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16 **UNITED STATES DISTRICT COURT**
17 **CENTRAL DISTRICT OF CALIFORNIA**

18 **SECURITIES AND EXCHANGE**
19 **COMMISSION,**

20 **Plaintiff,**

21 **vs.**

22 **JACK D. MASSIMINO and ROBERT C.**
23 **OWEN,**

24 **Defendants.**

Case No. CV 19-1374 JFW (FFMx)

FINAL JUDGMENT AS TO
DEFENDANT
ROBERT C. OWEN

25 The Securities and Exchange Commission having filed a Complaint and
26 Defendant Robert C. Owen having entered a general appearance; consented to the
27 Court’s jurisdiction over Defendant and the subject matter of this action; consented to
28 entry of this Final Judgment without admitting or denying the allegations of the
Complaint (except as to jurisdiction and except as otherwise provided herein in

1 paragraph IV); waived findings of fact and conclusions of law; and waived any right
2 to appeal from this Final Judgment:

3 **I.**

4 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
5 Defendant is permanently restrained and enjoined from aiding and abetting any
6 violation of Section 13(a) of the Exchange Act [15 U.S.C. § 78m(a)] and Rules 12b-
7 20, 13a-1, and 13a-11 [17 C.F.R. §§ 240.12b-20, 240.13a-1, 240.13a-11] by
8 providing substantial assistance to an issuer that fails to timely file with the
9 Commission all accurate and complete information, documents, and reports required
10 by the rules and regulations prescribed by the Commission, or by filing forms with
11 the Commission containing false statements of material fact or failing to include such
12 further material information to make the required statements, in light of the
13 circumstances under which they were made, not misleading.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
15 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
16 binds the following who receive actual notice of this Final Judgment by personal
17 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
18 attorneys; and (b) other persons in active concert or participation with Defendant or
19 with anyone described in (a).

20 **II.**

21 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant
22 shall pay a civil penalty in the amount of \$20,000 to the Securities and Exchange
23 Commission pursuant to Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)].
24 Defendant shall satisfy this obligation by paying \$20,000 to the Securities and
25 Exchange Commission within 360 days after entry of this Final Judgment.

26 Defendant may transmit payment electronically to the Commission, which will
27 provide detailed ACH transfer/Fedwire instructions upon request. Payment may also
28 be made directly from a bank account via Pay.gov through the SEC website at

1 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified
2 check, bank cashier's check, or United States postal money order payable to the
3 Securities and Exchange Commission, which shall be delivered or mailed to

4 Enterprise Services Center
5 Accounts Receivable Branch
6 6500 South MacArthur Boulevard
7 Oklahoma City, OK 73169

8 and shall be accompanied by a letter identifying the case title, civil action number,
9 and name of this Court; Robert C. Owen as a defendant in this action; and specifying
10 that payment is made pursuant to this Final Judgment.

11 Defendant shall simultaneously transmit photocopies of evidence of payment
12 and case identifying information to the Commission's counsel in this action. By
13 making this payment, Defendant relinquishes all legal and equitable right, title, and
14 interest in such funds and no part of the funds shall be returned to Defendant. The
15 Commission shall send the funds paid pursuant to this Final Judgment to the United
16 States Treasury. Defendant shall pay post-judgment interest on any delinquent
17 amounts pursuant to 28 USC § 1961.

18 **III.**

19 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the
20 Consent is incorporated herein with the same force and effect as if fully set forth
21 herein, and that Defendant shall comply with all of the undertakings and agreements
22 set forth therein.

23 **IV.**

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for
25 purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code,
26 11 U.S.C. §523, the allegations in the complaint are true and admitted by Defendant,
27 and further, any debt for disgorgement, prejudgment interest, civil penalty or other
28 amounts due by Defendant under this Final Judgment or any other judgment, order,

1 consent order, decree or settlement agreement entered in connection with this
2 proceeding, is a debt for the violation by Defendant of the federal securities laws or
3 any regulation or order issued under such laws, as set forth in Section 523(a)(19) of
4 the Bankruptcy Code, 11 U.S.C. §523(a)(19).

5 **V.**

6 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
7 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this
8 Final Judgment.

9 **VI.**

10 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
11 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith
12 and without further notice.

13 Dated: March 1, 2019

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15 _____
16 UNITED STATES DISTRICT JUDGE