

EXHIBIT 5

Additions underscored

Deletions [bracketed]

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

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NYSE AMEX OPTIONS: TRADE-RELATED CHARGES

TRANSACTION FEE/CREDIT - PER CONTRACT

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NYSE Amex Options Market Maker – Directed with 50,000 or more contracts ADV each day in a month ⁵	\$0.15
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<u>NYSE Amex Options Directed Market Maker, NYSE Amex Options Non Directed Market Maker, Specialist or eSpecialist – Electronic Complex Order Executions in SPY options only⁵</u>	<u>\$0.10</u>
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Firm Facilitation ⁶	\$0.00
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<u>Customer Electronic Complex Order ADV Tiers</u>	<u>Rebate Per Contract For All Customer Electronic Complex Orders (retroactive to the first contract traded during the month)</u>
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<u>35,000 to 49,999</u>	<u>\$0.04</u>
<u>50,000 to 69,999</u>	<u>\$0.06</u>
<u>70,000 to 109,999</u>	<u>\$0.08</u>
<u>110,000 and greater</u>	<u>\$0.10</u>

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⁵ Specialist, eSpecialist, and Market Maker (both Directed and non-Directed) fees will be aggregated and capped at \$350,000 per month plus an incremental service fee of \$.01 per contract for all Specialist, eSpecialist and Market Maker volume executed in excess of 3,500,000 contracts per month, except for the execution of [an Electronic Complex Order, or the execution of] a QCC order against a non-Customer, in which case the incremental service fee is \$.05, or the execution of either a QCC order against a Customer or the execution of an Electronic Complex Order, in which case the incremental service fee is \$.10. Any fees or volume associated with a Strategy Trade (reversals and conversions, dividend spreads, box spreads, short stock interest spreads, merger spreads, and jelly rolls) will not be counted towards either the \$350,000 cap, or the volume threshold of 3,500,000 contracts. Royalty Fees will continue to be charged and do not count toward the \$350,000 fee cap. Specialist, eSpecialist, and Market Maker (both Directed and non-Directed) participants that execute 50,000 or more contracts ADV each day during the month will be eligible for the lower per contract rate described in the fee schedule

under the section on “NYSE Amex Options: Trade-Related Charges.” In calculating this threshold of 50,000 or more contracts, the Exchange will exclude both Strategy Trades and QCC trades.

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