

Additions underscored  
 Deletions [bracketed]

**NYSE Arca Options Fees and Charges**

**Effective Date: July [16]30, 2020**

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**NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES**

For June, [and] July, and August 2020 only, the Exchange will waive certain fees for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”). The fees waived for Qualifying Firms include those associated with Floor Booths, Market Maker Podia, Options Floor Access, Wire Services and ISP Connection, as indicated below.

FLOOR BOOTHS

Waived for Qualifying Firms [in June and July]for June through August 2020  
 \$80 per month, per linear foot

MARKET MAKER PODIUM FEES\*

Waived for Qualifying Firms [in June and July]for June through August 2020

First Podium: \$200 per month  
 Second Podium: \$400 per month  
 Third Podium: \$800 per month  
 Fourth Podium: \$1600 per month

Each podium comes equipped with four (4) standard monitors

Surcharge(s): \$100 per month for two (2) additional monitors per standalone podium

Additional, one-time surcharges, for monitor upgrades:

\$200 per monitor for large monitor  
 \$300 per extra-large monitor

\* Limits: Podia are only available to Market Makers with an active OTP (i.e., Market Makers that have only a Reserve OTP are ineligible for podia). Each Market Maker on



ISP CONNECTION FEE

Waived for Qualifying Firms [in June and July]for June through August 2020

\$150 per month per connection, for either data or VOIP, capped at \$750 per month

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**FLOOR BROKER FIXED COST PREPAYMENT INCENTIVE PROGRAM (the “FB Prepay Program”)**

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To participate in the FB Prepay Program, Floor Broker organizations must notify the Exchange in writing by emailing [optionsbilling@nyse.com](mailto:optionsbilling@nyse.com), indicating a commitment to submit prepayment for the following calendar year, by no later than the last business day of December in the current year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and, except as provided for below, represents a binding commitment through the end of the following calendar year. Payment must be received in full by the close of business on the last business day in January. A Floor Broker organization that commits to the Program will be invoiced in January for Eligible Fixed Costs, based on annualizing their Eligible Fixed Costs incurred in the previous November. The Exchange will not issue any refunds in the event that a Floor Broker organization’s prepaid Eligible Fixed Costs exceeds such actual costs, except that the Exchange will refund certain of the prepaid Eligible Fixed costs that were waived for June [and July]through August 2020 for Qualifying Firms as defined, and set forth in, NYSE Arca OPTIONS: FLOOR and EQUIPMENT and CO-LOCATION FEES. Participating Floor Broker organizations that qualify for the Percentage Growth Incentive will receive their rebate in the following January.

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