

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of June [18]27, 2018

Section I. Options Transaction Fees and Credits⁸

- A. Rates for Standard Options transactions.**⁵ The following transaction fees apply to executions in Standard Option contracts.

⁷ Participants in the Prepayment Program (outlined in Section I.D.) will pay reduced rates for Manual transactions. For each contract transacted manually, NYSE American Options Market Makers will be charged \$0.23 and NYSE American Specialist/e-Specialists will be charged \$0.17.

⁸ Options on NYSE FANG+ Index (“FAANG”) transactions. The Exchange will charge \$0.35 per contract, per side for non-Customer FAANG transactions, whether executed Manually or Electronically. The Exchange will not charge a fee for any FAANG transactions (i) on behalf of Customers or (ii) by NYSE American Options Market Makers, Specialists, e-Specialists or DOMMs with an appointment in FAANG. Marketing Charges will not be applied to FAANG transactions. Any volume in FAANG will be included in the calculations to qualify for any volume-based incentives currently being offered on the Exchange.

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

C. e-Specialist, DOMM and Specialist Monthly Rights Fees.¹ Rights Fees will be allocated to Specialists, e-Specialists and DOMMs based on their prorated share of contract volume on the Exchange in each issue. This fee is in addition to the Market Maker Fee and will be billed on a per issue basis to the ATP Holder acting as Specialist, e-Specialist, or DOMM in the issue. Average National Daily Customer Contracts Per Issue is calculated based on public customer contracts traded using a rolling three month basis with a one month lag. Professional Customer orders will be treated as Customer orders for purposes of this calculation. Where the Specialist, the e-Specialist, or DOMMs transact zero volume in a month, the Exchange splits the Rights Fee equally among the Specialist and e-Specialist, such that each Specialist and e-Specialist participant is liable for 50% of the Rights Fee. In the event that there is only a Specialist or e-Specialist and there are no DOMM volumes, then that sole Specialist or e-Specialist incurs 100% of the Rights Fee applicable to the option issue. In calculating the Rights Fee, each Mini Option contract traded will count the same as one Standard Option contract that has traded. The Rights Fees are shown in the table below.

Average National Daily Customer Contracts Per Issue	Monthly Base Rate Per Issue
0 to 200	\$50
201 to 2,000	\$60
2,001 to 5,000	\$150
5,001 to 15,000	\$375
15,001 to 100,000	\$1,250
Over 100,000	\$2,000

¹. ByRDs and FAANG are exempt from the Rights Fees.
