

Additions underlined.
 Deletions [bracketed].

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: [November] December 1, 2012

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NYSE AMEX OPTIONS: TRADE-RELATED CHARGES

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Professional Customer and Broker Dealer Electronic ADV Tiers For Taking Liquidity ¹⁶	Rate Per Contract For All Electronic Professional Customer and Broker Dealer Volumes
0 to [50,000] <u>16,999</u>	\$. <u>[28]32</u>
[50,001] <u>17,000</u> to [100,000] <u>49,999</u>	\$.2 <u>[6]8</u>
Over [100,000] <u>49,999</u>	\$.23

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¹⁶ Professional Customers and Broker Dealers will be entitled to trade at lower per contract rates for all their electronic executions if they meet the specified range of average daily volume during the month when they take liquidity. A Professional Customer or Broker Dealer will be treated as a “taker” of liquidity any time they send a marketable order to the Exchange and it immediately trades against a posted bid or offer in the Exchange’s Consolidated Order Book. When a Professional Customer or Broker Dealer is resting a bid or offer in the Exchange’s Consolidated Order Book, it will be treated as a “maker” of liquidity and any volumes arising from making liquidity will not count towards the volume tier for the month. Volumes arising from making liquidity will be eligible for the lower per contract rate(s) if sufficient taking liquidity average daily volume is executed. Average daily volume will be calculated by using the total of taking liquidity volume divided by the number of days in the month when the Exchange was open for business. Volumes arising from the execution of either Complex Orders or QCC orders will not count towards the calculation of average daily volume for purposes of the volume tiers. Complex Order volumes from electronic executions will be eligible for the reduced rates that a participant may achieve based on their take volumes. QCC orders will continue to be billed at the \$.20 per contract rate applicable to Non-Customers.

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