

Additions underscored
 Deletions [bracketed]

**New York Stock Exchange
 Price List 2024**

Last Updated: [June 3]July 26, 2024

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

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Executions at the Close Equity Per Share Charge – per transaction (both sides) –

Closing Offset (“CO”) Orders	No Charge
<p>MOC/LOC Tier 1:</p> <p>For all MOC and LOC orders from any member organization in the prior three billing months executing an ADV⁴ of MOC activity on the NYSE of at least 0.45% of NYSE [(Tape A)] CADV, <u>and an</u> ADV⁴ of the member organization’s total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.7% of NYSE CADV[, and whose MOC activity comprised at least 35% of the member organization’s total close activity (MOC/LOC and other executions at the close)].</p>	<p>MOC Orders: \$0.0007</p> <p>LOC Orders: \$0.0007</p>
<p>MOC/LOC Tier 2:</p> <p>For all MOC and LOC orders from any member organization in the prior three billing months executing an ADV⁴ of MOC activity on the NYSE of at least 0.35% of NYSE [(Tape A)] CADV, <u>and an</u> ADV⁴ of the member organization’s total close activity (MOC/LOC and other executions at the close) on the NYSE of at least 0.525% of NYSE [(Tape A)] CADV[, and whose MOC activity comprised at least 35% of the member organization’s total close activity (MOC/LOC and other executions at the close).]</p>	<p>MOC Orders: \$0.0008</p> <p>LOC Orders: \$0.0008</p>

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<p>Executions at the Close:</p> <p>For D Orders, Floor broker executions swept into the close, and executions at the close, excluding MOC Orders, LOC Orders and CO Orders.</p>	<p>[The first 750,000 ADV⁴ of the aggregate of executions at the close by a member organization.....No Charge⁹</p> <p>After the first 750,000 ADV of the aggregate of executions at the close by a member organization]</p> <ul style="list-style-type: none"> • D Orders last modified¹⁰ by the member organization earlier than <u>10[25] minutes before the scheduled close of trading (“Early D Orders”)</u>\$0.0003 • D Orders last modified¹⁰ from <u>10[25] minutes up to but not including 1[3] minute[s] before the scheduled close of trading (“Mid D Orders”)</u>.....\$0.0007 • D Orders last modified¹⁰ in the last <u>1[3] minute[s] before the scheduled close of trading (“Late D Orders”)</u> • <u>\$0.0011[8] (Firms [in MOC/LOC Tiers 1 and 2, both] with Adding ADV of at least 0.50% of Tape A CADV and total close activity of a least 1.75% of Tape A CADV [or MOC/LOC Tiers 1, 2 or 3 with Adding ADV of at least 1.05% of Tape A CADV])</u> • <u>[\$0.0009 (Firms in MOC/LOC Tiers 1, 2 and 3 with Adding ADV of at least 0.65% of Tape A CADV)]</u> • <u>\$0.0012[10] (All other firms)</u> • <u>For member organizations with an ADV of at least 10,000 shares entered and executed by its Floor broker, D Orders are free up to the following monthly ADV levels, with the above rates for all volume above the following ADV thresholds:</u> <ul style="list-style-type: none"> ○ <u>Early D Orders: Free up to the first 500,000 shares</u> ○ <u>Mid D Orders: Free up to the first 750,000 shares</u>
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	<ul style="list-style-type: none"> ○ <u>Late D Orders: Free up to the first 250,000 shares</u> ● Orders from continuous trading swept into the close.....\$0.0008
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<p>Credit per Share - for executions of orders, other than MPL orders, sent to the floor broker for representation on the NYSE when adding liquidity to the NYSE Display Book system unless the higher credits set forth immediately below apply</p>	<p>\$0.0019; or the Tier 1 Adding Credit or Tier 2 Adding Credit for qualifying member organizations</p>
<p><u>Floor Broker Incentive and Rebate Program</u></p> <p><u>Incremental Floor broker Credit per Share - for orders executed by a member organization's Floor broker in addition to the above fees and credits if the member organization has (1) an ADV of at least 10,000 shares entered and executed by its Floor broker, and (2) an ADV comprised of at least 50% Floor broker ADV of the member organization's total ADV, excluding routing.</u></p>	<p><u>Adding liquidity, other than MPL and Non-Displayed Limit Orders: \$0.0002</u></p> <p><u>Early D Orders, Mid D Orders and Late D Orders: \$0.000025</u></p>

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