

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of December [1] 9, 2022

Section I. Options Transaction Fees and Credits⁷

A. Rates for Options transactions. The following transaction fees apply to executions in Option contracts.

Participant	Penny/Non-Penny	Rate Per Contract For Electronic Transactions	Marketing Charges Per Contract for Electronic Transactions ³	Rate Per Contract Manual Transactions
Broker-Dealer ^{1,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Customer	Penny	\$0.00	N/A	\$0.00
	Non-Penny	\$0.00	N/A	\$0.00
DOMM ^{1,2,3,5}	Penny	\$0.25	\$0.25	N/A
	Non-Penny	\$0.25	\$0.70	N/A
e-Specialist ^{1,2,3,5}	Penny	\$0.25	\$0.25	\$0.30
	Non-Penny	\$0.25	\$0.70	\$0.30
Firm ^{1,4,5}	Penny	\$0.49	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Firm Facilitation ¹	Penny	N/A	N/A	\$0.00
	Non-Penny	N/A	N/A	\$0.00

NYSE American Options Market Maker ^{1,2,3,5}	Penny	\$0.25	\$0.25	\$0.35
	Non-Penny	\$0.25	\$0.70	\$0.35
Non-NYSE American Options Market Maker ^{1,2,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Professional Customer ^{1,5,8}	Penny	\$0.50	N/A	\$0.25
	Non-Penny	\$0.85	N/A	\$0.25
Specialist ^{1,2,3,5}	Penny	\$0.25	\$0.25	\$0.30
	Non-Penny	\$0.25	\$0.70	\$0.30

1. - 3. - No Change.

4. Firms are subject to a Monthly Firm Fee Cap of [\$100,000] \$150,000 for fees associated with Manual transactions as more fully described below in Section I. I.

I. Firm Monthly Fee Cap. The Monthly Firm Fee Cap for Manual transactions will aggregate the fees associated with Firm Manual transactions and cap them at [\$100,000] \$150,000 per month per Firm. Once a Firm has reached the Firm Monthly Fee Cap, an incremental service fee of \$0.01 per contract for Firm Manual transactions will apply, except for the execution of a QCC order, in which case there is no incremental service fee. Any fee or volume associated with a Strategy Execution described in Section I.J., (e.g., reversal and conversion, box spread, short stock interest spread, merger spread and jelly roll) will not be counted toward the [\$100,000] \$150,000 cap. Royalty Fees will continue to be charged at the rates described in Section I. K., and do not count toward the [\$100,000] \$150,000 fee cap. Firm Facilitation Manual trades will continue to be executed at the rate of \$0.00 per contract regardless of whether a Firm has reached the [\$100,000] \$150,000 cap or not. [The Monthly Firm Fee Cap will decrease as set forth in the table below if Firms achieve the tier level set forth in the ACE Program.

ACE Tier	Firm Fee Cap
Base or 1	\$100,000
2	\$85,000
3	\$75,000

4	\$70,000
5	\$65,000]
