EXHIBIT 5

Additions <u>underlined</u>
Deletions [bracketed]

Rules of NYSE Chicago, Inc.

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ARTICLE 21 Clearance and Settlement

Rule 1. - 5. No Change

Rule 6. Submission of Clearing Information for Transactions Executed Off-Exchange

(a) *Substitution of Participants in Off-Exchange Transactions.*

(1) An Institutional Broker registered with the Exchange and acting as an authorized agent of a Clearing Participant may enter a non-tape, clearing-only submission into the Exchange's systems for trades executed otherwise than on the Exchange for the purpose of transferring securities from one Clearing Participant to another, provided that the transfer does not constitute a transaction in securities that is otherwise subject to trade reporting that has not, in fact, been previously and separately reported as a transaction. The Exchange shall make such submissions to a Qualified Clearing Agency. Each such Institutional Broker must be party to an agreement with the Clearing Participant in which name the submissions are made under which the Institutional Broker has received authorization from the Clearing Participant to act on its behalf. Copies of these agreements shall be filed by the Institutional Broker with the Exchange.

(2) A Participant can only use a non-tape, clearing-only submission for a trade that has been reported in the market in which it was effected.

(3) An Institutional Broker must enter all non-tape, clearing-only submissions into the Exchange's systems pursuant to this subparagraph (a) for a given non-Exchange transaction within three (3) hours of the execution of such transaction.

(b) - (c) No Change.

• • • *Interpretation and Policies:*

.01 - .04 No Change

.05 For a temporary period that begins on April 20, 2020, and ends on the earlier of the

reopening of all the options trading floors or after the end of the day on [May 15] <u>June 30</u>, 2020, paragraph (a)(3) of this Rule is amended to provide that:

An Institutional Broker must enter all non-tape, clearing-only submissions into the Exchange's systems pursuant to subparagraph (a) for a given non-Exchange transaction by 8:00 p.m. ET of the day on which the execution of such transaction occurred.

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