

Additions underlined
Deletions [bracketed]

Rules of NYSE Chicago, Inc.

ARTICLE 6. Registration, Supervision and Training

Rule 13. Registration Requirements

••• *Interpretations and Policies:*

.01 - .09 No Change.

.10 Temporary Extension of the Limited Period for Registered Persons to Function as Principals. Any person who was designated to function as a principal under paragraph .03 under the Interpretations and Policies of this Rule prior to [January 1, 2021] March 3, 2021, may continue to function as a principal without having successfully passed an appropriate qualification examination until [April 30, 2021] June 30, 2021.
