

UNITED STATES OF AMERICA
 Before the
 SECURITIES AND EXCHANGE COMMISSION

RECEIVED
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 OFFICE OF THE SECRETARY

In the Matter of

THOMAS A. NEELY, JR.

 Respondent.

Admin. Pro. File No. 3-15945

**RESPONDENT THOMAS A. NEELY, JR. 'S
AMENDED WITNESS LIST AND SUPPLEMENTAL EXHIBIT LIST**

Respondent THOMAS A. NEELY, JR. hereby amends his previously-filed Witness

List and supplements his previously-filed Exhibit List as follows:

WITNESSES

Name	Address	Summary of expected testimony
1. Aderhold, Thomas Nelson	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
2. Baldwin, John	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed

		by the Securities and Exchange Commission and Mr. Neely's Answer to same.
3. Barrentine, Carey	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
4. Carrigan, James Patrick	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
5. Cash, Jeffrey L.	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
6. Corrigan, Scott	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
7. Couch, Brett D.	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP [REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-

	[REDACTED]	Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
8. Edmonds, David	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
9. Esteves, Irene	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
10. Ferino, Scarlett	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
11. Florio, Andrea	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
12. Fox, Roger	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

<p>13. Haines, Grant</p>	<p>c/o Stanley J. Parzen Mayer Brown [REDACTED] [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama; matters related to the memo documenting Ernst & Young's consideration of the Formal Legal Investigation by the Regulators concerning 2009 non-accrual regulator exam and related ethics complaints as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>14. Haley, John Patrick</p>	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED] [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>15. Hall, Grayson</p>	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED] [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>16. Jackson, Douglas</p>	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP [REDACTED] [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the</p>

	<p>[REDACTED]</p>	<p>facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
17. Jarema, Mark	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415</p> <p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
18. Johnson, Lynn	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415</p> <p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
19. Kimbrough, Hardy Bradford, Jr.	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415</p> <p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
20. Korte, Robert	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415</p> <p>[REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>

<p>21. Kuehr, Jeffrey C.</p>	<p>c/o William N. Clark Redden Mills Clark & Shaw, LLP [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>22. Lewis, David</p>	<p>c/o Richard J. Morvillo [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>23. Logsdon, Sharri</p>	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>
<p>24. Mayoros, Trudy</p>	<p>c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]</p>	<p>Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i>, Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.</p>

25. McCrite, Andrew	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
26. McCarthy, Timothy	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
27. Neely, Thomas A. Jr.	c/o White Arnold & Dowd P.C. [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
28. Papke, David K.	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
29. Ritter, Dowd	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and

		Exchange Commission and Mr. Neely's Answer to same.
30. Roberts, John	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
31. Rogers, Craig	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
32. Sheehy, Tracy	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
33. Smith, Michael	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
34. Teegarden, Willia	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
35. Turner, David	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP	Expected testimony from this witness includes matters detailed in this witness's investigative

	The Luckie Building Suite 415 [REDACTED] [REDACTED] [REDACTED]	testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
36. Wambles, Gwen	c/o BBVA Compass Bank [REDACTED] [REDACTED]	Expected testimony from this witness includes testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
37. Wells, William C., II	c/o Alfred F. "Buddy" Smith Bainbridge, Mims, Rogers & Smith LLP The Luckie Building Suite 415 [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
38. Willoughby, Michael J.	c/o Jackson R. Sharman, III Lightfoot, Franklin & White, LLC [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in this witness's investigative testimony and testimony given in <i>Local 703, I.B. of T. Grocery and Food Employees Welfare Fund, et al. v. Regions, et al.</i> , Civil Action No. 2:10-cv-02847-IPJ, pending in the United States District Court for the Northern District of Alabama, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.

39. Any expert designated by the Division	c/o M. Graham Loomis W. Shawn Murnahan Robert K. Gordon Attorneys for the Division of Enforcement Securities and Exchange Commission [REDACTED] [REDACTED]	Expected testimony from this witnesses(s) would include matters detailed in the witness's expert report, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
40. Trent R. Feldman	c/o White Arnold & Dowd P.C. [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in the witness's expert report, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
41. John C. Ham	c/o White Arnold & Dowd P.C. [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in the witness's expert report, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
42. Richard K. Yowell	c/o White Arnold & Dowd P.C. [REDACTED] [REDACTED]	Expected testimony from this witness includes matters detailed in the witness's expert report, as well as testimony related to the facts and circumstances alleged in the Order Instituting Cease-and-Desist Proceeding filed by the Securities and Exchange Commission and Mr. Neely's Answer to same.
43. Records Custodian, Regions Financial Corporation	c/o Maibeth J. Porter Maynard Cooper & Gale P.C. [REDACTED] [REDACTED] [REDACTED]	Authentification of Regions' business records on Neely's Exhibit List and/or any amendment or supplement thereto

Mr. Neely expressly reserves the right to further supplement and/or amend this Witness List, as well as the right to call such impeachment and/or rebuttal witnesses as may become necessary in response to any testimony or evidence presented by or on behalf

of the Division in this action. Mr. Neely further reserves the right to call any witness that is identified on the Division's witness list and/or any supplement or amendment thereto.

ADDITIONAL EXHIBITS

R. Exh. Exhibit No.	Brief Document Description	Bates Range
R. Exh. 229	Exhibit 1 to Report of Expert John C. Ham: March 10, 1999 Joint Interagency Letter to Financial Institutions	No Bates label
R. Exh. 230	Exhibit 2 to Report of Expert John C. Ham: July 12, 1999 Joint Interagency Letter to Financial Institutions	No Bates label
R. Exh. 231	Exhibit 3 to Report of Expert John C. Ham: Interagency Policy Statement on the Allowance for Loan and Lease Losses	No Bates label
R. Exh. 232	Exhibit 4 to Report of Expert John C. Ham: May 21, 1999 Memorandum to Domestic Banking Organizations Supervised by the Federal Reserve (with Exhibit DC-80A: Application of FASB Statements 5 and 114 to a Loan Portfolio)	No Bates label
R. Exh. 233	Exhibit 5 to Report of Expert John C. Ham: Regions Bank Loan Policy Section 500-8	Regions_041212SECSubpoena 000019-22
R. Exh. 234	Exhibit 6 to Report of Expert John C. Ham: Regions Bank 3/31/2009 Problem Loan Report (Designers Choice Cabinetry Inc.)	Regions_041212SECSubpoena_0002558 – 61
R. Exh. 235	Exhibit 7 to Report of Expert John C. Ham: Regions Bank Non-accrual on Problem Loans Policy	Regions_041212SECSubpoena_0002551 – 52
R. Exh. 236	Exhibit 8 to Report of Expert John C. Ham: Regions Bank 3/31/2009 Problem Loan Report (Eighteen Investments, Inc.)	Regions_041212SECSubpoena_000212 – 226
R. Exh. 237	Exhibit 9 to Report of Expert John C. Ham: Regions 3/31/2009 Problem Loan Report (First West Cutler Gardens, LLC)	Regions_041212SECSubpoena_0001289 ~ 93

