New text is underlined;
Deleted text is in [brackets]

NYSE American Rules

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Rule 971.2NYP. Complex Electronic Cross Transactions

(a) *Complex CUBE Order*. A Complex CUBE Order is a Complex Order, as defined in Rule 900.3NYP(f), submitted electronically by an ATP Holder ("Initiating Participant") into the Complex Customer Best Execution Auction ("Complex CUBE Auction" or "Auction") that the Initiating Participant represents as agent on behalf of a public customer, broker dealer, or any other entity.

(1) The Initiating Participant guarantees the execution of the Complex CUBE Order by submitting a contra-side order ("Complex Contra Order") representing principal interest or non-Customer interest it has solicited to trade solely with the Complex CUBE Order at a specified "stop price" or an "auto-match limit price" as described in paragraphs (b)(1)(A) and (b)(1)(B) of this Rule. The stop price and auto-match limit price are not displayed.

(A) *Definitions*. The following are definitions for purposes of this Rule.

(i) The "Complex BBO" means the best-priced complex order(s) in the same complex strategy to buy (sell). The Complex BB cannot exceed the DBO and the Complex BO cannot exceed the DBB.

(ii) The "CUBE BBO" means the CUBE BB and the CUBE BO.

(a) The CUBE BB for a Complex CUBE Order to buy is comprised of the higher of: the Complex BB or the Complex BB plus one cent ($0.01) if there is a Customer Complex Order on the Complex BB; or the DBB or the DBB plus one cent ($0.01) if there is displayed Customer interest on the Exchange BBO and the DBB is calculated using the price of that displayed Customer interest[Exchange BBO].

(b) The CUBE BO for a Complex CUBE Order to sell is comprised of the lower of: the Complex BO or the Complex BO minus one cent ($0.01) if there is a Customer Complex Order on the Complex BO; or the DBO or the DBO minus one cent ($0.01) if there is displayed Customer interest on the Exchange BBO and the DBO is calculated using the price of that displayed Customer interest[Exchange BBO].

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