

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of January 1, 2019

Section I. Options Transaction Fees and Credits⁷

7. Options on NYSE FANG+ Index (“FAANG”) transactions. The Exchange will charge \$0.35 per contract, per side for Non-Customer FAANG transactions, whether executed Manually or Electronically. The Exchange will not charge a fee for any FAANG transactions (i) on behalf of Customers or (ii) by NYSE American Options Market Makers, Specialists, e-Specialists or DOMMs [with an appointment in FAANG]. Marketing Charges will not be applied to FAANG transactions. Any volume in FAANG will be included in the calculations to qualify for any volume-based incentives currently being offered on the Exchange. The Exchange will provide a credit of \$5,000 to any firm that is an NYSE American Options Market Maker, Specialist, e-Specialist or DOMM that executes at least 500 total monthly contract sides that open a position on Exchange (“MM FAANG Credit”); provided, however, that if more than ten firms qualify for a MM FAANG Credit in a calendar month, the MM FAANG Credit for each qualifying firm will be a pro rata share of \$50,000.

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FAANG Rebate		
Tier	Floor Broker FAANG Executions	Rebate
1	From 200 to 999 contract sides	(\$1,000)
2	From 1,000 to 1,999 contract sides	(\$2,500)
3	2,000 to 19,999 contract sides	(\$5,000)
4	20,000 or more contract sides	(\$10,000)

A Floor Broker organization may qualify for the FAANG Rebate associated with the highest Tier achieved, as set forth in the table above. Eligibility for the FAANG Rebate is calculated based on the total monthly contract sides each Floor Broker organization executes on the Exchange.]
