION SVCS INC FRIEDLI INVESTMENT SVCS LTD	COM NEW 13D	3/27/92	2,400 11.6	67066120 0.0	NEW
PACER TECHNOLOGY GASKIN E REED	COM 13D	5/ 8/92	690 6.3	69390510 7.5	UPDATE
PARK OHIO INDS INC OHIO OSBORNE RICHARD M	COM 13D	6/18/92	243 4.3	70067710 5.0	UPDATE
PIONEER FED BANCORP INC CLARK HENRY BENJAMIN JR	COM 13D	6/12/92	252 9.8	72367010 8.6	UPDATE
QUANTUM CORP FIDELITY INTL LTD	COM 13D	6/ 9/92	5,108 12.2	74790610 13.7	UPDATE
REVCO D S INC NEW ZELL/CHILMARK FUND LP	COM 13D	6/18/92	6,808 19.5	76133910 18.4	UPDATE
REXON INC HAMBRECHT & QUIST GROUP	COM 13D	1/ 1/92	1,878 21.2	76190110 31.8	UPDATE
STERLING BANCORP NEWMAN LAWRENCE	COM 13D	6/11/92	706 11.2	85915810 0.0	NEW

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
TESCORP INC KRASOVEC FRANK P	COM 13D	6/12/92	507 7.1	88158410 0.0	NEW
Theratech Inc STATE OF WISCONSIN INVEST BD	COM 13D	6/ 1/92	550 6.4	88338310 5.8	UPDATE
TRIMBLE NAV LTD STATE OF WISCONSIN INVEST BD	COM 13D	6/ 3/92	1,103 6.7	89623910 5.4	UPDATE
VALLEY SYS INC ROLLINS INVESTMENT FD ET AL	COM 13D	12/16/91	358 10.9	92013510 20.8	RVISION
WESTERN GAS RES INC BROWN BROS HARRIMAN & CO	COM 13D	6/10/92	2,390 9.4	95825910 8.2	UPDATE
ACQUA GROUP INC ARCHER VIRGIL LEE	COM 13D	6/15/92	880 18.8	00492610 12.8	UPDATE
ARCTIC ALASKA FISHERIES CORP MILLER FRANCIS L ET AL	COM 13D	6/15/92	3,388 20.4	03966810 44.6	UPDATE
ARIMATHAEA RES INC MCALPINE CHARLES LOCKHART	COM 13D	4/ 8/92	596 13.0	04099410 12.2	UPDATE
BIO REFERENCE LABS INC MENCZ ANDRE	COM 13D	6/19/92	350 5.8	09199250 0.0	NEW
CAMBREX CORP SOCHET IRA	COM 13D	6/16/92	363 7.7	13201110 6.1	UPDATE
DANIELSON HLDG CORP JUNKYARD PARTNERS LP ET AL	COM 13D	6/18/92	1,736 11.5	23627410 12.0	UPDATE
HEALTHCARE INTL INC GREENERY REHAB GRP ET AL	CL A 13D	6/ 9/92	1,000 15.3	42192010 15.3	UPDATE
INSIGNIA FINANCIAL GROUP FARKAS ANDREW L	COM CL A 13D	6/ 2/92	16,081 92.4	45795610 0.0	RVISION
INSIGNIA FINANCIAL GROUP METROPOLITAN ACQU PRT IV ET AL	COM CL A 13D	6/ 2/92	7,500 43.1	45795610 43.1	UPDATE
INSIGNIA FINANCIAL GROUP METROPOLITAN ACQU PRT V ET AL	COM CL A 13D	6/ 2/92	3,598 20.7	45795610 54.7	UPDATE
INTERNEURON PHARMACEUTICALS DAVIS J MORTON	COM 13D	5/21/92	9,523 63.7	46057310 67.6	UPDATE
NDE ENVIRONMENTAL CORP GRUBER JON D ET AL	COM 13D	3/ 6/92	2,248 32.6	62888210 0.0	NEW
NEOGEN CORP HERBERT JAMES L	COM 13D	6/15/92	211 5.3	64049110 0.0	NEW

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS
PINELANDS INC	COM		2,514	72308910	
GAMCO INVESTORS INC ET AL	13D	6/19/92	14.6	13.6	UPDATE
TEREX CORP NEW	COM		593	88077910	
FIDELITY INTL LTD	13D	6/21/92	6.0	10.2	UPDATE
TOSCO CORP	COM NEW		6,547	89149030	
ARGUS ENERGY ET AL	13D	6/22/92	21.9	23.3	UPDATE
VAN DORN CO	COM		353	92103310	
SPEAR LEEDS & KELLOGG ET AL	13D	6/25/92	4.2	0.0	NEW
WEDGSTONE FINCL	SH BEN INT		1,614	94890010	
PINTO JAMES J ET AL	13D	6/15/92	23.8	0.0	NEW
WORLD CORP INC	COM		2,036	98190410	
ANDREWS T COLEMAN III	13D	5/26/92	14.4	14.9	UPDATE
ZENITH LABS INC	COM NEW		512	98936520	
FIDELITY INTL LTD	13D	6/17/92	9.1	7.9	UPDATE

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AAON INC	NV								X	04/22/92	
ADM TRONICS UNLIMITED INC/DE	DE	X			X		X			06/09/92	
ALTA ENERGY CORP	TX	X							X	06/11/92	
ASPEN EXPLORATION CORP	DE							X	X	06/17/92	