

Additions underscored
 Deletions [bracketed]

**NYSE American Equities
 Price List**

2021

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Last Updated: [June 18]August 2, 2021

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II. Fees and Credits Applicable to eDMMs on Transactions in Securities Assigned to an eDMM

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A. Transaction Fees and Credits

Category	Adding Liquidity	Removing Liquidity	Executions at Open and Close
Securities at or above \$1.00	(\$0.0045) per share (displayed), <u>or (\$0.0030) per share if electing the optional credit per security in section B below.</u>	\$0.0026 per share	No Charge
	(\$0.0020) per share (non-displayed)		
Securities below \$1.00	(.25% of total dollar value of the transaction) (displayed)	0.25% of total dollar value of the transaction	No Charge
	No Charge (non-displayed)		

B. Monthly Credits**Requirement****Monthly Credit**

In addition to current rate on transactions, and prorated to the number of trading days in a month that a security is assigned to an eDMM, for each of that eDMM's securities whose CADV during the previous month is less than 50,000 shares per day and for which the eDMM quotes at the NBBO at least 25% of the time for that symbol during Core Trading Hours in that month.

\$100 per security

In addition to current rate on transactions and the above monthly credit, in a month that a security is assigned to an eDMM, for each of that eDMM's securities for which the eDMM quotes at the NBBO at least 25% of the time for that symbol during Core Trading Hours in that month up to a maximum of 20 symbols per month per eDMM.

\$500 per security

eDMMs may elect to receive an additional monthly credit per security ("Credit Per Security") across all eDMM assigned securities, provided that the eDMM agrees to a lower transaction credit for adding displayed liquidity of \$0.0030 for all assigned securities. An eDMM that elects the Credit Per Security must notify the Exchange prior to the start of a month if the eDMM elects to change their credit either to or from the Credit Per Security. The Credit Per Security will be available for the following month for assigned securities that meet the following quoting requirements:

	<u>Minimum Average Time eDMM Quotes at the NBBO per Security</u>		
	<u>25%</u>	<u>40%</u>	<u>50%</u>
<u>Monthly Credit per Security</u>	<u>\$100</u>	<u>\$250</u>	<u>\$550</u>

C. Market Data Revenue

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