

6. REGISTRATION REQUESTS WITH AFFILIATED FIRMS						
Will <i>applicant</i> maintain registration with <i>firm(s)</i> under common ownership or control with the <i>filing firm</i> ? <input type="radio"/> Yes <input type="radio"/> No If "yes", fill in the details to indicate a request for registration with additional <i>firm(s)</i> . If the individual seeks registration with <i>firm(s)</i> <i>affiliated</i> with the <i>filing firm</i> , complete the following to make a request for registration with the additional <i>affiliated firm(s)</i> other than the <i>filing firm</i> .						
AFFILIATED FIRM CRD #:		AFFILIATED FIRM NAME:				
EMPLOYMENT DATE:		Do you have an independent contractor relationship with the above named <i>firm</i> ?: <input type="radio"/> Yes <input type="radio"/> No				
AFFILIATED FIRM BILLING CODE:						
Office of Employment Address:						
<input type="radio"/> Registered <input type="radio"/> Non-Registered	CRD BRANCH #:	NYSE BRANCH CODE#:	FIRM BILLING CODE:	<input type="radio"/> Located At <input type="radio"/> Supervised From	START DATE:	END DATE:
OFFICE OF EMPLOYMENT ADDRESS STREET 1:		CITY:		STATE:		
OFFICE OF EMPLOYMENT ADDRESS STREET 2:		COUNTRY:		POSTAL CODE:		
Private Residence Check Box: If the Office of Employment address is a private residence, check this box. <input type="checkbox"/>						
Residential Supervisory Location (RSL) Designation: Is this Office of Employment address an RSL? <input type="radio"/> Yes <input type="radio"/> No						
If "Yes" is selected, the <i>filing firm</i> confirms that this location is designated as an RSL as defined in FINRA Rule 3110.						
<input type="radio"/> Registered <input type="radio"/> Non-Registered	CRD BRANCH #:	NYSE BRANCH CODE#:	FIRM BILLING CODE:	<input type="radio"/> Located At <input type="radio"/> Supervised From	START DATE:	END DATE:
OFFICE OF EMPLOYMENT ADDRESS STREET 1:		CITY:		STATE:		
OFFICE OF EMPLOYMENT ADDRESS STREET 2:		COUNTRY:		POSTAL CODE:		
Private Residence Check Box: If the Office of Employment address is a private residence, check this box. <input type="checkbox"/>						
Residential Supervisory Location (RSL) Designation: Is this Office of Employment address an RSL? <input type="radio"/> Yes <input type="radio"/> No						
If "Yes" is selected, the <i>filing firm</i> confirms that this location is designated as an RSL as defined in FINRA Rule 3110.						
<input type="radio"/> Registered <input type="radio"/> Non-Registered	CRD BRANCH #:	NYSE BRANCH CODE#:	FIRM BILLING CODE:	<input type="radio"/> Located At <input type="radio"/> Supervised From	START DATE:	END DATE:
OFFICE OF EMPLOYMENT ADDRESS STREET 1:		CITY:		STATE:		
OFFICE OF EMPLOYMENT ADDRESS STREET 2:		COUNTRY:		POSTAL CODE:		
Private Residence Check Box: If the Office of Employment address is a private residence, check this box. <input type="checkbox"/>						
Residential Supervisory Location (RSL) Designation: Is this Office of Employment address an RSL? <input type="radio"/> Yes <input type="radio"/> No						
If "Yes" is selected, the <i>filing firm</i> confirms that this location is designated as an RSL as defined in FINRA Rule 3110.						
<input type="checkbox"/> Check here to request the same <i>SRO</i> and <i>jurisdiction</i> registrations for this <i>affiliated firm</i> that are requested on this application for the <i>filing firm</i> .						
<input type="checkbox"/> Check here to request different <i>SRO</i> and <i>jurisdiction</i> registrations than requested on this application for your <i>filing firm</i> .						