R. Exh. 238	Exhibit 10 to Report of Expert John C. Ham: Forecast Cost to Complete (Glove Factory Land Holdings, LLC)	Regions_041212SECSubpoena_0003855 – 67
R. Exh. 239	Exhibit 11 to Report of Expert John C. Ham: Order Instituting Public Administrative and Cease-and-Desist Proceedings, Making Findings, and Imposing Remedial Sanctions and Cease-and-Desist Orders and Penalties, <i>SEC vs. Fifth Third Bank</i> , File No. 3-15635	No Bates label
R. Exh. 240	Exhibit 12 to Report of Expert John C. Ham: OCC Bulletin 1998-21 (Shared National Credit Program)	No Bates label
R. Exh. 241	Exhibit 13 to Report of Expert John C. Ham: Summary of Professional Experience	No Bates label
R. Exh. 242	Exhibit 14 to Report of Expert John C. Ham: Prior Expert Testimony	No Bates label
R. Exh. 243	Source Materials for Expert Richard K. Yowell: SEC Staff Accounting Bulletin No. 99 – Materiality; August 12, 1999	No Bates label
R. Exh. 244	Source Materials for Expert Richard K. Yowell: “Exchange (1)” and “Exchange (2)” documents	No Bates label
R. Exh. 245	Source Materials for Expert Richard K. Yowell: 4/15/2009 Willoughby Memo to Exec Council re: 1●2009 (previously-marked as R. Exh. 41)	No Bates label
R. Exh. 246	Source Materials for Expert Richard K. Yowell: Ernst & Young Memo re: Formal Legal Investigation by the Regulators concerning 2009 non-accrual regulatory exam and related ethics complaints	EYZ 000001 – 16
R. Exh. 247	List of Materials Considered by Expert Trent R. Feldman, Appendix B: 24/7 Wall St., News Article - "Regions Financial, Fifth Third, And KeyCorp Are Probably Banks That Failed Stress Test" (4/25/09)	No Bates label
R. Exh. 248	List of Materials Considered by Expert Trent R. Feldman, Appendix B: List of Materials Considered by Expert Trent R.	No Bates label

	Feldman, Appendix B: Associated Press, News Article - "Regions Financial to Report Surprise Q1 Profit" by Sara Lepro (4/16/09)	
R. Exh. 249	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Barron's, News Article - "Regions Financial Joins Campaign Of Upbeat Banks" by Bob O'Brien (4/16/09)	No Bates label
R. Exh. 250	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Bloomberg, News Article - "Regions Soars as CEO Announces First-Quarter Profit" by David Milkenberg (4/16/09)	No Bates label
R. Exh. 251	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Federal Deposit Insurance Corporation, Annual Income and Expense Data, 1984-2013	No Bates label
R. Exh. 252	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Federal Deposit Insurance Corporation, Historical Trends, 1990-2014YTD	No Bates label
R. Exh. 253	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Federal Deposit Insurance Corporation, Quarterly Banking Profile, 2008 Fourth Quarter	No Bates label
R. Exh. 254	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Federal Deposit Insurance Corporation, Quarterly Banking Profile, 2009 First Quarter.	No Bates label
R. Exh. 255	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Earnings Release (1/20/09)	No Bates label
R. Exh. 256	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Earnings Release (4/21/09)	No Bates label
R. Exh. 257	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Earnings Release (7/21/09)	No Bates label

R. Exh. 258	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Investor Presentation (1/28/09)	No Bates label
R. Exh. 259	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Investor Presentation (2/05/09)	No Bates label
R. Exh. 260	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Investor Presentation (5/20/09)	No Bates label
R. Exh. 261	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 8-K Stockholders Meeting Presentation (4/16/09)	No Bates label
R. Exh. 262	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 10-K Annual Report, December 31, 2008 (2/25/09)	No Bates label
R. Exh. 263	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 10-Q Quarterly Report, March 31, 2009 (5/11/09)	No Bates label
R. Exh. 264	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form 10-Q/A Amended Quarterly Report, March 31, 2009 (5/13/09)	No Bates label
R. Exh. 265	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Form DEF 14A Definitive Proxy Statement (3/06/09)	No Bates label
R. Exh. 266	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Final Pricing Term Sheet (5/21/09)	No Bates label
R. Exh. 267	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions	No Bates label

	Financial Corporation, Press Release - FDIC Assisted Merger (2/06/09)	
R. Exh. 268	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release – Supervisory Capital Assessment Program Findings (5/07/09)	No Bates label
R. Exh. 269	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Announcement of Capital Raise (5/20/09)	No Bates label
R. Exh. 270	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Successful \$1.85 Billion Capital Raise (5/20/09)	No Bates label
R. Exh. 271	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Completion of Capital Raise (6/18/09)	No Bates label
R. Exh. 272	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Quarterly Dividend of \$0.10 (1/15/09)	No Bates label
R. Exh. 273	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Quarterly Dividend of \$0.01 (4/16/09)	No Bates label
R. Exh. 274	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Press Release - Quarterly Dividend of \$0.01 (7/16/09)	No Bates label
R. Exh. 275	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Regions Financial Corporation, Prospectus - Common Stock Offering (5/22/09)	No Bates label
R. Exh. 276	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Securities and Exchange Commission, Order Instituting Cease-and-Desist Proceeding In the Matter of Thomas A. Neely, Jr. (6/25/14)	No Bates label

R. Exh. 277	List of Materials Considered by Expert Trent R. Feldman, Appendix B: Securities and Exchange Commission, "Testimony Concerning The Effects of Decimalization on the Securities Markets" by Laura S. Unger, Acting Chair (5/24/01)	No Bates label
R. Exh. 278	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "State Street plummets, Regions takes a dive amid broad decline in financials" (1/20/09)	No Bates label
R. Exh. 279	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Regions may raise capital to combat credit concerns" (1/20/09)	No Bates label
R. Exh. 280	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Bove: Regions Financial could cut dividend to 1 cent per share" (1/26/09)	No Bates label
R. Exh. 281	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Moody's downgrades Regions, units with negative outlook" (2/02/09)	No Bates label
R. Exh. 282	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Top 50 banks and thrifts" (3/10/09)	No Bates label
R. Exh. 283	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Q1 Bank earnings preview: More of the same" (4/09/09)	No Bates label
R. Exh. 284	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Regions Financial says it will report Q1 profit" (4/16/09)	No Bates label

R. Exh. 285	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Regions reports Q1 EPS of 4 cents" (4/21/09)	No Bates label
R. Exh. 286	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article Update - "Regions execs discuss credit trends, asset sales" (4/21/09)	No Bates label
R. Exh. 287	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "FIG Partners LLC lowers Regions Financial to underperform" (4/25/09)	No Bates label
R. Exh. 288	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "WSJ: Regulators push Citi, BofA to raise more capital" (4/28/09)	No Bates label
R. Exh. 289	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "S&P places 23 banking institutions on watch for ratings downgrade" (5/04/09)	No Bates label
R. Exh. 290	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Stress test results released; banks need additional \$74.6 billion" (5/07/09)	No Bates label
R. Exh. 291	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Fitch places major banks on Rating Watch Negative" (5/18/09)	No Bates label
R. Exh. 292	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Moody's downgrades Regions Financial" (5/19/09)	No Bates label
R. Exh. 293	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Analysts upgrade Regions Financial" (5/21/09)	No Bates label

R. Exh. 294	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Deutsche Bank Securities Inc. raises Regions Financial to buy" (5/27/09)	No Bates label
R. Exh. 295	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "Top 50 US banks and thrifts" (6/03/09)	No Bates label
R. Exh. 296	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "FTN Equity Cap Markets Corp. upgrades Regions Financial to buy" (6/15/09)	No Bates label
R. Exh. 297	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, News Article - "S&P cuts bank ratings and warns of structural transformation" (6/17/09)	No Bates label
R. Exh. 298	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, Stock Price Data - Regions Financial Corporation and KBW Bank Index, Monthly December 2007 to December 2008	No Bates label
R. Exh. 299	List of Materials Considered by Expert Trent R. Feldman, Appendix B: SNL Financial LC, Stock Price Data - Regions Financial Corporation and KBW Bank Index, Daily December 2008 to June 2009	No Bates label
R. Exh. 300	10/26/2009 Letter from Jackson to Laney, Willoughby, Neely, and Mullins, ccs to Hall and Wells re: June 2009 Commercial Credit Review Conclusion Letter and attaching Conclusion Letter from Wheeler	REG00100181-87
R. Exh. 301	12/17/2008 Regions Financial Corporation Organizational Structure; 6/2/2008 Risk Management Group	Regions_062514SECS subpoena_0003983-85

