

Additions: Underlined
Deletions: [Bracketed]

Rules of NYSE Chicago, Inc.

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Rule 1 DEFINITIONS

Rule 1.1. Definitions

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Exchange Traded Product and UTP Exchange Traded Product

(k) The term “Exchange Traded Product” means a security that meets the definition of “derivative securities product” in Rule 19b-4(e) under the Exchange Act and a “UTP Exchange Traded Product” means one of the following Exchange Traded Products that trades on the Exchange pursuant to unlisted trading privileges:

- Equity Linked Notes,
- Investment Company Units,
- Index-Linked Exchangeable Notes,
- Equity Gold Shares,
- Equity Index-Linked Securities,
- Commodity-Linked Securities,
- Currency-Linked Securities,
- Fixed-Income Index-Linked Securities,
- Futures-Linked Securities,
- Multifactor-Index-Linked Securities,
- Trust Certificates,
- Currency and Index Warrants,

- Portfolio Depository Receipts,
- Trust Issued Receipts,
- Commodity-Based Trust Shares,
- Currency Trust Shares,
- Commodity Index Trust Shares,
- Commodity Futures Trust Shares,
- Partnership Units,
- Paired Trust Shares,
- Trust Units,
- Managed Fund Shares,[and]
- Managed Trust Securities[.], and
- Managed Portfolio Shares.

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