

Additions underscored
Deletions [bracketed]

**New York Stock Exchange
Price List 2024**

Last Updated: September [6]13, 2024

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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[Step Up Tier 3 Adding Credit – Incremental Equity per Share Credit – per transaction – for all orders from a qualifying member organization market participant identifier ("MPID") or mnemonic that sets the NBBO or a new BBO if the MPID or mnemonic:

(i) has Adding ADV in Tapes A, B and C Securities as a percentage of Tapes A, B and C CADV, excluding any liquidity added by a DMM, that is at least 50% more than the MPID's or mnemonic's Adding ADV in Tapes A, B and C securities in June 2020 as a percentage of Tapes A, B and C CADV, and

(ii) is affiliated with an Supplemental Liquidity Provider that has an Adding ADV in Tape A securities at least 0.10% of NYSE CADV, and

(iii) has Adding ADV in Tape A securities as a percentage of NYSE CADV, excluding any liquidity added by a DMM, that is at least 0.20%.

The incremental credit does not count toward the combined limit on SLP credits of \$0.0032 per share provided for in the SLP Adding Tiers.

For MPIDs or mnemonics of qualifying member organizations that are SLPs in a month where Tape A, Tape B and Tape C CADV combined equals or exceeds 13.0 billion shares per day for the billing month, CADV for that month will be subject to a cap of 13.0 billion shares per day for the billing month, and in a month where NYSE CADV equals or exceeds 5.5 billion shares per day for the billing month, NYSE CADV for that month will be subject to a cap of 5.5 billion shares per day for the billing month.]

[\$0.0006 incremental credit in Tapes A, B and C securities]

<p>Step Up Tier 5 Adding Credit – Incremental Equity per Share Credit – per transaction – for all orders that add liquidity to the NYSE, other than MPL and Non-Displayed Limit Orders, from a qualifying member organization’s [MPID]market participant identifier ("MPID") or mnemonic, if the member organization has Adding ADV, excluding any liquidity added by a DMM, that is at least 1.00% of Tape A CADV, and if the MPID or mnemonic has an Adding ADV as a percentage of Tape A CADV, excluding any liquidity added by a DMM, that is:</p>	<p>\$0.0001 incremental credit if an increase of at least 0.10% and less than 0.175%</p>
<p>(i) at least two times more than that MPID’s or mnemonic’s Adding ADV in January 2021 as a percentage of Tape A CADV, and</p>	<p>of Tape A CADV</p>
<p>(ii) at least 0.10% of Tape A CADV over that MPID’s or mnemonic’s Adding ADV in January 2021 as a percentage of Tape A CADV.</p>	<p>\$0.0002 incremental credit if an increase of at least 0.175% of Tape A CADV</p>

Credit Applicable to Supplemental Liquidity Providers (“SLPs”)

SLP Incremental Credit

<u>SLP Setter Tier</u>	<u>Minimum Requirements</u>		<u>Incremental Credit for SLP Adding Orders that Set the NBBO or BBO</u>	
	<u>SLP Adding ADV % Tape A CADV</u>	<u>SLP Adding ADV setting the NBBO or BBO % Tape A, B and C CADV combined</u>	<u>Tape A</u>	<u>Tape B and C</u>
<u>Tier 1</u>	<u>0.08%</u>	<u>0.35%</u>	<u>\$(0.0008)</u>	<u>\$(0.0006)</u>
<u>Tier 2</u>	<u>0.08%</u>	<u>N/A</u>	<u>\$(0.0007)</u>	<u>\$(0.0006)</u>

The above incremental credit does not count toward the combined limit on SLP credits of \$0.0032 per share provided for in the SLP Adding Tiers.

Other Credits

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General

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- In a month where NYSE CADV equals or exceeds 5.5 billion shares per day for the billing month, NYSE CADV for that month will be subject to a cap of 5.5 billion shares per day for the billing month. In a month where Tape B and Tape C CADV combined equals or exceeds 6.0 billion shares per day for the billing month, Tape B and Tape C CADV combined for that month will be subject to a cap of 6.0 billion shares per day for the billing month. In a month where Tape A, Tape B and Tape C CADV combined equals or exceeds 13.0 billion shares per day for the billing month, CADV for that month will be subject to a cap of 13.0 billion shares per day for the billing month.
- SLPs becoming DMMs after the beginning of a billing month would not be eligible until the next full billing month.

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