

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 95952 / September 30, 2022

ACCOUNTING AND AUDITING ENFORCEMENT
Release No. 4348 / September 30, 2022

ADMINISTRATIVE PROCEEDING
File No. 3-21188

In the Matter of

ANTHONY G. ROTH,

Respondent.

**ORDER OF SUSPENSION PURSUANT
TO RULE 102(e)(2) OF THE
COMMISSION'S RULES OF PRACTICE**

I.

The Securities and Exchange Commission deems it appropriate to issue an order of forthwith suspension of Anthony G. Roth pursuant to Rule 102(e)(2) of the Commission's Rules of Practice [17 C.F.R. § 201.102(e)(2)].¹

II.

The Commission finds that:

1. Roth, age 55, served as Executive Vice President, Chief Financial Officer, Corporate Secretary, and as a member of the board of directors of ContinuityX Solutions, Inc. ("ContinuityX"), a then publicly traded company, from March 25, 2011 until September 2012, when he resigned all positions with ContinuityX.

2. On May 19, 2017, a judgment of conviction was entered against Roth in *United States v. Anthony Roth*, 14-CR-00326-3, in the United States District Court for the Northern District of Illinois, finding him guilty of one count of wire fraud in violation of Title 18, United States Code, Section 1343 [18 U.S.C. § 1343].

¹ Rule 102(e)(2) provides in pertinent part: "[A]ny person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission." See 17 C.F.R. 201.102(e)(2).

3. As a result of his conviction, Roth was sentenced to 30 months imprisonment in a federal penitentiary and ordered to pay restitution in the amount of \$3,314,610.53.

III.

In view of the foregoing, the Commission finds that Roth has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rule of Practice.

Accordingly, it is hereby ORDERED, that Anthony G. Roth is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rule of Practice.

By the Commission.

Vanessa A. Countryman
Secretary