BOX Options Exchange Fee Schedule

January 2013 [November 2012]

Section I. through IV. No change.

Section V. Regulatory Fees

A. No change.

B. FINRA Fees

Fees assessed by FINRA in connection with participation in Web CRD:

- 1) FINRA CRD Processing Fee: <u>\$100.00</u>[\$85.00]
- 2) FINRA Disclosure Processing Fee: <u>\$110.00[</u>\$95.00]
- 3) FINRA Annual System Processing Fee: <u>\$45.00[</u>\$30.00]
- 4) Fingerprinting Fees vary depending on the

submission:

	Electronic	Non-electronic
a) First card	<u>\$29.50</u> [\$27.50;]	<u>\$44.50</u>
submission:		
b) Second card	<u>\$15.00</u> [\$13.00;]	<u>\$30.00</u>
submission:		
c) Third card	<u>\$29.50</u> [\$27.50;]	<u>\$44.50</u>
submission:		

d) Processing fingerprint results where the member had prints processed through a self-regulatory organization other than FINRA: <u>\$30.00</u> [\$13.00].

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