

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [August 24] September 1, 2022

Section I. Options Transaction Fees and Credits⁷

F. QCC Fees & Credits. The table below describes the per contract fees and credits applicable to volume executed as part of a QCC trade.

Participant	Per Contract Fee or Credit
Customer and Professional Customer	\$0.00
Non-Customer excluding Specialists and e-Specialists	\$0.20
Specialists and e-Specialists	\$0.13
Floor Brokers executing 300,000 or fewer contracts in a month ¹	[(<u>\$0.07</u>)] (<u>\$0.08</u>)
Floor Brokers executing more than 300,000 contracts in a month ¹	[(<u>\$0.10</u>)] (<u>\$0.11</u>)

¹ Floor Brokers will not receive a credit for QCC trades that have a Customer or Professional Customer, or both, on both sides of the trade. For example, a Floor Broker executing as a QCC trade an order from a Customer buying 1,000 ABC Dec 40 Calls and

an order from a Professional Customer selling 1,000 ABC Dec 40 Calls at \$2.00 will not be eligible for the Floor Broker credits. The Floor Broker credit will not apply to any QCC trades that are included in the Strategy Cap (per Section I.J.). The Floor Broker credit is paid only on volume within the applicable tier and is not retroactive to the first contract traded. The maximum Floor Broker credit paid shall not exceed \$525,000 per month per Floor Broker firm.

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

- D. NYSE American Options Market Maker Monthly Premium Product Fee.**¹ The Exchange assesses a monthly fee to any NYSE American Options Market Maker, other than a Market Maker that qualifies as an NYSE American Options Floor Market Maker as described in note 1 to Section III.A., transacting in any Premium Products in the Table below during any given month. The maximum monthly Premium Product Fees for any single NYSE American Options Market Maker firm is \$7,000 per month.

The Premium Product Fees are shown in the table below.

Premium Product Symbol	Monthly Premium Product Fee
SPY	\$1,000
AAPL	\$1,000
IWM	\$1,000
QQQ	\$1,000

BABA	\$1,000
BAC	\$1,000
EEM	\$1,000
[FB] <u>META</u>	\$1,000
USO	\$1,000
VXX	\$1,000

- ¹. An ATP Holder that is not currently an NYSE American Options Market Maker (“Market Maker”) and enrolls to operate as a Market Maker on the Exchange may be entitled to introductory pricing on its Premium Products Fees for up to six months, beginning the first month in which it registers (each a “Newly Enrolled MM”). For the first three months (i.e., months 1-3), the Exchange will waive the Premium Product Fees for a Newly Enrolled MM. For latter three months (i.e., months 4-6), the Exchange will discount such Premium Product Fees by 50%, unless the Newly Enrolled MM achieves a monthly ADV equal to at least 0.05% of TCADV, at which time the Exchange would charge the Newly Enrolled MM 100% of its Premium Product Fees for the remaining months, regardless of the Newly Enrolled MM’s monthly ADV in subsequent months. An ATP Holder may qualify for this introductory pricing only once in a 24-month period, which period begins in the first month the ATP Holder registers on the Exchange.
