

website at <http://www.sec.gov/about/offices/ofm.htm>, or

- (3) paying by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Charles E. Grob, Jr. as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment; that Charles E. Grob, Jr. shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action, at which time the Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury; and that Charles E. Grob, Jr. shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

II.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that for three years from the date of entry of this Judgment, Charles E. Grob, Jr. is restrained and enjoined from:

- (1) violating Section 17(a) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. § 77q(a)] and Section 10(b) of the Securities Exchange Act of 1934 and ("Exchange Act") [15 U.S.C. § 78j(b)] and Rules 10b-5(a) and (c) thereunder [17 C.F.R. § 240.10b-5-5(a) and (c)];
- (2) directly or indirectly, participating in the offer, issuance, purchase or sale of any penny stock. A penny stock is any equity security that has a price of less than five dollars, except as provided in Rule 3a51-1 under the Exchange Act [17

C.F.R. § 240.3a51-1]

- (3) acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 781] or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].


III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this and any related matter as it pertains to Charles E. Grob, Jr., including but not limited to any enforcement of the terms of this Final Judgment.

IV.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

SIGNED at Houston, Texas on this 25th day of August, 2016.



HON. KEITH P. ELLISON
UNITED STATES DISTRICT JUDGE

Agreed as to form:

s/ Matthew J. Gulde

Matthew J. Gulde

U.S. Securities and Exchange Commission

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