	Organizational Structure; 6/2/2008 Risk Management Group Credit Administration Organizational Structure	
R. Exh. 302	5/7/2009; 5/16/2009 Emails among Jackson, Neely, Willoughby, Wells, McCrite, Barrentine re: SNC exam	REG00056297-99
R. Exh. 303	4/27/2009 Wheeler Memo to Files re: First Quarter 2009 Nonaccrual Reporting Discussion with Mark Jarema	FRB ATL015905-07
R. Exh. 304	8/7/2008; 8/5/2008 Emails between Kuehr and Phillips "Re: Fw: Projected ">90 dpd & accruing" at 7/31 -- BBW managed; forward by Mackey to Kuehr of 8/1/2008 email from Bob Jackson to Phillips with cc to Mackey	REG00260592-595
R. Exh. 305	12/24/2008 Emails among Willoughby, Kuehr, Barrentine, Logsdon, McCarthy, and Neely "Re: Fw: "In-Play" NPL's as of 12/18"	REG00406314-316
R. Exh. 306	3/1/2009 Email from Neely to Barrentine, cc to Willoughby re: "Bill's weekly update . . . Business Services"; Barrentine forward to Wells	REG00630098-099
R. Exh. 307	3/16/2009 Forward by Willoughby to Wells of "Two Saves" email	REG00367005-006
R. Exh. 308	1/8/2009 Email from Neely to Phillips "Re: Fw: Advanced Trading"	REG00277325-326
R. Exh. 309	5/14/2009 Email from Kuehr to RM, cc to Willoughby and Neely re: "Fw: Modification List"	REG01383149-150
R. Exh. 310	6/25/2014 Kuehr and Willoughby SEC Order Instituting Cease-and-Desist Proceedings, Making Findings and Imposing Remedial Sanctions, Cease-and-Desist Orders, and Penalties	No Bates label
R. Exh. 311	6/10/2014 Regions Deferred Prosecution Agreement	No Bates label
R. Exh. 312	4/29/2009 Audit Committee Package from David Turner, Director of Internal Audit, to Audit Committee of the Board of Directors	Regions_051512SECSubpoena_0001589-1788

R. Exh. 313	Ernst & Young Memo re: Formal Legal Investigation by the Regulators concerning 2009 non-accrual regulatory exam and related ethics complaints	EY-RFC-GMX-10 000117-22
R. Exh. 314	6/30/2009 Regions Business Services Credit Review, Standard Examination Report, Special Assets (SAD), Business Banking Workout (BBWO) & OREO Departments	EY-RFC-WP-09 000442-60
R. Exh. 315	1/5/2010 Memo from Chris Ladnier to Neely, ccs: to Laney, Wells, Joel Helton, Jarema, Turner, and Willoughby attaching copy of report of the Audit of Special Assets	EY-RFC-WP-09 000579-586
R. Exh. 316	8/24/2009 Email from Lewis to Carl Carande, Scott Cotton, and Phillip Bray re Regions Bank: Commercial Risk Ratings Review	KPMG REGIONS 0011332-33
R. Exh. 317	11/3/2009 Draft Commercial Risk Ratings Accuracy, Audit Committee Report, Board of Directors, Executive Summary	KPMG REGIONS 0011560-65
R. Exh. 318	10/22/2009 Email from Lewis to Jarema, Shields cc to Cotton, Michael Martinen re: Regions Bank Commercial Risk Rating Assessment	KPMG Regions 0011638
R. Exh. 319	11/3/2009 Draft Commercial Risk Ratings Accuracy, Audit Committee Report, Board of Directors, Executive Summary	KPMG Regions 0011639-44
R. Exh. 320	10/26/2009 Draft Commercial Risk Rating Assessment, Recommendations and Strategic Opportunities Overview	KPMG REGIONS 0011645-62
R. Exh. 321	11/3/2009 Draft Audit Committee Report, Regions Financial - Board of Directors, Executive Summary	KPMG REGIONS 0011701-06
R. Exh. 322	10/26/2009 Commercial Risk Ratings Assessment, Recommendations and Strategic Opportunities Overview, Advisory	KPMG REGIONS 0011726-43

R. Exh. 323	10/29/2009 Email from Lewis to Turner, Jarema ccs to Martinen, Cotton re: Final KPMG Risk Rating Report v-16	KPMG REGIONS 0011763
R. Exh. 324	10/26/2009 KPMG Commercial Risk Ratings Assessment, Recommendations and Strategic Opportunities Overview	KPMG REGIONS 0011764-77
R. Exh. 325	10/29/2009 Email from Lewis to Cotton, Martinen re: Thursday AM Update; Regions Risk Rating project	KPMG REGIONS 0012222-23
R. Exh. 326	10/27/2009 Email from Lewis to Cotton, Martinen re: Update; Regions Risk Rating project	KPMG REGIONS 0012224
R. Exh. 327	10/12/2009 Memo to file, Scott Cotton, re Regions Bank Risk Rating Project: Modification of Deliverable Content and Final Due Date SOW Effective date of 9/22/2009	KPMG REGIONS 0012331
R. Exh. 328	9/10/2009 Email from Cotton to Lewis, cc Carande re: Regions Bank Commercial Risk Rating Project	KPMG REGIONS 0014180-81
R. Exh. 329	9/16/2009 Email from cotton to Robert D. Weintraub cc to Phillip Bray and Philip R. Bittel re: FW: Review	KPMG REGIONS 0014243-44
R. Exh. 330	10/9/2009 Email from Cotton to Marc Mullins and Rex Bradley ccs to Carande, Bray, Logsdon re: SAD work/update	KPMG REGIONS 0014378
R. Exh. 331	Regions Bank SAD Assessment Update Status Report as of 10/8/2009	KPMG REGIONS 0014379-85
R. Exh. 332	Email from Cotton to Carande re FW: Regions Bank Commercial Risk Rating Assessment	KPMG REGIONS 0014488-89
R. Exh. 333	9/18/2009 Statement of Work for Advisory Services (KPMG) executed by Cotton	KPMG REGIONS 0018883-84
R. Exh. 334	10/1/2009 Email from Logsdon to Cotton, Bray, Carande, Timothy G. Phelps, cc to Neely re Specs	KPMG REGIONS 0018908
R. Exh. 335	9/15/2009 Draft v. 1.0 SAD Account Management System Business Specifications Document; Special Assets Account Management Automated Workflow System	KPMG REGIONS 0018909-25

