

Cboe C2 Options Exchange Give-Up Change Form

Clearing Trading Permit Holder (TPH) Rejecting the Trade

OCC #

In signing this form, the signatory represents that it is authorized by the Clearing TPH to act on its behalf to reject the trade.

Name

Title

Signature

Date

Phone Number: _____ Email Address: _____

Trade Information (attach copy of trade record):

Executing clearing number: _____

CMTA (if applicable): _____

Class: _____ Expiration Month: _____ Expiration Date: _____

Strike Price: _____ Put or Call: _____ Volume: _____ Price: _____

Trade Date: _____ Trade Time: _____

Parties to the Trade _____

Reason for Rejecting the Trade:

The new Give Up on the above trade will be (please check one):

The executing Trading Permit Holder's Guarantor ("Guarantor")

Guarantor's Name and OCC #: _____

Another Clearing Trading Permit Holder ("Accepting Clearing Trading Permit Holder")*

Accepting Clearing Trading Permit Holder's Name and OCC#: _____

*The Accepting Clearing Trading Permit Holder must complete and submit to the Operations Support Center the "Cboe C2 Give-Up Change Form For Accepting Clearing Trading Permit Holders"

Please email the completed form to giveupchange@cboe.com.

Operations Support Center Information (Only):

Name: _____ Time CTMI Opened: _____ Give-up and trade checked: _____