

UPDATES TO FORM PF FREQUENTLY ASKED QUESTIONS

The Division of Investment Management staff updated two existing Form PF FAQs to provide additional guidance regarding general filing requirements and specific questions of the Form. The staff updated the following existing FAQs:

FAQ A.4

FAQ F.3

The updated Form PF FAQs may be found here:

<http://www.sec.gov/divisions/investment/pfrd/pfrdfaq.shtml>

The Investment Management Division works to:

- ▲ protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

If you have any questions about Form PF, please contact:

Investment Adviser Regulation Office

Email: FORMPF@sec.gov

