

Note: Proposed new language is underlined. Proposed deletions are enclosed in [brackets].

Rules of BATS Exchange, Inc.

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CHAPTER XX. REGULATION OF TRADING ON BATS OPTIONS

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Rule 20.3. Trading Halts

(a)–(c) (No changes.)

Interpretations and Policies

.01 The Exchange may nullify any transaction that occurs:

(a) during a trading halt in the affected option on the Exchange; or

(b) with respect to equity options (including options overlying ETFs), during a trading halt on the primary listing market for the underlying security.

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