

Proposed additions underlined  
 Proposed deletions [bracketed]

**FEE SCHEDULE OF NYSE CHICAGO, INC.**

Updated through December [2]11, 2019

**FEES, ASSESSMENTS, CREDITS AND REBATES**

**A. - C. No Change**

**D. Connection Charges**

**1. [Matching System] Port Charges – Logical Connections**

A port charge is a logical connection to the Exchange[Matching System]. One port charge is assessed for each Participant give-up that has access through any Participant connection to the Exchange[Matching System]. (A separate port charge is assessed for each main and back-up connection). Port charges are not assessed when Participants connect to the Exchange[Matching System] through Brokerplex. If a Participant Firm executes an average daily volume of 1 million or more provide shares in the Exchange[Matching System] during the month, the Exchange will impose a cap on port charges equal to the greatest number of ports attributable to that Participant Firm [in either of the Exchange’s data centers]. Activity on days when the Exchange closes early are not counted when calculating a Participant Firm’s average daily volume.

<b>Port charge</b>	\$400/month
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**E. Transaction and Order Processing Fees**

1. [Matching System e]Executions resulting from single-sided orders

<b>Trading Session</b>	<b>Tape</b>	<b>Security Price</b>	<b>Liquidity Providing Fee</b>	<b>Liquidity Removing Fee</b>	<b><u>Routing Fee</u></b>
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<b>All Trading Sessions</b>	<b>Tape A, Tape B and Tape C</b>	≥ \$1.00/share	\$0.0010/share	\$0.0010/share	<u>\$0.0030/share</u>
		< \$1.00/share	0.10% of trade value	0.10% of trade value	<u>0.30% of trade value</u>

These fees are charged to the Participant that submits the order to the Exchange[Matching System], with the following exception in connection with transactions that are subject to the agency fees set out in Section E.3(a) below:

- (a) The liquidity removing and liquidity providing fee shall not be charged to any Institutional Broker.

"Trade value" means a dollar amount equal to the price per share multiplied by the number of shares executed.

**2. [Matching System e]Executions resulting from two-sided orders (cross orders) of any number shares (all trading sessions)**

<b>All securities</b>	No charge
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No fees apply to cross orders executed [in the Matching System]on the Exchange and which are not submitted by an Institutional Broker which is registered under Article 17 of the Exchange’s rules.

**3. [Matching System e]Executions resulting from orders submitted by Institutional Brokers registered with the Exchange under Article 17 (all trading sessions)**

The following fees shall apply:

- (a) Agency executions:

<b>All securities priced \$1.00/share or more</b>	\$0.0030/share fee, up to a maximum of \$75 per Clearing Side, as defined under Section E.3(a)(3).
<b>All securities priced less than \$1.00/share</b>	No charge

- (1) Section E.3(a) shall apply to all executions within the [Matching System]Exchange resulting from single-sided or cross orders

submitted as at least a Round Lot by Institutional Brokers as agent only (“Section E.3(a) executions”).

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