#### **EXHIBIT 5**

## **Additions underlined**

**Deletions [bracketed]** 

#### Rule 11.9 Order Execution

- (a) No change.
- (b) (1) No change
- (2) No change
- (A)- (B) No change.
- (3) No change.
- (a) ROUC. ROUC is a routing option under which an order checks the System for available shares and then is sent sequentially to destinations on the System routing table, Nasdaq OMX BX, and NYSE. If shares remain unexecuted after routing, they are posted on the EDGX Exchange book.
- (b) ROUD. ROUD is a routing option under which an order checks the System for available shares and then is sent sequentially to destinations on the System routing table.
- (c) <u>The following routing strategies [ROUE.</u> ROUE is a routing option under which an order] check[s] the System for available shares and then [is] <u>are</u> sent to destinations on the System routing table[.]:

# (i) ROUE

- (ii) ROUT
- (iii) ROUX
- (iv) ROUQ

## (v) ROUZ

- (d) INET. INET is a routing option under which an order checks the System for available shares and then is sent to Nasdaq. If shares remain unexecuted after routing, they are posted on the Nasdaq book.
- (e) ROBA. ROBA is a routing option under which an order checks the System for available shares and then is sent to BATS BZX Exchange as an immediate or cancel (IOC) order. If shares remain unexecuted after routing, they are cancelled.
- (f) ROBX. ROBX is a routing option under which an order checks the System for available shares and then is sent to Nasdaq BX Exchange as an immediate or cancel (IOC) order. If shares remain unexecuted after routing, they are cancelled.
- (g) ROBY. ROBY is a routing option under which an order checks the System for available shares and then is sent to BATS BYX Exchange as an immediate or cancel (IOC) order. If shares remain unexecuted after routing, they are cancelled.
- [(h) ROUT. ROUT is a routing option under which an order checks the System for available shares and then is sent to destinations on the System routing table.]
- [(i) ROUX. ROUX is a routing option under which an order checks the System for available shares and then is sent to destinations on the System routing table.]
- [(j)](h) RDOT. RDOT is a routing option under which an order checks the System for available shares and then is sent sequentially to destinations on the System routing table. If shares remain unexecuted after routing, they are sent to the NYSE.
- [(k)] (i) RDOX. RDOX is a routing option under which an order checks the System for available shares and then is sent to the NYSE.
- [(1)] (j) ROLF. ROLF is a routing option under which an order checks the System for available shares and then is sent to LavaFlow ECN.

- [(m)] (k) ROPA. ROPA is a routing option under which an order checks the System for available shares and then is sent to NYSE Arca as an immediate or cancel order (IOC). If shares remain unexecuted after routing, they are cancelled.
- [(n)] (1) IOCX. IOCX is a routing option under which an order checks the System for available shares and then is sent to EDGX.
- [(o)] (m) IOCT. IOCT is a routing option under which an order checks the System for available shares and then is sent sequentially to destinations on the System routing table. If shares remain unexecuted after routing, they are sent to EDGX.
- [(p)] (n) ROOC. ROOC is a routing option for orders that the entering firm wishes to designate for participation in the opening or closing process of a primary listing market (NYSE, Nasdaq, NYSE Amex, or NYSE Arca) if received before the opening/closing time of such market. If shares remain unexecuted after attempting to execute in the opening or closing process, they are either posted to the book, executed, or routed like a ROUT routing option, as described in paragraph (h), above.
- [(q)] (o) SWPA. SWPA is a routing option under which an order checks the System for available shares and then is sent to only Protected Quotations and only for displayed size. To the extent that any portion of the routed order is unexecuted, the remainder is cancelled back to the User. The entire SWPA order will not be cancelled back to the User immediately if at the time of entry there is an insufficient share quantity in the SWPA order to fulfill the displayed size of all Protected Quotations.
- [(r)] (p) SWPB. SWPB is a routing option under which an order checks the System for available shares and then is sent to only Protected Quotations and only for displayed size. To the extent that any portion of the routed order is unexecuted, the remainder is cancelled back to the User. The entire SWPB order will be cancelled back to the User immediately if at the time of entry there is an insufficient share quantity in the SWPB order to fulfill the displayed size of all Protected Quotations.
- [(s)] (q) IOCM. IOCM is a routing option under which an order checks the System for available shares and then is sent to EDGX as an immediate or cancel (IOC) Mid-Point Match order.
- (r) ICMT. ICMT is a routing option under which an order checks the System for available shares, then is sent to destinations on the System routing table and then to EDGX as an IOC Mid-Point Match order.
- (c) (f) No change.