R. Exh. 336	10/12/2009 Cotton memo to file re Regions Bank Risk Rating Project: Modification of Deliverable Content and Final Due Date, SOW Effective Date of 9/22/09	KPMG REGIONS 0021734
R. Exh. 337	10/7/2009 Emails between Cotton and Lewis, cc to Martinen RE: Regions Comm. RR Project: 9/30 cycle project economics & updates	KPMG REGIONS 0023288-89
R. Exh. 338	10/22/2009 Email from Lewis to Jarema, Shields cc to Cotton, Michael Martinen re: Regions Bank Commercial Risk Rating Assessment	KPMG REGIONS 0023449
R. Exh. 339	10/26/2009 Email from Lewis to Jarema, Helton cc to Cotton, Martinen re "FINAL DRAFTs: Commercial Risk Rating Report and Executive Summary"	KPMG REGIONS 0023533-34
R. Exh. 340	10/22/2009, 10/23/2009 emails between Lewis and Shelds, ccs to Jarema, Martinen, Cotton "RE: Regions Bank Commercial Risk Rating Assessment"	KPMG REGIONS 0023565-67
R. Exh. 341	10/27/2009 Email from Lewis to Cotton, Martinen re: "Update; Regions Risk Rating project"	KPMG REGIONS 0023568
R. Exh. 342	10/26/2009 KPMG Commercial Risk Ratings Assessment, Recommendations and Strategic Opportunities Overview	KPMG REGIONS 0023624-41
R. Exh. 343	10/29/2009 Email from Lewis to Turner, cc to Martinen re "KPMG Risk Rating Report v-14"	KPMG REGIONS 0023642-43
R. Exh. 344	11/9/2009 Letter from KPMG to Turner re: "Commercial Risk Ratings: Closure/Transmittal Letter"	KPMG REGIONS 0023692-93
R. Exh. 345	11/18/2009 Email from Cotton to Martinen ccs to Bittel, Carande "FW: ReWriting David Lewis's Deliverable"	KPMG REGIONS 0023852-53
R. Exh. 346	11/23/2009 Regions Commercial Risk Ratings Review, Recommendations and Strategic Opportunities Overview	KPMG REGIONS 0024142-49
R. Exh. 347	9/16/2009 KPMG Statement of Work for Advisory Services	KPMG-REGIONS-W 0000014-18

R. Exh. 348	10/12/2009 Memo to file, Scott Cotton, re "Regions Bank Risk Rating Project: Modification of Deliverable Content and Final Due Date SOW Effective date of 9/22/2009"	KPMG-REGIONS-W 0000066
R. Exh. 349	3/23/2009 Forward by Chandler to Reiber of 3/19/2009 email from Mackey to Florio, Bruce Watkins, Barrentine, Chandler, Don Sinclair, Kuehr, Reiber, Paul Mendola, Welch, Hartley, and Neely re "Paramount Saturn"	REG00002206-07
R. Exh. 350	3/19/2009 email from Mackey to Florio, Bruce Watkins, Barrentine, Chandler, Don Sinclair, Kuehr, Reiber, Paul Mendola, Welch, Hartley, and Neely re "Paramount Saturn"	REG00002214
R. Exh. 351	11/30/2008 Regions Bank Business Services Credit Review Monitoring Plan & Assessment	REG00008823-32
R. Exh. 352	5/26/2009 Email from Kuehr to McCrite, cc to Florio re: "'In-Play' NPL's as of 3/17"	REG00017924-25
R. Exh. 353	5/13/2009 Email from Aderhold to Papke, cc to Kuehr re: "Richland Exam Memo"	REG00017969-70
R. Exh. 354	8/3/2009 Email from Kuehr to McCarthy re: "WilVal, LLC -- Update"	REG00017982-85
R. Exh. 355	8/4/2009 Email from Korte to Kuehr "Re: Eighteen Investments/Hewlett"	REG00017996—18001
R. Exh. 356	5/22/2009 Email from Kuehr to McCarthy, Teegarden, Aderhold, Cash, Mike Paternostro ccs to McCrite, Fleming, Papke, Rick Newfield, Smith, Gary Sims, Fox re: "Anticipated Loan Discussions on Tuesday/Wednesday of Next Week"	REG00018275-76
R. Exh. 357	Safety and Soundness Exam Summary Memo: Wilval, LLC	REG00018290-91
R. Exh. 358	Glove Factory Holdings, Summary of Strategy and Action Plan, 9/30/08-3/31/09	REG00018294-97

R. Exh. 359	5/26/2009 Email from Reimer to Kuehr, ccs to Newfield and Aderhold "Re: Designer's Choice Cabinetry"	REG00018298-99
R. Exh. 360	May 2009 Nonaccrual Process Exam, Federal Reserve Bank of Atlanta and State of Alabama, Summary of Issues	REG00028556
R. Exh. 361	5/20/2009 Email from McCarthy to Kuehr, ccs to McCrite, Teegarden, Chandler "Re: Non Accrual Review request"	REG00029091-92
R. Exh. 362	10/1/2009 Regions Commercial Risk Rating Project, Executive Interviews conducted by David J. Turner, Director Audit, Attendees: Mark Jarema, Director of Credit Review & David Lewis, KPMG	REG00034935-44
R. Exh. 363	5/19/2009 Emails between Kuehr and Fox re: "Fw: Eighteen Investments - Fed Exam"	REG00055370-71
R. Exh. 364	2/20/2009 Email from Wood to Cash, Corrigan, Aderhold, Papke, Kuehr re: "Richland Investments"	REG00055562-63
R. Exh. 365	3/9/2009 Email from McCarthy to Bagby, Collins with ccs to Barnes and Dewitt King "Re: Questions on Recently Received Workout Strategy Forms"	REG00055608-09
R. Exh. 366	2/11/2009 Email between Aderhold and Kuehr, cc to Corrigan "Re: updates on FL Credits for Bill Wells Meeting"	REG00055792
R. Exh. 367	3/9/2009 Email from Corrigan to Erwin, Baker re: "Fw: Questions on Recently Received Workout Strategy Forms"	REG00055882-83
R. Exh. 368	5/16/2009 Email from Wells to Neely, Willoughby, Barrentine, Jackson, McCrite "Re: [confidential] SNC exam"	REG00056109-10
R. Exh. 369	5/11/2009 Email from Kuehr to RM, Willoughby, Neely, Barrentine re: "PUE/NPL's as of 5/7 AQF"	REG00056314-16
R. Exh. 370	5/12/2009 Email from Kuehr to Shields, Jarema, Willoughby, Neely "Re: Update on SAD/Credit Review Loan Discussions"	REG00056498-501

R. Exh. 371	4/16/2009 Email from Paternostro to Tom Dantoni, Ron Fuqua, Matthew Spencer, Joey Williams re: "Fw: Pending Q4 Directed NPL's from Credit Review"	REG00057184-85
R. Exh. 372	3/12/2009 Email from McCarthy to Baldwin, Kuehr ccs to Jody Grantham, Fox re: "WilVal Payment"	REG00057233
R. Exh. 373	5/15/2009 Email from Kuehr to Florio "Re: Fw: Misc. Non Rules-Based C/O's and NPL's for February"	REG00057251-52
R. Exh. 374	3/12/2009 Email from Baldwin to Michael R. Green, Papke, Steve Barberie "Re: Kicklighter Custom Homes"	REG00057711-13
R. Exh. 375	3/5/2009 Email from Kuehr to McCarthy "Re: Increases in this Week's AQF"	REG00057889-90
R. Exh. 376	3/27/2009 Email from Rumore to Neely, Cash, Aderhold, Jim Moore, David Kern re: "Richland Investments"	REG00057938
R. Exh. 377	4/24/2009 Email from Kuehr to Shields, Jarema, Willoughby, Neely "Re: 4/22 SAD Loan Discussion Recap"	REG00058121-22
R. Exh. 378	3/13/2009 Email from Shannon Lee to Green, Papke, Aderhold, Moore, Michael L. Loberger re: "Kicklighter Custom Homes"	REG00058527
R. Exh. 379	3/11/2009 Email from Fox to Baldwin, Smith, McCarthy "Re: Q1 2009 FAS 114 Loan List"	REG00058859-61
R. Exh. 380	3/23/2009 Email from Aderhold to Kern "Re: Richland Investments interest payment"	REG00058864-65
R. Exh. 381	2/17/2009 Email from Baldwin to Aderhold "Re: Past Due Follow-up"	REG00059654-55
R. Exh. 382	4/30/2009 Email from Willoughby to Kuehr, cc to Neely "Re: No Change to SAD Rating Although Further Documentation Required"	REG00060092-94
R. Exh. 383	5/27/2009 Email from Peterson to McCarthy "Re: Fw: Jones & Jones"	REG00081507-08
R. Exh. 384	2/3/2009 Email from Shields to Baldwin, Kuehr cc to Johnson, Yarbrough re: "SAD Exam Interim Update"	REG00094583-90

