

Introduction

FinTron Invest LLC (hereinafter, "we", "us" or "our") is registered with the [Securities and Exchange Commission](#) ("SEC") as a broker-dealer and is a member of the [Financial Industry Regulatory Authority](#) ("FINRA") and the [Securities Investor Protection Corporation](#) ("SIPC"). Brokerage and investment advisory services and fees differ. It is important that you understand the differences. Free and simple tools are available for you to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer app-based brokerage services allowing retail investors (hereinafter, "you" or "customers") to purchase and sell certain NYSE and NASDAQ-listed stocks, mutual funds, and exchange-traded funds ("ETFs") through the FinTron mobile application (the "App"). The App allows you to open an account in your name and trade fractional shares of many of the securities offered. We are an introducing broker-dealer, which means your funds and securities will be custodied by our designated clearing firm, Apex Clearing Corporation ("Apex"). Apex is responsible for servicing your account by executing, clearing, and settling your trades, preparing and distributing account statements, trade confirmations, distributing voting materials, and facilitating corporate actions.

Our brokerage services are self-directed, which means that we execute trades only upon your instruction and have no discretion over your account activity. We do not make recommendations regarding securities transactions or investment strategies involving securities. We do not monitor your account or the trade instructions you submit for suitability. We offer access to investment tools and education to help you make investment decisions, but these tools are provided for informational and educational purposes only. It is your responsibility to select assets that satisfy your individual needs. FinTron Invest does not employ any human "brokers" or "advisors" to manage your account. We do not allow our employees to make recommendations, offer trading advice or otherwise manage your account. If you are unsure whether opening a FinTron Invest account is appropriate for you, please consult a financial professional.

FinTron Invest LLC does not offer listed options, derivatives, bonds, over the counter or other unregistered securities, or proprietary products. Account registration is limited to a single "individual" account per user, under their exclusive control, and solely for their benefit. We do not currently require customers to maintain a minimum account value and have a minimum transaction size of \$5 for buy orders and \$1 for sell orders.

There is a \$5 minimum for ACH transfers and a \$10 minimum for any scheduled repeat investment.

For additional information on our products and services, please visit our app or the FinTron website at fintroninvest.com. Responses to the questions in each Conversation Starter are available [here](#).

Conversation Starters	<ul style="list-style-type: none">• <i>Given my financial situation, should I choose a brokerage service? Why or why not?</i>• <i>What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?</i>
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What fees will I pay?

FinTron Invest does not charge commission fees for trades placed using our App. Customers may incur charges imposed by the designated custodian and other third parties. These include transfer fees, administrative fees and other fees and taxes on brokerage accounts and securities transactions. ETFs and mutual funds may also charge internal management fees, which are disclosed in the fund's prospectus. We do not receive these fees or any other compensation from ETF or mutual fund managers. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information regarding our fees and costs, please refer to our Fee Schedule posted on our [website](#)

Conversation Starter	<ul style="list-style-type: none"> • <i>Help me understand how these fees and costs might affect my investments?</i> • <i>If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me? What investment recommendations can you provide to me?</i>
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What are the legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not provide recommendations or investment advice. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.

- We make money on cash balances held in your account through a cash sweep program. We do not pay you interest on those balances. This program creates a conflict of interest for us because we have an incentive for you to hold cash in your account. For more information, please refer to the disclosures page on our website [here](#)

Conversation Starter	<ul style="list-style-type: none"> • <i>How might your conflicts of interest affect me, and how will you address them?</i>
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How do your financial professionals make money?

FinTron Invest receives compensation, revenue, and other benefits from your use of the services, including fees and charges for specific features. For example, we receive revenue from our clearing firm for participating in its cash sweep program. We therefore have an incentive to encourage you to increase the cash held in your FinTron Invest brokerage account. FinTron Invest addresses conflicts of interest through disclosure and periodic supervisory review.

Do you or your financial professionals have legal or disciplinary history?

Yes. Information about FinTron's disciplinary history can be found in FinTron Invest's BrokerCheck Report. FinTron professionals are available to provide account support through the firm's online support system. Information about a financial professional's disciplinary history may be found at Investor.gov/CRS and on FINRA's BrokerCheck website at <https://brokercheck.finra.org/>.

Additional Information

For additional information about our products and services, please visit www.fintroninvest.com or call (203) 557-4458. You can also request up-to-date information, send inquiries, and request a written copy of our Form CRS by contacting FinTron Invest [here](#).

Conversation Starters	<p>Who is my primary contact person? Is he or she a representative of a broker-dealer?</p> <p>Who can I talk to if I have concerns about how this person is treating me?</p>
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While your account is entirely self-directed and our platform is fully-integrated through our website and smartphone application, certain customer service representatives may be able to assist you. Investors can submit questions and concerns by opening a support ticket through the App, by emailing support@fintroninvest.com, or by visiting <https://fintroninvest.com/contact-us>.

Summary of latest changes to this form: Made language enhancements to the discussion about the availability and fees pertaining to mutual fund products.