

Additions: Underlined
Deletions: [Bracketed]

Rules of NYSE Chicago, Inc.

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RULE 7 EQUITIES TRADING

Section 1. General Provisions

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Rule 7.19. Pre-Trade Risk Controls

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(b) *Pre-Trade Risk Controls available to Entering Firms*

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(2) “Single Order Risk Controls,” which include:

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(E) controls to prohibit duplicative orders; [and]

(F) controls related to the size of an order as compared to the average daily volume of the security (including the ability to specify the minimum average daily volume for the securities for which such controls will be activated)[.]; and

(G) controls to restrict the overall rate of orders.

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