R. Exh. 385	9/1/2009 Memo from Ritter to Costello, Stanley, Wheeler, Reed, Florey attaching letter from Ritter to Estes	REG00100415-19
R. Exh. 386	5/15/2009 Letter from Willoughby to Wheeler and Florey attaching explanation and timeline for Richland Investments	REG00111304-06
R. Exh. 387	10/23/2009 Email from Jarema to Helton, Shields, Kasha McCollough, Turner re: "KPMG Risk Rating presentation"	REG00118365
R. Exh. 388	5/12/2009 Email from Shields to Kuehr, Jarema, Willoughby "Re: Update on SAD/Credit Review Loan Discussions"	REG00118790-92
R. Exh. 389	2/13/2009 Email from Shields to Jarema "Fw: Update Meeting"	REG00118827-28
R. Exh. 390	6/19/2009 Email from Jarema to Neely, BCC Shields re: "Non-accrual"	REG00119147-48
R. Exh. 391	5/8/2009 Email from Jarema to Kuehr, BCC Shields re: "FASB 114"	REG00119314
R. Exh. 392	2/6/2009 Email from Jarema to Shields, Yarbrough re: "Fw: SAD Recommended Downgrades"	REG00123862-64
R. Exh. 393	3/5/2009 Email from Wood to Corrigan "FW: Related/Jorge Perez"	REG00154048 - 154049
R. Exh. 394	4/17/2009 Email from Florio to Farmer "Re: Fw: Oakland Park Development COMM006030121030280000030001"	REG00180036-37
R. Exh. 395	2/22/2009 Email from Kuehr to Wood "Fw: Assessment of Feedback from Group Senior Credit Officer Review -- 114 Scrub Process"	REG00255831-33
R. Exh. 396	3/3/2009 Email from Kuehr to Aderhold "Re: Fw: Nonaccruals, charge offs, and 'rules based' actions"	REG00258849-53
R. Exh. 397	8/4/2009 Email from Kuehr to McCrite "Fw: [secure] Follow-Up Information on Eighteen Investments"	REG00266374-75
R. Exh. 398	5/28/2009 Email from Kuehr to Korte re: "NPA Narrative on 18 Investments"	REG00266497
R. Exh. 399	5/28/2009 Non Accrual Status Narrative, Eighteen Investments	REG00266498
R. Exh. 400	5/26/2009 Email from Kuehr to Korte "Re: Additional Fed Inquiry on	REG00267350-51

	Hackberry (Related to Eighteen Investments)"	
R. Exh. 401	5/26/2009 Email from Kuehr to McCrite "Fw: Additional Fed Inquiry on Hackberry (Related to Eighteen Investments)"	REG00267561-62
R. Exh. 402	5/26/2009 Email from Kuehr to Aderhold, cc to Papke "Re: River Glen"	REG00268813
R. Exh. 403	5/27/2009 Email from Kuehr to Cash "Re: Fw: Waters Edge One/Opus South"	REG00270369-70
R. Exh. 404	1/20/2009 Email from Lachelle Koon to Willoughby, Godin, Neely "[Confidential] DRAFT -- Board minutes"	REG00280374-76
R. Exh. 405	2/18/2009 Email from Cash to Neely, Papke, Wood "Re: AQF meeting"	REG00280816
R. Exh. 406	2/22/2009 Email from Teegarden to Neely "Re: Central Regions AQF"	REG00282581-82
R. Exh. 407	2/19/2009 Email from Wood to Neely, Papke, Cash, Kuehr "Re: AQF meeting"	REG00282895-96
R. Exh. 408	2/23/2009 Email from Cash to Aderhold, Kern, Papke, Wood, Neely "Re: [confidential] Richland Investments Proposed Term Sheet"	REG00283588-90
R. Exh. 409	3/25/2009 Email from Henson to Neely re: "Glove Factory"	REG00288997
R. Exh. 410	3/17/2009 Email from Papke to Neely "Re: Seahaven"	REG00291841
R. Exh. 411	1/12/2009 Email from Kuehr to multiple recipients "Recommended January NPL's \$2.5MM or Greater"	REG00292934-35
R. Exh. 412	3/9/2009 Email from Cash to Couch, Neely "Fw: Fw: Shelby Homes"	REG00293039-42
R. Exh. 413	6/1/2009 Email from Carrigan to Corrigan, cc to Neely "Re: Fw: First West Cutler"	REG00296355
R. Exh. 414	12/12/2008 Email from Willoughby to Barrentine, Kuehr, Neely "Re: SAD AQFs"	REG00362910-11
R. Exh. 415	1/28/2009 Email from Willoughby to Sheehy "Re: Non Accrual and Charge off approvals"	REG00363730

R. Exh. 416	5/14/2009 Memo from Kuehr to Willoughby "Re: Recap of Richland Investments LLC -- Non-Accrual Error Correction in February"	REG00373320-21
R. Exh. 417	6/9/2009 Email from Kuehr to Willoughby, Neely, Phillips re: "Update on SAD Draft Exam Report"	REG00378898-99
R. Exh. 418	2/1/2009 Email from Kuehr to Willoughby "Re: Disappointed"	REG00398828
R. Exh. 419	9/3/2008 Email from Kuehr to Neely "Re: FL asset quality forecast"	REG00398988
R. Exh. 420	8/4/2009 Email from Kuehr to Hutchison, Sinclair, Korte, Corrigan cc to Neely re: "Conference Call This Afternoon"	REG00403500
R. Exh. 421	6/30/2008 Email from Willoughby to Kuehr, Baldwin "Re: State of SAD files reviewed"	REG00407072-74
R. Exh. 422	12/12/2008 Email from Willoughby to Barrentine, Kuehr, Neely "Re: SAD A●Fs"	REG00407212-13
R. Exh. 423	12/30/2008 Email from Willoughby to Kuehr, Neely "Re: C&I Draftees"	REG00407266-67
R. Exh. 424	12/12/2008 Email from Willoughby to Wells "Returned your call"	REG00407273
R. Exh. 425	10/15/2008 Email from Neely to Kuehr, Willoughby "Re: recent 70's"	REG00407345-47
R. Exh. 426	11/14/2008 Email from Willoughby to Herron, Wells, Hall, Neely, Godin, Kuehr "Re: Additional SAD C&I RM Needed"	REG00407422-23
R. Exh. 427	2/12/2009 Email from Willoughby to Herron, Wells, Kuehr "Re: Special Assets"	REG00407855-56
R. Exh. 428	3/19/2009 Email from Willoughby to Neely, Barrentine, Logsdon, Kuehr "Fw: MEETING TO DISCUSS SPECIAL ASSETS ORGANIZATION"	REG00408280-81
R. Exh. 429	4/1/2009 Email from Willoughby to Wells re: "Important stuff"	REG00408580
R. Exh. 430	3/27/2009 Email from Welch to Barrentine re: "no bill memo"	REG00443019
R. Exh. 431	5/5/2009 Email from Baker to Suzanne Haubrich "Re: Oak Ridge A&E"	REG00549832-33

