



Information Update

FEBRUARY 2014 | IM-INFO-2014-1

UPDATES TO FORM PF FREQUENTLY ASKED QUESTIONS

The Division of Investment Management staff updated the Form PF FAQs to provide additional guidance regarding general filing requirements and specific questions of the Form. The staff added FAQs relating to the following sections and questions:

- ▲ Section D.1: Private Equity Funds
- ▲ Section E.2: Aggregation
- ▲ Section F.5: Fund of Funds and Investments in Other Private Funds
- ▲ Question 12.3
- ▲ Question 13.2
- ▲ Question 14.2
- ▲ Question 14.3
- ▲ Question 20.1
- ▲ Question 26.5
- ▲ Question 46.2

The updated Form PF FAQs may be found here: <http://www.sec.gov/divisions/investment/pfrd/pfrdfaq.shtml>

The Investment Management Division works to:

- ▲ protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

If you have any questions about Form PF, please contact:

Investment Adviser Regulation Office

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US Securities and Exchange Commission

Division of Investment Management