

Additions underscored
Deletions [bracketed]

NYSE American Rules

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Rule 5.1E

(a) General Provisions and Unlisted Trading Privileges.

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(2) UTP Exchange Traded Product. Any UTP security that is a "new derivative securities product" as defined in Rule 19b-4(e) under the Exchange Act (a "UTP Exchange Traded Product") and traded pursuant to Rule 19b-4(e) under the Exchange Act will be subject to the additional following rules:

[(A) Form 19b-4(e). The Exchange will file with the Securities and Exchange Commission a Form 19b-4(e) with respect to each UTP Exchange Traded Product within five business days after commencement of trading.]

(A)[B] Information Circular. The Exchange will distribute an information circular prior to the commencement of trading in each such UTP Exchange Traded Product that generally includes the same information as is contained in the information circular provided by the listing exchange, including (a) the special risks of trading the new Exchange Traded Product, (b) the Exchange Rules that will apply to the new Exchange Traded Product, including Rules 2090-Equities and 2111-Equities, and (c) information about the dissemination of value of the underlying assets or indices.

(B)[C] Product Description.

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(C)[D] Trading Halts. If a temporary interruption occurs in the calculation or wide dissemination of the intraday indicative value (or similar value) or the value of the underlying index or instrument and the listing market halts trading in the product, the Exchange, upon notification by the listing market of such halt due to such temporary interruption, also will immediately halt trading in that product on the Exchange. If the intraday indicative value (or similar value) or the value of the underlying index or instrument continues not to be calculated or widely

available as of the commencement of trading on the Exchange on the next business day, the Exchange will not commence trading of the product that day. If an interruption in the calculation or wide dissemination of the intraday indicative value (or similar value) or the value of the underlying index or instrument continues, the Exchange may resume trading in the product only if calculation and wide dissemination of the intraday indicative value (or similar value) or the value of the underlying index or instrument resumes or trading in such series resumes in the listing market. The Exchange also will halt trading in a UTP Exchange Traded Product listed on the Exchange for which a net asset value (and in the case of managed fund shares or actively managed Exchange-Traded Funds, a "disclosed portfolio") is disseminated if the Exchange becomes aware that the net asset value or, if applicable, the disclosed portfolio is not being disseminated to all market participants at the same time. The Exchange will maintain the trading halt until such time as the Exchange becomes aware that the net asset value and, if applicable, the disclosed portfolio is available to all market participants. Nothing in this rule will limit the power of the Exchange under the Rules or procedures of the Exchange with respect to the Exchange's ability to suspend trading in any securities if such suspension is necessary for the protection of investors or in the public interest.

(D[E]) Market Maker Restrictions. The following restrictions will apply to each ETP Holder acting as a registered Market Maker on the Exchange in a UTP Exchange Traded Product that derives its value from one or more currencies, commodities, or derivatives based on one or more currencies or commodities, or is based on a basket or index composed of currencies or commodities (collectively, "Reference Assets"):

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(E[F]) Surveillance. The Exchange will enter into comprehensive surveillance sharing agreements with markets that trade components of the index or portfolio on which the UTP Exchange Traded Product is based to the same extent as the listing exchange's rules require the listing exchange to enter into comprehensive surveillance sharing agreements with such markets.

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