R. Exh. 432	5/5/2009 Regions Bank Amendment/Exception Form, Oak Ridge LLP	REG00549834-36
R. Exh. 433	4/27/2009 Email from Corrigan to Baker, Miropol "Fw: Open Items on Credit Review Loan Discussions"	REG00550609-12
R. Exh. 434	2/12/2009 Email from Baker to Corrigan "Re: Shelby Homes"	REG00553996-99
R. Exh. 435	6/10/2009 Email from Florio to Kuehr, Barrentine, Welch re: "AQF -- Misc and RB"	REG00568825
R. Exh. 436	4/29/2009 Email from Barrentine to Henry "Re: Fw: Collateral Code Changes"	REG00568907-09
R. Exh. 437	3/19/2009 email from Mackey to Florio, Bruce Watkins, Barrentine, Chandler, Don Sinclair, Kuehr, Reiber, Paul Mendola, Welch, Hartley, and Neely re "Paramount Saturn"	REG00580083-84
R. Exh. 438	3/18/2009 Email from Mackey to Kuehr, Florio, Watkins, Barrentine, Chandler, Sinclair, Willoughby, Mendola, Welch, Hartley, Neely "Re: Paramount Saturn NPL"	REG00580103-08
R. Exh. 439	3/16/2009 Email from Kuehr to Barrentine, Willoughby, Neely, Godin, Phillips, Baldwin "Re-Up of Anticipated 90 DPD and Still Accruing for 3/31/2009"	REG00598433
R. Exh. 440	2/19/2009 Email from Baker to Carrigan "Re: Revised FAS 114 Scrub Process Document -- Delmar Homes"	REG00642277-87
R. Exh. 441	3/11/2009 Email from Baker to Carrigan, Barbara Mravic, Erwin "Fw: Agenda for ASV Meeting this week//Note times have changed per Mr. Willoughby"	REG00645238-40
R. Exh. 442	8/4/2009 Email from Chandler to Mayoros "Re: [Confidential] From Grayson-Hall, Tim Laney, Bill Wells, Mike J. Willoughby: Directed Downgrades"	REG00659552-55

R. Exh. 443	3/2/2009 Email from Barrentine to Florio, Ferino, Welch "Fw: March 'In-Play' NPL's as of 2/27/09 AQF Meeting"	REG00685407-08
R. Exh. 444	3/18/2009 Email from Farmer to Florio, Ferino "Fw: Jones & Jones"	REG00688366-67
R. Exh. 445	3/19/2009 Email from Florio to Barrentine, cc to Welch, BCC to Ferino re: "Waters Edge -- Opus"	REG00690635
R. Exh. 446	3/16/2009 Email from Corrigan to Ferino, Florio, Welch re: "AQF for FL Central and South"	REG00692318
R. Exh. 447	4/20/2009 Email from Chandler to Ferino, Florio, Hutchison "Re: AQF for Alabama"	REG00692540-41
R. Exh. 448	Attachment to 4/20/2009 Email from Chandler to Ferino, Florio, Hutchison "Re: AQF for Alabama"	REG00692542-59
R. Exh. 449	2/13/2009 Email from Corrigan to Ferino re: "Formula issue in AQF"	REG00693679
R. Exh. 450	2/4/2009 Email from Ferino to Jackson, Florio "Re: AQF for Wed, Feb. 4th Meeting"	REG00693885-86
R. Exh. 451	4/20/2009 Email from Walt.Krysnoski to Korte "Re: Fw: Collateral Valuations and Charge-Offs"	REG00695293-94
R. Exh. 452	5/1/2009 Email from Korte to Hoffmann "Re: Fw: Updates on 2Q NPL/CO/Classifieds prevention efforts -- Dippen Dots"	REG00695302-04
R. Exh. 453	Florida/Midwest/Southwest C&I Asset Quality Plan -- 2Q	REG00695305-07
R. Exh. 454	4/28/2009 Email from Korte to Kuehr "Re: Fw: Additional Information"	REG00695460-63
R. Exh. 455	2/16/2009 Email from McCarthy to Jameson, Carol Manfredo, George Moore, Jay Devoe, Vanessa Meacham "Re: Senior RCL Agenda Issue"	REG00703716-19
R. Exh. 456	3/12/2009 Email from McCarthy to Ferino "Re: WilVal LLC"	REG00703723
R. Exh. 457	1/7/2009 Email from Mayoros to Kuehr "Re: Fw: Q1 '09 Performance Targets"	REG00703724-26

R. Exh. 458	4/27/2009 Email from Peterson to McCarthy, Judy Sherbert, Kevin Barron re: "South Capital Group, Jones & Jones Investments"	REG00704657-60
R. Exh. 459	3/19/2009 Email from Victoria Docauer to Kuehr, McCarthy "Fw: Loan Discussions with Credit Review"	REG00704929-31
R. Exh. 460	3/18/2009 Email from Meacham to Farmer, McCarthy, Peterson "Fw: Jones & Jones"	REG00705334-35
R. Exh. 461	3/9/2009 Email from Devoe to McCarthy "Re: Jordan and Wilval"	REG00705447
R. Exh. 462	Memo from Wheeler to files re: August 12, 2009 meeting with Dowd Ritter, Chairman, CEO, and President, RFC	No Bates label
R. Exh. 463	9/17/2010 Email from Mayoros to Mead "Follow up on our discussion of 9/16"	REG00114667-70
R. Exh. 464	2/15/2009 Outline of FAS 114 Scrub Process in Preparation for the ALL Exam	REG00737036-39
R. Exh. 465	Draft Agenda as of 11/30/2008 for Business Services Credit Review, Special Assets Department, Standard Examination for Exam Dates 1/12/2008-2/6/2009	Regions_101714SECRequest_001721
R. Exh. 466	3/18/2009 Email from Peterson to McCarthy, Sherbert, Meacham, Whitaker, Barron re "Jones & Jones"	REG00708494
R. Exh. 467	3/9/2009 Email from Peterson to Meacham, McCarthy, Sherbert "Re: Rules Based -- Status Change Forms DUE TODAY"	REG00709006-08
R. Exh. 468	7/22/2009 Email from McCarthy to Mackey "Re: URGENT -- Signatures Needed For Processing"	REG00709164-69
R. Exh. 469	6/8/2009 Email from Fox to Baldwin, Smith, McCarthy "Re: Q2 2009 FAS 114 -- Initial Loan Listing & Process Updates"	REG00709259-62
R. Exh. 470	6/19/2009 Email from Kuehr to McCarthy, Baldwin, Fox, Mackey, Farmer, Florio "Re: WilVal check Good News"	REG00709277

R. Exh. 471	3/26/2009 Email from Kuehr to Teegarden, McCarthy, Paternostro "Fw: Follow-Up from Credit Review Discussion"	REG00709389-90
R. Exh. 472	5/29/2009 Email from McCarthy to Mackey "Re: Valuation Charge Disconnects"	REG00709446-48
R. Exh. 473	5/19/2009 Email from Peterson to McCarthy, Sherbert "Jones & Jones clarification"	REG00709449
R. Exh. 474	2/27/2009 Email from Smith to Burks, Butsch, Barron, McCarthy, Teegarden, Renou, Martin, Pratt, Flanagan "Fw: Appraisals"	REG00709473
R. Exh. 475	3/9/2009 Email from Kuehr to Corrigan, Teegarden, Aderhold, Cash, McCarthy "Questions on Recently Received Workout Strategy Forms"	REG00709482
R. Exh. 476	6/12/2009 Email from Kuehr to McCarthy "Re: Fw: AOF vs Processing MidSouth"	REG00709526-29
R. Exh. 477	5/19/2009 Email from McCarthy to TeStrake "Fw: Non accrual exam files"	REG00709974
R. Exh. 478	5/5/2009 Email from McMahan to McCarthy, King, Raines, Burks re: "Oak Ridge Land"	REG00709985
R. Exh. 479	5/22/2009 Email from Korte to McCrite, Kuehr, Fleming, Hawkins re: "Additional Fed Inquiry on Hackberry (Related to Eighteen Investments)"	REG00710426-27
R. Exh. 480	6/2/2009 Email from McCrite to McManus, Repine, Fleming, McCrite, Kuehr "[secure] Follow-Up Information on Eighteen Investments"	REG00710500
R. Exh. 481	5/27/2009 Email from McCarthy to McCrite, Kuehr, Smith, Fleming, Sheehy "Re: 114's for McCar and WilVal"	REG00710577-78
R. Exh. 482	3/12/2009 Email from Teegarden to Smith, Flanagan, Tate "Re: Miscellaneous"	REG00716760-63
R. Exh. 483	3/31/2009 Email from Teegarden to Smith "Re: Glove Factory"	REG00718216-18

