

Additions underlined
Deletions [bracketed]

New York Stock Exchange

Price List 2020

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Last Updated: August 10[3], 2020

Transaction Fees*

Regular Session Trading¹

Transactions in stocks with a per share stock price of \$1.00 or more

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Equipment Fees

Schedule of Annual Charges, unless otherwise noted

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Port Fees (not applicable to ports used for RMG, which are not charged)

<p>Ports for order/quote entry</p>	<p>\$550 per port per month, except that DMMs shall not be charged for the first 12 ports per month that connect to the Exchange, and then \$550 per port per month thereafter.</p> <p>Effective [September]<u>November</u> 1, 2020, the monthly fee for an order/quote entry port</p>
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	<p>activated after July 1, 2019 will be prorated to the number of trading days that a port is eligible for production trading with the Exchange, including any scheduled early closing days.</p>
<p>Ports for drop copies</p>	<p>\$550 per port per month (only one fee per drop copy port shall apply, even if receiving drop copies from multiple order/quote entry ports), except that DMMs shall not be charged for ports that connect to the Exchange.</p> <p>Effective [September] <u>November</u> 1, 2020, the monthly fee for a drop copy port activated after July 1, 2019 will be prorated to the number of trading days that a port is eligible for production trading with the Exchange, including any scheduled early closing days.</p>
<p>Pillar Port Transition Fee Pricing (applicable to both order/quote entry and drop copy ports)</p>	<p>Billing months of July 2019 through [August]<u>October</u> 2020 (“Transition Period”)</p> <p>During the Transition Period, the number of ports charged per member organization</p>

	<p>will be capped at the total number of such ports that the member organization has activated as of its June 2019 invoice (“Transition Cap”). The Transition Cap pricing will be available until the earlier of (1) the end of the Transition Period, or (2) the billing month during which a member organization has fully transitioned to using only ports that communicate using Pillar phase II protocols. If during the Transition Period, a member organization increases the number of ports using Pillar phase I protocols above the Transition Cap, those ports will be charged at the rates identified above. If during the Transition Period, a member organization has a total number of ports below the Transition Cap, the member organization will be charged for the actual number of ports.</p> <p>Billing months of [September]<u>November</u> 2020 through [December]<u>February</u> 2021_[0] (“Decommission Period”)</p>
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	<p>During the Decommission Period, in addition to the port fees described above, a member organization will be charged a Decommission Extension Fee of \$1000 per port per month, increasing by \$1000 per port for each month for any ports that communicate using Pillar phase I protocols. Ports that use Pillar phase I protocols will not be available beginning [January]<u>March</u> 1, 2021.</p>
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Market Data Fees

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