

Additions underlined.
 Deletions [bracketed].

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: [July 16] August 1, 2012

NYSE AMEX OPTIONS GENERAL OPTIONS and TRADING PERMIT (ATP) FEES

ATP TRADING PARTICIPANT RIGHTS

<p>NYSE Amex Options Market Makers</p>	<p><u>1st ATP = \$8,000 per month</u></p> <p><u>2nd ATP = \$6,000 per month</u></p> <p><u>3rd ATP = \$5,000 per month</u></p> <p><u>4th ATP = \$4,000 per month</u></p> <p><u>5th ATP = \$3,000 per month</u></p> <p><u>Additional ATPs = \$2,000 per month each</u></p> <p><u>The ATP fee is calculated using the maximum number of ATPs held by an ATP Holder during a calendar month.</u></p> <p><u>A Floor Market Maker that purchases no more than two ATPs per month may purchase them for \$5,000 each (“Floor Market Maker ATP Fee”) if the Floor Market Maker transacts at least 75% of its volume, excluding Qualified Contingent Cross and Strategy Executions, manually, by public outcry.</u></p> <p>[<u>\$5000 per month per ATP (based on the maximum number of ATPs held by an ATP Holder during a calendar month).</u>] Each ATP conveys the right to a certain number of issues</p>
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	<p>permitted in a Market Maker's electronic appointment as detailed below:</p> <p>(1) Market Makers with 1 ATP may have up to 100 option issues included in their appointment.</p> <p>(2) Market Makers with 2 ATPs may have up to 250 option issues included in their appointment.</p> <p>(3) Market Makers with 3 ATPs may have up to 750 option issues included in their appointment.</p> <p>(4) Market Makers with 4 ATPs may have all option issues traded on the Exchange included in their appointment.</p>
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Specialist / e-Specialist/ DOMM Rights Fee¹

*This tier only applies to options listed on or after June 1, 2012. Options listed before June 1, 2012 are "grandfathered" and as such subject to a Monthly Base Rate per issue of \$75 if they fall into the 0 to 200 contract volume tier

Average National Daily Customer Contracts Per Issue	Monthly Base Rate Per Issue
0 to 200*	\$250
201 to 2,000	\$75
2,001 to 5,000	\$200
5,001 to 15,000	\$375
15,001 to 100,000	\$750
Over 100,000	\$1,500

<p><u>Premium Product Issues List - Monthly NYSE Amex Options Market Maker Participation Fee</u></p> <p><u>SPY, AAPL, IWM, QQQ, BAC, EEM, GLD, JPM, XLF, VXX</u></p>	<p><u>\$1,000 per month per Premium Product traded, capped at \$7,000 per month per NYSE Amex Options Market Maker, except that a Floor Market Maker that qualifies for the Floor Market Maker ATP Fee shall not be required to pay such Participation Fee</u></p>
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