IM Information Update

JANUARY 2017 | IM-INFO-2017-01

UPDATES TO FORM PF FREQUENTLY ASKED QUESTIONS

The Division of Investment Management staff updated the Form PF FAQs to provide additional guidance regarding general filing requirements and specific questions of the form, some of which relate to amendments to the form made pursuant to the 2014 rulemaking *Money Market Fund Reform; Amendments to Form PF*, Investment Advisers Act Release No. 3979 (July 23, 2014). The staff added FAQs relating to the following sections and questions:

- Section A: General Filing Information
- Question 3
- Question 32
- Question 47
- Question 63

The staff updated FAQs relating to the following sections and questions:

- Question 20
- Question 35
- Question 49
- Question 50
- Question 61
- Question 62

The staff withdrew FAQs relating to the following sections and questions:

- Question 56
- Question 57

The updated Form PF FAQs may be found here.

If you have any questions about Form PF, please contact:
SEC Division of Investment Management
Investment Adviser Regulation Office
Email: FORMPF@sec.gov