

Additions underscored

Deletions [bracketed]

**NYSE American Equities
Price List**

2022

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Last Updated: [May 25]June 1, 2022

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I. Transaction Fees (other than for Transactions by an eDMM in Securities Assigned to an eDMM)

A. Transaction Fees and Credits

1. Securities at or above \$1

a. Standard Rates

<u>Fees and Credits per Share</u>							
	Minimum Adding ADV Requirement (shares)	Adding Liquidity -Displayed	Setting New NYSE American BBO	MPL Adding Liquidity	Adding Liquidity - Non-displayed	Removing Liquidity	Executions at Open and Close
Tier 1	No change	No change	No change	No change	No change	No change	No change
Tier 2	No change	No change	No change	No change	No change	[\$ 0.0027] \$0.0028	No change
Non-Tier	No change	No change	No change	No change	No change	No change	No change

b. Retail Order Rates*

Adding Liquidity

MPL Order Removing Liquidity

Executions at the Open†

[(\$0.0030)] (\$0.0032)

No change

No change

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II. Fees and Credits Applicable to eDMMs on Transactions in Securities Assigned to an eDMM

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A. Transaction Fees and Credits

Category	Adding Liquidity	Removing Liquidity	Executions at Open and Close
Securities at or above \$1.00	(\$0.0045) per share (displayed), or [(\$0.0030)] <u>(\$0.0020)</u> per share if electing the optional credit per security in section B below. (\$0.0020) per share (non-displayed)	No change	No change

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B. Monthly Credits

Requirement	Monthly Credit
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eDMMs may elect to receive an additional monthly credit per security (“Credit Per Security”) across all eDMM assigned securities, provided that the eDMM agrees to a lower transaction credit for adding displayed liquidity of [\$0.0030] \$0.0020 for all assigned securities. An eDMM that elects the Credit Per Security must notify the Exchange prior to the start of a month if the eDMM elects to change their credit

either to or from the Credit Per Security. The Credit Per Security will be available for the following month for assigned securities that meet the following quoting requirements:

	Minimum Average Time eDMM Quotes at the NBBO per Security		
	25%	40%	50%
Monthly Credit per Security	\$100	[\$250] <u>\$350</u>	[\$550] <u>\$850</u>

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