Madison, Wilton

From:

Eaglesham, Jean < jean.eaglesham@wsj.com>

Sent:

Tuesday, August 08, 2017 6:00 PM

To:

foiapa

Subject:

Wall Street Journal FOIA request-

RECEIVED

AUG 0 8 2017

Office of FOIA Services

Pursuant to the federal Freedom of Information Act, I request that a copy of the following documents be provided to me:

All communications between individuals with an email address containing @palantir.com and any SEC officials

Memoranda of any meetings, including the dates of meetings and all attendees, between SEC officials and Alexander C. Karp and/or Peter Andreas Thiel and/or Joe Lonsdale and/or Stephen Cohen

For the following date range:

December 1 2016 through August 8 2017

RECEIVED

Office of EOIA Services

The Wall Street Journal is a news organization and the information requested will not be used for commercial purposes. If there is a cost associated with this request, please contact me with an estimate. I can be reached at jean.eaglesham@wsj.com.

I look forward to hearing from you

Jean Eaglesham

SENIOR REPORTER
THE WALL STREET JOURNAL

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A: Wall Street Journal, Fifth Floor, 1211 Avenue of the Americas, New York, NY 10036



UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

September 28, 2017

Ms. Jean Eaglesham
The Wall Street Journal
1211 Avenue of the Americas
Fifth Floor
New York, NY 10036

Re: Freedom of Information Act (FOIA), 5 U.S.C. \S 552

Request No. 17-03537-FOIA thru 17-03539-FOIA

Dear Ms. Eaglesham:

This letter is in response to your request, dated and received in this office on August 8, 2017, for a variety of records maintained by the SEC during the period from December 1, 2016 through August 8, 2017. Because your request included multiple subjects, a separate FOIA control number was assigned to each. This is in response to your request for records of any meetings, including the dates of meetings and all attendees between SEC officials and the below individuals:

17-03537-FOIA: Alexander C. Karp 17-03538-FOIA: Peter Andreas Thiel

17-03539-FOIA: Joe Lonsdale

By letter dated August 10, 2017, we requested clarification as to which SEC staff members or offices you would like us to search. By email dated August 29, 2017, you asked that we search each SEC Commissioner (including the Chairman), the Director and/or Acting Director of the Division of Enforcement and the Director and/or Acting Director of the Office of Compliance Inspections and Examinations.

Based on the information you provided in your letter, we conducted a thorough search of the SEC's various systems of records and consulted with various staff and program offices, but did not locate or identify any information responsive to the above three FOIA requests.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

Ms. Jean Eaglesham September 28, 2017 Page Two 17-03537-FOIA 17-03538-FOIA 17-03539-FOIA

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

We are still processing the remaining portion of your request concerning communications between SEC staff and Stephen Cohen (17-03540-FOIA). We will provide you with a final response as soon as it is completed.

If you have any questions, please contact me at Gbenoua@sec.gov or (202) 551-5327. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via email at ogis@nara.gov.

Sincerely,

Amy Gbenou

Amy Gbenou FOIA Research Specialist