

## **Addendum B:**

### **List of “Related Actions” as Defined in the Final Judgment**

1. *SEC v. Bear, Stearns & Co. Inc., 03 CV 2937 (WHP) (S.D.N.Y.)*
2. *SEC v. Credit Suisse First Boston LLC, f/k/a Credit Suisse First Boston Corporation, 03 CV 2946 (WHP) (S.D.N.Y.)*
3. *SEC v. Citigroup Global Markets Inc., f/k/a Salomon Smith Barney Inc., 03 CV 2945 (WHP) (S.D.N.Y.)*
4. *SEC v. Jack Benjamin Grubman, 03 CV 2938 (WHP) (S.D.N.Y.)*
5. *SEC v. UBS Warburg LLC, 03 CV 2943 (WHP) (S.D.N.Y.)*
6. *SEC v. Goldman, Sachs & Co., 03 CV 2944 (WHP) (S.D.N.Y.)*
7. *SEC v. J.P. Morgan Securities Inc., 03 CV 2939 (WHP) (S.D.N.Y.)*
8. *SEC v. Merrill Lynch, Pierce, Fenner & Smith Incorporated, 03 CV 2941 (WHP) (S.D.N.Y.)*
9. *SEC v. Henry M. Blodget, 03 CV 2947 (WHP) (S.D.N.Y.)*
10. *SEC v. Lehman Brothers Inc., 03 CV 2940 (WHP) (S.D.N.Y.)*
11. *SEC v. Morgan Stanley & Co. Incorporated, 03 CV 2948 (WHP) (S.D.N.Y.)*
12. *SEC v. U.S. Bancorp Piper Jaffray Inc., 03 CV 2942 (WHP) (S.D.N.Y.)*
13. *SEC v. Deutsche Bank Securities, Inc., 04 CV 06909 (WHP) (S.D.N.Y.)*
14. *SEC v. Thomas Weisel Partners, LLC, 04 CV 06910 (WHP) (S.D.N.Y.)*