#### **EXHIBIT 5**

Additions <u>underscored</u> Deletions [bracketed]

### Rules of the NYSE MKT LLC

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**Trading of Option Contracts** 

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Section 900NY. Rules Principally Applicable to Trading of Option Contracts

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### Rule 900.3NY. Orders Defined

- (a) (e) No change.
- (f) Not Held Order. A Not Held Order is an order that provides a broker with discretion as to price or time in executing the order. A Not Held Order must be designated as such in the "Optional Data" field of the EOC. For orders excepted from EOC, pursuant to Rule 955NY(d)(1), a Not Held Order is marked "not held", "NH", "take time" or marked with some qualifying notation giving discretion as to the price or time at which such order is to be executed. The "not held" designation must appear in the "special instructions" portion of the order ticket. Orders that merely include a "not held" designation as part of the timestamp will not be deemed to be "not held" orders. An order entrusted to a Floor Broker will be considered a Not Held Order, unless otherwise specified by a Floor Broker's client.

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## Rule 933NY. Responsibilities of Floor Brokers

(a) - (f) No change.

Commentary:

.01 – .05 No Change.

.06 Pursuant to Rule 933NY(a), an order entrusted to a Floor Broker will be considered a Not Held Order as defined in Rule 900.3NY(f), unless otherwise specified by a Floor Broker's client.

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# Rule 936NY. Discretionary Transaction

(a) No Floor Broker shall execute or cause to be executed any transaction on this Exchange with respect to which transaction such Floor Broker is vested with discretion as to: (1) the choice of the class or series of options to be bought or sold; (2) the stated number of option contracts to be bought or sold; (3) the ability to increase the stated volume; or (4) whether any such transaction shall be one of purchase or sale. A Floor Broker may be vested with discretion as to the ability to decrease the stated volume of option contracts. Unless otherwise specified by a Floor Broker's client, an order entrusted to a Floor Broker will be considered a Not Held Order as defined in Rule 900.3NY(f).

(b) - (c) No Change.

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