#### Exhibit 5

### Text of Proposed Rule Change New text is underscored; deleted text is in [brackets]

#### **NYSE Amex Equities Price List 2010**

\* \* \* \* \*

### **Credits Applicable to Supplemental Liquidity Providers**

\$0.0015
\$0.0020
\$0.0005

# **Equity Transaction Fees and Credits For Nasdaq Securities Traded Pursuant to Unlisted Trading Privileges**

## Fees and Credits applicable to Market Participants for Transactions in Nasdaq Securities<sup>7</sup>

	Share Price \$1.00 or more	Share Price below \$1.00
Equity per Share Credit - per transaction - when adding liquidity, including displayed and non-displayed orders	\$0.00[19] <u>30</u>	No Charge
		0.10% of total dollar value of the transaction

Does not apply to transactions by a Designated Market Maker or Supplemental Liquidity Provider for its own account (see separate fee schedule herein).

		(Floor brokers only)
Agency cross trades (i.e., a trade where a Member Organization has customer orders to buy and sell an equivalent amount of the same security)	No Charge	No Charge
Non-electronic agency transactions between floor brokers in the crowd	No Charge	No Charge
Equity per Share Charge <sup>8</sup> – per transaction – for all Discretionary e-Quotes and verbal agency interest by floor brokers	\$0.0005	0.20% of total dollar value of the transaction
Equity per Share Charge <sup>8</sup> for all other transactions (i.e., when taking liquidity from the Exchange) - per transaction	\$0.00[1] <u>2</u> 3	0.20% of total dollar value of the transaction
Routing Fee – per share <sup>9</sup>	\$0.0029	0.29% of total dollar value of the transaction
Equity per Share Credit – per transaction for displayed liquidity – when adding liquidity in orders that originally display a minimum of 5,000 shares with a trading price of at least \$5.00 per share, for as long as the order is not cancelled in an amount that would reduce the original displayed amount below 5,000	<u>\$0.0036</u>	Not Applicable
shares		

# Fees and Credits applicable to Designated Market Makers (DMMs) for Transactions in Nasdaq Securities

	Share Price \$1.00 or more	Share Price below \$1.00
Equity per Share Credit <sup>10</sup> - per transaction - when		

Includes shares, rights, warrants and closed end funds.

Applies to all orders routed from NYSE Amex and executed in another market. Routing Fees are in lieu of NYSE Amex transaction charges.

adding liquidity	\$0.00[2] <u>3</u> 1	0.20% of total dollar value of the transaction
Equity per Share Charge <sup>8</sup> for all other transactions (i.e., when taking liquidity from the Exchange) - per transaction	\$0.00[1] <u>2</u> 3	0.20% of total dollar value of the transaction
Routing Fee – per share <sup>9</sup>	\$0.0029	0.29% of total dollar value of the transaction
Equity per Share Credit – per transaction for the displayed portion of s-Quotes – when adding liquidity in s-Quotes that display 5,000 shares or more at the time of execution with a trading price of at least \$5.00 per share	<u>\$0.0036</u>	Not Applicable

# $\label{lem:conditional} \textbf{Fees and Credits applicable to Supplemental Liquidity Providers (SLPs) for Transactions in Nasdaq Securities}$

	Share Price \$1.00 or more	Share Price below \$1.00
Equity per Share Credit - per transaction - when adding liquidity, if SLP meets quoting requirement pursuant to Rule 107B.	\$0.00[2] <u>3</u> 1	No Charge
Equity per Share Credit - per transaction - when adding liquidity, if SLP does not meet quoting requirement pursuant to Rule 107B	\$0.00[19] <u>30</u>	No Charge
Equity per Share Charge <sup>8</sup> for all other transactions (i.e., when taking liquidity from the Exchange) - per transaction	\$0.00[1] <u>2</u> 3	0.20% of total dollar value of the transaction

Rebates will be applied when (i) posting displayed and non-displayed orders on Display Book, including s-quote and s-quote reserve orders; (ii) when providing liquidity on non-displayed interest using the Capital Commitment Schedule; and (iii) when executing trades in the crowd.

Equity per Share Credit – per transaction for displayed liquidity – when adding liquidity in orders that originally display a minimum of 5,000 shares with a trading price of at least \$5.00 per share, for as long as the order is not cancelled in an amount that would reduce the original displayed

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### **Equipment Fees**

Schedule of Annual Charges, unless otherwise noted

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### Merged Order Report

Charge per copy (other than first copy):	
Machine Readable Output and Print Image Transmission	\$3.00 per 1,000 records
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Hard Copy	4.50 per 1,000 lines

#### Port Fee (ports available for equity trading)

1 – 5 pairs	
More than 5 pairs	\$500 per month for each additional 5 pairs

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