Additions: <u>Underlined</u>
Deletions: [Bracketed]

Rules of NYSE American LLC

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Rule 7E EQUITIES TRADING

Section 1. General Provisions

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Rule 7.10E. Clearly Erroneous Executions

(e) *Review Procedures*.

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(1) *Determination by Officer*. Unless both parties [(or party, in the case of a Cross Order)] to the disputed transaction agree to withdraw the initial request for review, the transaction under dispute will be reviewed, and a determination will be rendered by the Officer. If the Officer determines that the transaction is not clearly erroneous, the Officer will decline to take any action in connection with the completed trade. In the event that the Officer determines that the transaction in dispute is clearly erroneous, the Officer will declare the transaction null and void. A determination will be made generally within 30 minutes of receipt of the complaint, but in no case later than the start of Core Trading on the following trading day. The parties will be promptly notified of the determination.

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Rule 7.11E. Limit Up—Limit Down Plan and Trading Pauses in Individual Securities Due to Extraordinary Market Volatility

(a) Limit Up-Limit Down Mechanism.

* * * * *

(5) Repricing and Cancellation of Orders.

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(E) <u>Reserved.</u> [Limit IOC Cross Orders with a cross price above (below) the Upper (Lower) Price Band will be rejected.]

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Rule 7.16E. Short Sales

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(f) **Short Sale Price Test Pursuant to Rule 201 of Regulation SHO**. The following provisions will apply to short sales subject to the provisions of Rule 201 of Regulation

SHO:

* * * * *

(5) **Re-pricing of Orders during Short Sale Period**. During the Short Sale Period, short sale orders will be handled by Exchange systems as follows:

* * * * *

(H) Reserved. [*Cross Orders* —Cross Orders with a cross price at or below the NBB will be rejected.]

* * * * *

Rule 7.18E. Halts

* * * * *

(c) The Exchange will process new and existing orders in securities listed on the Exchange during a halt, suspension or pause as follows:

* * * * *

(5) reject incoming Limit Orders designated IOC, Non-Displayed Limit Orders, MPL Orders, [Cross Orders,] Tracking Orders, and Pegged Orders; and

* * * * *

Section 3. Exchange Trading

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Rule 7.31E. Orders and Modifiers

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(g) Reserved. [Cross Orders. Two-sided orders with instructions to match the identified buy-side with the identified sell-side at a specified price (the "cross price").

(1) Limit IOC Cross Order. A Cross Order that must trade in full at its cross price, will not route, and will cancel at the time of order entry if the cross price is not between the BBO or would trade through the PBBO.]

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Rule 7.34E. Trading Sessions

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(c) Orders Permitted in Each Session.

(1) Early Trading Session.

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(B) Limit Orders designated IOC [and Cross Orders] are not eligible to participate

    in the Early Open Auction and will be rejected if entered before the Early Open Auction concludes.

    (C) Limit Orders designated IOC [and Cross Orders] entered before or during the Early Trading Session and designated for the Core Trading Session will be rejected if entered before the Auction Processing Period for the Core Open Auction.

<div align="center">* * * * *</div>

(2) Core Trading Session.

<div align="center">* * * * *</div>

    (C) Limit Orders designated IOC [and Cross Orders] entered before or during the Core Trading Session and designated for the Late Trading Session will be rejected if entered before the Auction Processing Period for the Closing Auction.

<div align="center">* * * * *</div>

Rule 7.35E. Auctions

<div align="center">* * * * *</div>

(f) IPO Auction. An IPO Auction will be conducted during the Core Trading Session on the first day of trading for any security, including an Exchange Traded Product, for which the Exchange is the primary listing market, excluding transfers. An IPO Auction will follow the processing rules of a Core Open Auction, provided that:

<div align="center">* * * * *</div>

(2) There will be no Auction Imbalance Freeze, Auction Collars, or restrictions on the cancellation of orders for an IPO Auction. Limit Orders designated IOC, Limit Non-Displayed Orders, MPL Orders, Tracking Orders, Market Pegged Orders, <u>and</u> Discretionary Pegged Orders[, and Cross Orders] will be rejected until after the Auction Processing Period for the IPO Auction has concluded.

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