R. Exh. 484	3/16/2009 Email from Tate to Smith, Teegarden, Bius, Hutchison "Re: FASB 114's"	REG00718290-91
R. Exh. 485	5/19/2009 Teegarden Emails re Glove Factory and McCar re Fed Request for Info	REG00718480 - 718482
R. Exh. 486	4/21/2009 Email from Teegarden to Bius, Hutchison, Smith re: "Glove Factory"	REG00721384
R. Exh. 487	5/14/2009 Memo from Kuehr to Willoughby "Re: Recap of Richland Investments LLC -- Non-Accrual Error Correction in February"	REG00761497-98
R. Exh. 488	March 2009 Non-Accrual Information	REG00780891-921
R. Exh. 489	10/10/2008 Email from Kuehr to Aderhold "Re: Urgent - Staff"	REG00824519
R. Exh. 490	10/1/2008 Kuehr Email re Fixed Loans	REG00824553 -824554
R. Exh. 491	4/1/2009 Commercial Workout Strategy, Richland Investments LLC	REG00868023
R. Exh. 492	7/22/2009 Email from Florio to Chandler, cc to multiple recipients "Re: URGENT - Signatures Needed for Processing"	REG00894259-63
R. Exh. 493	7/22/2009 Email from Chandler to Florio, cc to multiple recipients "Re: URGENT - Signatures Needed for Processing"	REG00894276-80
R. Exh. 494	7/22/2009 Email from Chandler to Florio, cc to multiple recipients "Re: URGENT - Signatures Needed for Processing"	REG00894281-85
R. Exh. 495	5/27/2009 Regions Bank Amendment/Exception Form, Richland Investments LLC	REG00974852-68
R. Exh. 496	1/28/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330663-64
R. Exh. 497	2/26/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330665-66
R. Exh. 498	3/19/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330667-68

R. Exh. 499	3/30/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330671-72
R. Exh. 500	4/3/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330673-74
R. Exh. 501	4/14/2009 Regions Bank Amendment/Exception Form, McCar Homes, Inc.	REG01330675-76
R. Exh. 502	3/23/2009 McCar Relationship Summary	REG01330969
R. Exh. 503	3/12/2009 Letter from Ron Ferguson at Wachovia re: McCar Homes, Inc. Senior Secured Revolving Credit Agreement	REG01332462-78
R. Exh. 504	2/11/2009 Memo from Kern, Rumore, Moore to Cash, Papke, Reid, Aderhold "RE: Richland Investments Loan Restructure Recommendation"	REG01340310-11
R. Exh. 505	3/27/2009 Letter from Jeffrey Gipson at Wachovia "Re: Wachovia Bank, National Association's Loan to Waters Edge One, L.L.C. (659930239-34)"	REG0134029-30
R. Exh. 506	3/23/2009 Letter from Kern to John Bray (President of Richland Investments) "Re: Line of Credit Facility in favor of Richland Investments, LLC"	REG01342280
R. Exh. 507	4/1/2009 Commercial Workout Strategy, Richland Investments LLC	REG01342393-94
R. Exh. 508	9/16/2009 Turner Email to Mead	REG01383154
R. Exh. 509	Document "For The Glove Factory Loan"	Regions_031114SECSubpoena_0000792-95
R. Exh. 510	Securities & Exchange Commission Request dated April 14, 2014	Regions_031114SECSubpoena_0001032-34
R. Exh. 511	3/3/2009 Email from Kuehr to Neely, ccs to Mann, Willoughby "Re: Fw: Nonaccruals, charge offs, and 'rules based' actions"	Regions_062514SECSubpoena_0008933--36
R. Exh. 512	6/14/2008 Email from Neely to Willoughby "Re: Loan sales"	Regions_062514SECSubpoena_0010028
R. Exh. 513	4/13/2009 Email from Kuehr to Shields, ccs to Willoughby, Jarema, Neely,	Regions_062514SECSubpoena_0010046-48

	Johnson, Averett, Baldwin "Fw: Credit Review Risk Rating Updates"	
R. Exh. 514	4/15/2009 Email from Kuehr to Johnson, ccs to Yarbrough, Averett, Shields "Re: Fw: Credit Review Risk Rating Updates"	Regions_062514SECSubpoena_0010055-59
R. Exh. 515	2/21/2009 Email from Willoughby to Kuehr, Baldwin, cc to Neely re: "Disappointed"	Regions_062514SECSubpoena_0010077
R. Exh. 516	3/19/2009 Email from Kuehr to Willoughby, ccs to Mackey, Neely "Re: Wood Treaters"	Regions_062514SECSubpoena_0010114-15
R. Exh. 517	1/26/2009 Email from Kuehr to Smith, cc to Teegarden "Re: Fw: Risk Rating Discussion -- No. GA Exam"	Regions_062514SECSubpoena_0010227-30
R. Exh. 518	1/27/2009 Email from Kuehr to Willoughby, cc to Neely re: "Credit Review Update/Discussion with Mark Jarema"	Regions_062514SECSubpoena_0010231
R. Exh. 519	3/5/2009 Email from Corrigan to Bruni, Baker "Fw: SAD Risk Ratings"	Regions_062514SECSubpoena_0011667-68
R. Exh. 520	2/21/2009 Email from Kuehr to Willoughby "Re: Disappointed"	Regions_062514SECSubpoena_0011863
R. Exh. 521	9/22/2008 Email from Neely to Kuehr, ccs to Godin, Papke, Willoughby "Re: Past Due CP's"	Regions_062514SECSubpoena_0012017-19
R. Exh. 522	3/20/2009 Email from Aderhold to Baldwin, cc to Kuehr "Re: Q1 2009 FAS 114 Account Listing"	Regions_062514SECSubpoena_0012203-04
R. Exh. 523	4/21/2009 Email from Kern to Denise Schornack, Thomas Scott, Aderhold, Cash, Reid, Papke, Rumore "Fw: Regions Bank -- Richland Investments LLC"	Regions_SEC_041114-0001879-80
R. Exh. 524	Draft Richland Investments, LLC Loan Modification Agreement	Regions_SEC_041114_0001881-95
R. Exh. 525	3/18/2009 Email from Kuehr to Smith, Teegarden "Re: Loan Discussions with Credit Review"	SEC-FRB-E-0000036-38
R. Exh. 526	January 2009 Emails re: "Q1 '09 Performance Targets"	SEC-FRB-E-0000046-48
R. Exh. 527	3/17/2009 Email from Smith to Neely re: "McCar"	SEC-FRB-E-0000052

