

Text of Proposed Rule Change
 New text is underscored; deleted text is in [brackets]

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE Amex, LLC

Last Updated: [December 13, 2010] May 11, 2011

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NYSE AMEX OPTIONS: TRADE-RELATED CHARGES

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ELECTRONIC COMPLEX
 ORDER EXECUTIONS¹⁴

Customer (excluding Professional Customer): \$0.00

All Others:

Complex Order to Complex Order, \$0.05 per contract side

Complex Order to Complex Order, \$0.05 per contract side
 same firm representing both sides

Complex Orders executed against individual orders in the Consolidated Book will be subject to Standard Execution rate per contract.

[Standard Marketing Charges are being temporarily waived for electronic complex order executions effective July 7, 2010, until Directed Order functionality is enabled for orders executed in the Complex Matching Engine.]

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MARKETING CHARGE¹⁴ \$0.65 per contract side on transactions in non Penny Pilot issues

where market makers trade against electronic customer orders.

\$0.25 per contract side on transactions in Penny Pilot issues where market makers trade against electronic customer orders.

[Marketing charges are being temporarily waived for electronic complex order executions effective July 6, 2010, until Directed Order functionality is enabled for orders executed in the Complex Matching Engine.]

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⁹ Specialist, e-Specialist, and Market Maker (both Directed and non-Directed) fees will be aggregated and capped at \$250,000 per month plus an incremental rate of \$.01 per contract for all Specialist, e-Specialist and Market Maker volume executed in excess of 2,500,000 contracts per month. Any fees or volume associated with a Strategy Trade (reversals and conversions, dividend spreads, box spreads, short stock interest spreads, merger spreads, and jelly rolls) will not be counted towards either the \$250,000 cap, or the volume threshold of 2,500,000 contracts. Royalty Fees will continue to be charged and do not count toward the \$250,000 fee cap. Complex Order fees will count toward both the \$250,000 and the 2,500,000 thresholds but will not themselves be capped.

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