

Additions underscored
Deletions [bracketed]

NYSE National, Inc.
Schedule of Fees and Rebates As Of July [2]9, 2018

Fees and Credits Applicable to Market Participants

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B. Tiered Rates

<u>Adding Tier 1*</u>	<u>Adding Tier 2</u>	<u>Adding Tier 3</u>	<u>Taking Tier*</u>
0.015% or More Adding ADV as a % of US CADV	<u>At least 5% of the NBBO** in 1,000 or more symbols on an average daily basis, calculated monthly</u>	<u>At least 5% of the NBBO** in 600 or more symbols on an average daily basis, calculated monthly</u>	At least 50,000 Adding ADV
\$0.0020 per share (adding displayed)	<u>\$0.0005 per share (adding displayed)</u>	<u>\$0.0012 per share (adding displayed)</u>	(\$0.0020) per share
\$0.0018 per share (BBO Setting))	<u>\$0.0005 per share (BBO Setting)</u>	<u>\$0.0012 per share (BBO Setting)</u>	
\$0.0022 (adding non-displayed)	<u>\$0.0007 per share (adding non- displayed)</u>	<u>\$0.0014 per share (adding non- displayed)</u>	
\$0.0005 per share (adding MPL)	<u>\$0.0005 per share, (adding MPL)</u>	<u>\$0.0005 per share, (adding MPL)</u>	(\$0.0002) per share (taking MPL)

* Adding Tier 1 and Taking Tier volume requirements are waived[until July 1, 2018].

** ETP Holders must maintain a bid or offer at the NBB or NBO for at least 5% of the trading day in round lots for that security to count toward the tier requirement. The terms “NBB,” “NBO,” “NBBO,” and “BBO” are defined in NYSE National Rule 1.1.

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IV. ETP Fee

No Charge.

V. CRD Fees for ETP Holders that are not FINRA Members

<u>Each initial Form U4 filed for the registration of a representative or principal</u>	<u>\$100</u>
<u>Additional processing of each initial or amended Form U4, Form U5 or Form BD that includes the initial reporting, amendment, or certification of one or more disclosure events or proceedings</u>	<u>\$110</u>
<u>Processing and posting to the CRD system each set of fingerprints submitted electronically to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of fingerprints</u>	<u>\$15</u>
<u>Processing and posting to the CRD system each set of fingerprint cards submitted in non-electronic format to FINRA, plus any other charge that may be imposed by the U.S. Department of Justice for processing each set of fingerprints</u>	<u>\$30</u>
<u>Processing and posting to the CRD system each set of fingerprint results and identifying information that have been processed through another self-regulatory organization and submitted to FINRA</u>	<u>\$30</u>
<u>System processing for each registered representative and principal</u>	<u>\$45 annually</u>

VI. Co-Location Fees

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