R. Exh. 528	3/13/2009 Email from Santosh Govi daraju (Paragon Capital Partners) to Carrigan "RE: West Cutler -- 2 of 2"	SEC-FRB-E-0000060-63
R. Exh. 529	12/24/2008 Email from Willoughby to Kuehr, Barrentine, Logsdon, McCarthy, Neely "Re: Fw: 'In-Play' NPL's as of 12/18"	SEC-FRB-E-0000068-70
R. Exh. 530	3/24/2009 Memo from Kuehr to Teegarden re: "Credit Review Discussions for 3/25/2009" with handwritten notes	SEC-FRB-E-0000161-62
R. Exh. 531	4/7/2009 Email from Kuehr to Hutchison, ccs to Smith, Teegarden "Re: Glove Factory"	SEC-FRB-E-0000166-73
R. Exh. 532	3/10/2009 Email from Kuehr to Florio "Fw: Recon of Business Services NPL's as of Today's AQF"	SEC-RFPA-BOGFRS-E-0005724-30
R. Exh. 533	1/7/2009 Email from Kuehr to RM, ccs to Willoughby, Barrentine, Mayoros re: "Q1 '09 Performance Targets"	SEC-RFPA-BOGFRS-E-0005747-48
R. Exh. 534	5/19/2009 Emails between Turner, Elicia Reames, cc to Payne "Re: [confidential]"	SEC-RFPA-BOGFRS-E-0005782-94
R. Exh. 535	3/4/2009 Email from Barrentine to Warren Henry "Fw: Collateral Code Changes"	Regions_062514SECSubpoena_0001709-10
R. Exh. 536	6/22/2009 Email from Mackey to Corrigan, ccs to McMillan, Kuehr, Mercedes Montalvo, Baker, Neely "Re: Fw: ASV Results/In-Play Analysis"	Regions_062514SECSubpoena_0001800-08
R. Exh. 537	1/23/2009 Email from Jarema to Shields "Re: Talking Points - Please Review"	Regions_062514SECSubpoena_0002044-50
R. Exh. 538	2/6/2009 Email from Jarema to Shields, Yarbrough re: "Fw: SAD Recommended Downgrades"	Regions_062514SECSubpoena_0002059-61
R. Exh. 539	2/8/2009 Email from Neely to Barrentine, Kuehr, ccs to Jarema, Shields, Papke, Gary Sims, Smith, Fox, Blackstone, Jo Ann Mustian	Regions_062514SECSubpoena_0002062
R. Exh. 540	3/2/2009 Email from "Unspecified Sender" to Corrigan "Fw: Non-Accrual"	Regions_062514SECSubpoena_0002174-75
R. Exh. 541	2/9/2009 Email from Shields to Jarema "Fw: Preliminary findings of SAD exam"	Regions_062514SECSubpoena_0002204

R. Exh. 542	8/24/2008 Email from Neely to Willoughby "Re: Outlook"	Regions_062514SECSubpoena_0002226
R. Exh. 543	8/24/2008 Email from Neely to Willoughby "Re: Outlook"	Regions_062514SECSubpoena_0002227
R. Exh. 544	2/15/2009 Email from Kuehr to ljkuehr@aol.com "Fw: Draft of FAS 114 Scrub Process"	Regions_062514SECSubpoena_0003319-20
R. Exh. 545	2/26/2009 Memo from Papke to Kuehr, Baldwin, Barrentine, ccs to Neely, Fox, Smith, Sims "FASB 114 review and approval process"	Regions_062514SECSubpoena_0003324-26
R. Exh. 546	3/12/2009 Email from Corrigan to Baker, Bruni, Carrigan, Miropol, Keatley, Mravic, Grattan, Pratt re: "Accrual Status Verification"	Regions_062514SECSubpoena_0004915
R. Exh. 547	2/20/2009 Email from Willoughby to Wells re: "Shelby Mackey"	Regions_062514SECSubpoena_0005108
R. Exh. 548	7/10/2009 Email from Corrigan to Kuehr, cc to Neely "Fw: FYI: Sapphire and Lucky Chase"	Regions_062514SECSubpoena_0005446-48
R. Exh. 549	5/1/2009 Email from Willoughby to Kuehr, ccs to Teegarden, Hutchison, Paternostro, Corrigan, Neely "Re: No Change to SAD Rating Although Further Documentation Required"	Regions_062514SECSubpoena_0005452-54
R. Exh. 550	1/20/2009 Email from Willoughby to Wells, Neely, Godin re: Project Eagle	Regions_062514SECSubpoena_0009331-34
R. Exh. 551	9/11/2009 Regions Memo re Whistleblower Investigation	REG00034945 – 34946
R. Exh. 552	Credit Division – Summary for the Week Ended: August 7, 2009	REG00099673
R. Exh. 553	4/29/2009 Memo from Wheeler to Files "Re: First Quarter 2009 Nonaccrual Reporting Follow-Up Discussion with Head of Credit Review Mark Jarema"	No Bates label
R. Exh. 554	1/15/2009 Meeting Notice re: "Recommended January NPL's \$2.5MM or Greater"	REG00695208-09
R. Exh. 555	7/25/2009 Email from Corrigan to Wheeler re: "Move to Florida"	FRB ATL017807-09
R. Exh. 556	7/31/2009 Email from Corrigan to Wheeler re: "Regions"	FRB ATL017610-11

R. Exh. 557	6/16/2009 Email from Wheeler to Suzanna Costello, Allen Stanley, Jay Repine, Michael Hodges re: "Fw: Tom Neely"	FRB ATL017620-22
R. Exh. 558	7/7/2009 Scott Corrigan Interview Notes; interviewed by Dwight Blackwood, Wheeler, and Robert Ward	No Bates label
R. Exh. 559	10/1/2009 Regions Commercial Risk Rating Project, Executive Interviews conducted by David J. Turner, Director Audit, Attendees: Mark Jarema, Director of Credit Review & David Lewis, KPMG	KPMG-REGIONS-W 0000255-57

Mr. Neely expressly reserves the right to further supplement and/or amend this Exhibit List, as well as the right to designate as additional exhibits any documents that:

- were marked as Exhibits to any investigative testimony taken in this matter;
- are designated as exhibits on the Division's exhibit list and/or any supplement or amendment thereto;
- were exchanged or requested during discovery;
- are necessary for impeachment purposes;
- are necessary for rebuttal purposes;
- are produced pursuant to subpoena subsequent to the filing of this Amended and Supplemental Exhibit List;
- are identified and/or received subsequent to the filing of this Amended and Supplemental Exhibit List;
- are referenced or relied upon by any of the Division's expert witnesses.

Respectfully submitted,

/s/ Katherine R. Brown

Augusta S. Dowd (ASB-5274-D58A)

J. Mark White (ASB-5029-H66J)

William M. Bowen, Jr. (ASB-1285-E66W)

Linda G. Flippo (ASB-0358-F66L)

Rebecca G. DePalma (ASB-4105-D57R)

Katherine Rogers Brown (ASB-4963-N77R)

OF COUNSEL:

WHITE ARNOLD & DOWD P.C.

2025 3rd Ave. N., Ste. 500

Birmingham, AL 35203

P: (205) 323-1888

F: (204) 323-8907

Email: adowd@whitearnolddowd.com

mwhite@whitearnolddowd.com

wmbowen@whitearnolddowd.com

lflippo@whitearnolddowd.com

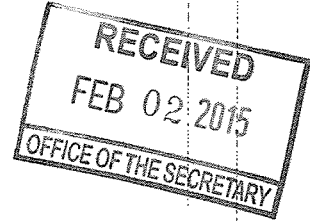
rdepalma@whitearnolddowd.com

kbrown@whitearnolddowd.com

FACSIMILE COVER SHEET

2025 3rd Avenue North, Suite 500
Birmingham, Alabama 35203

FAX NO. (205) 323-8907
TEL NO. (205) 323-1888



Date: February 2, 2015

FROM: Theresa Boackle, White Arnold & Dowd

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TOTAL PAGES INCLUDING THIS PAGE: 41

RE: Tom Neely, Admin. No.: 3-15945

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