Additions <u>underlined</u>. Deletions [bracketed].

Rules of NYSE American LLC

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Equities Rules

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RULE 7E - EQUITIES TRADING

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Rule 7.18E. Halts

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(d) Halts in Exchange Traded Products.

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(e) Initial Listing Regulatory Halt. The Exchange may declare a regulatory halt in a security that is the subject of an initial pricing on the Exchange of a security that has not been listed on a national securities exchange immediately prior to the initial pricing. This regulatory halt will be terminated when the security opens.

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