

Additions underlined  
Deletions [bracketed]

Rules of NYSE American LLC

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**Equities Rules**

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**RULE 7E - EQUITIES TRADING**

*Section 1. General Provisions*

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**Rule 7.4E. Ex-Dividend or Ex-Right Dates**

Transactions in stocks, traded "regular" shall be "ex-dividend" or "ex-rights" as the case may be, on the [second ]business day preceding the record date fixed by the company or the date of the closing of transfer books, except when the Board of Directors rules otherwise. Should such record date or such closing of transfer books occur upon a day other than a business day this Rule shall apply for the [third ]second preceding business day.

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